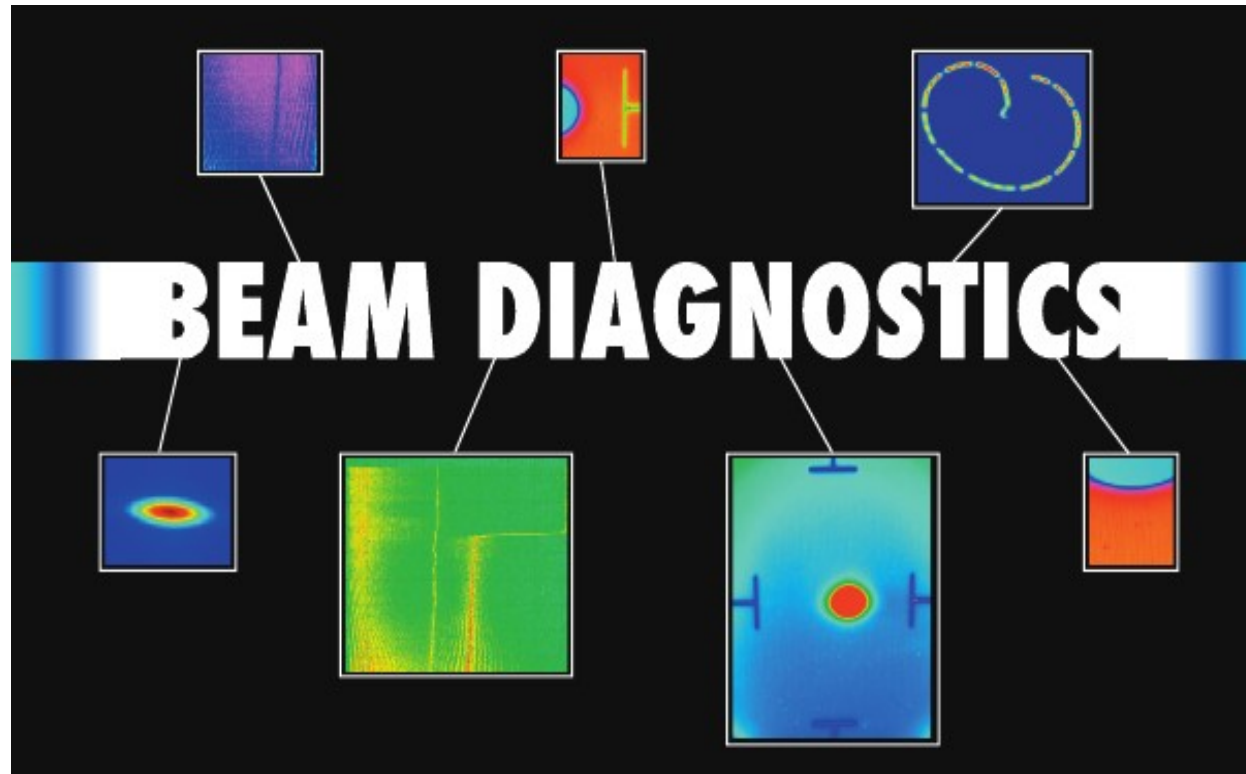


# Introduction to Beam Diagnostics – Part I

Ralph J. Steinhagen, CERN



# Outline

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- Recap: What the .... is  $Q/Q'$ , oscillations dampening
- Tune Diagnostics
  - Classic Fourier-Transform Based
  - Phase-Locked-Loop (PLL) Systems
- Classic Chromaticity Diagnostics
  - Momentum shift  $\Delta p/p$  based  $Q'$  tracking methods
- Longitudinal Tomography
- Advanced Topic → **your choice**

# Beam Diagnostics

---

- ... didn't we hear about this last week?
- Distinguish between
  - **Beam Instrumentation:**  
physical hardware provides a direct beam parameter measurement
    - e.g. Faraday cup → current → beam current
  - **Beam Diagnostics:**  
beam parameter is derived through a combination of beam instrumentation or procedure of beam parameter variation
    - Machine tune (Q) and chromaticity (Q')
    - Longitudinal phase-space distribution (long. tomography)

# Tune Diagnostics - Primer

- Importance of tune:
  - defines beam life-time
  - strong impact on beam physics experiments:

Laymen/Musician's view  
(Beethoven's 5th):

in tune (**good**):



off-tune (**bad**):



Audience will leave the concert  
↔ Beam will leave the vacuum pipe

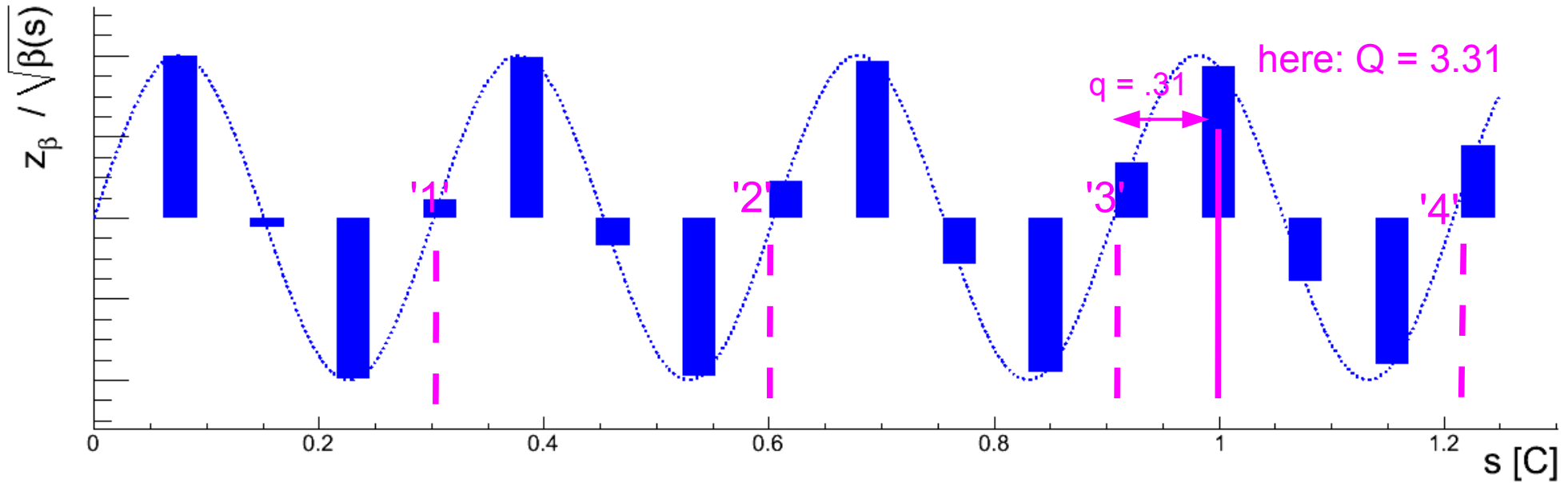


"I don't think we've quite repeated the experiment - last time we did it, the glass gave out a middle 'c'!"

# Recap: Transverse Beam Dynamics

- Free Betatron Oscillations:

$$z_{\beta}(s) = \sqrt{\epsilon_i \beta(s)} \cdot \sin(\mu(s) + \phi_i)$$



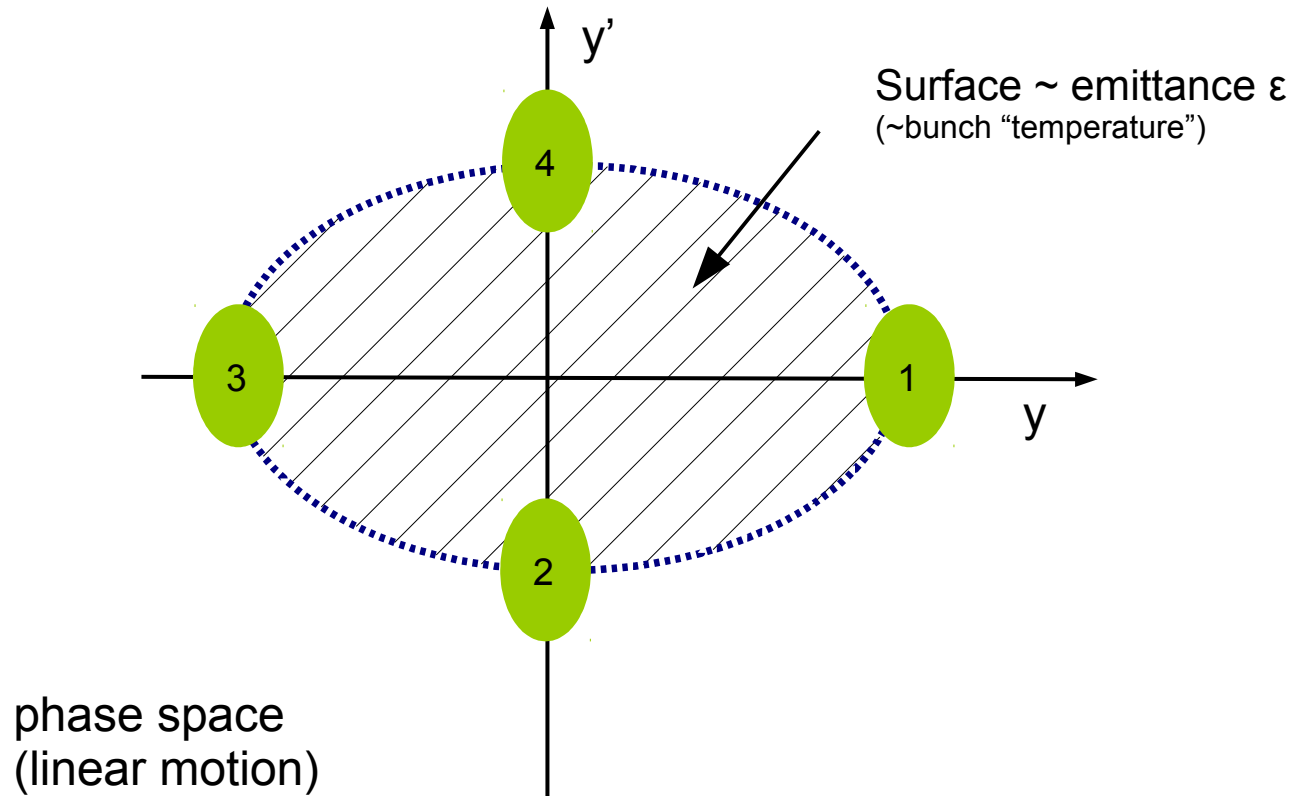
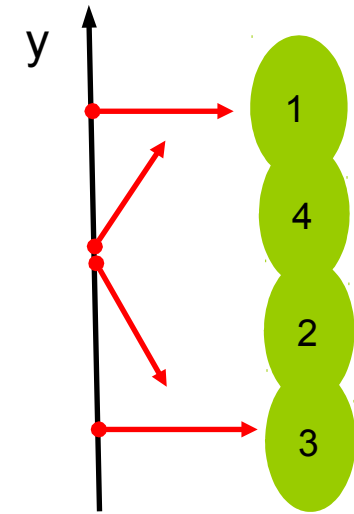
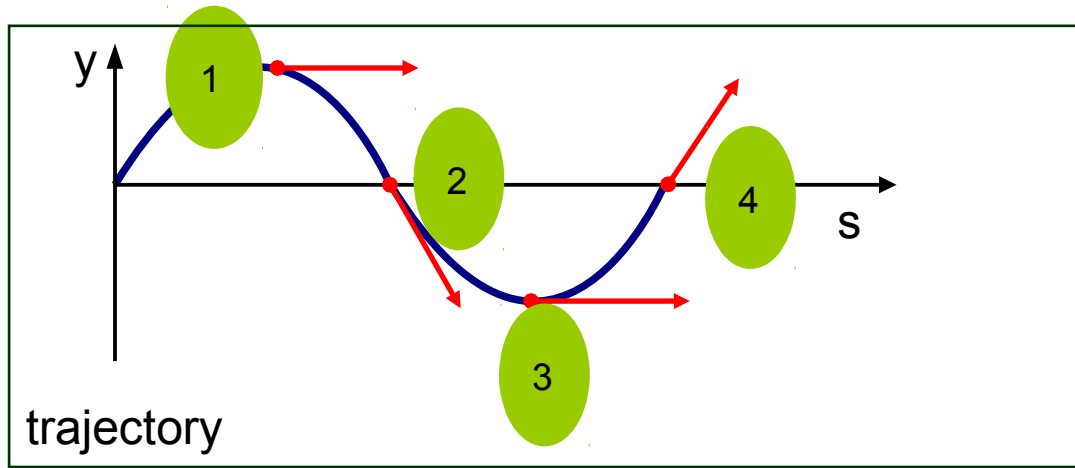
- Betatron Phase Advance:  $\Delta\mu(s)$

*Tune* defined as betatron phase advance over one turn:

$$Q := \frac{1}{2\pi} \oint_C \mu(s) ds$$

common:  $Q = \underbrace{Q_{int}}_{\text{integer tune}} + \underbrace{q_{frac}}_{\text{fractional tune}}$

# Phase Space I/II

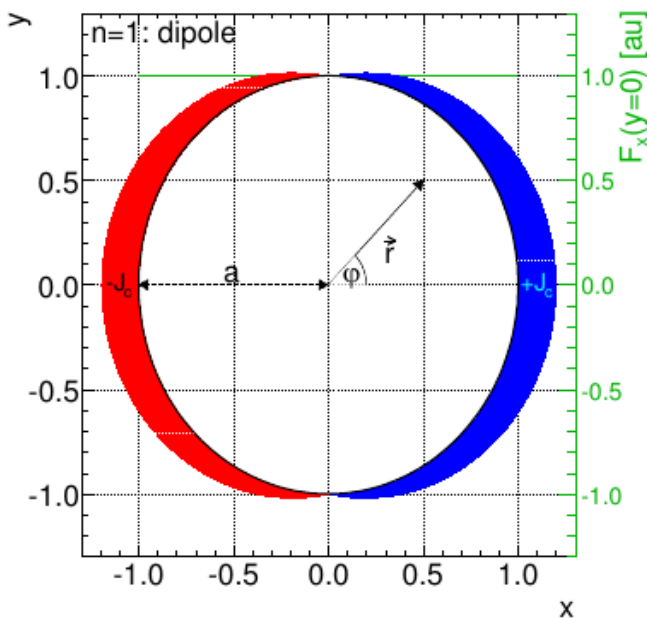


# Magnets – Basic Arsenal

- Hill's Equation

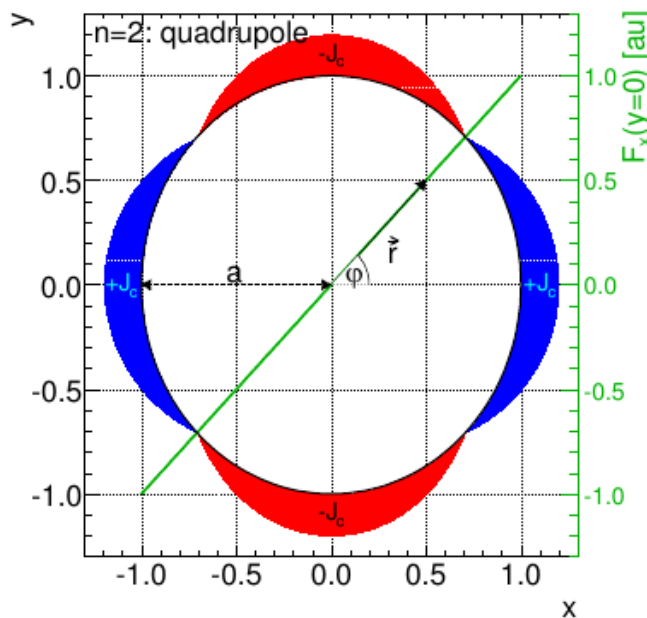
$$z'' + k(s) \cdot z = f(s, t)$$

Dipole:  
constant field



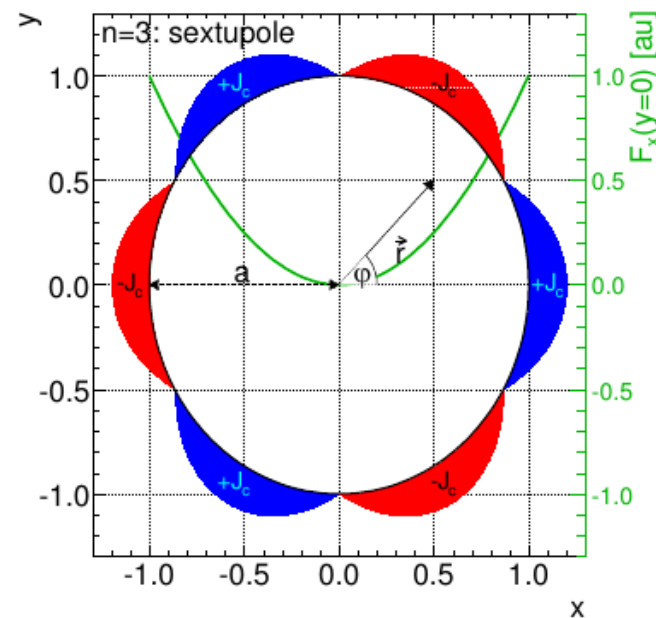
→ defines circular trajectory/orbit

Quadrupole:  
linear field



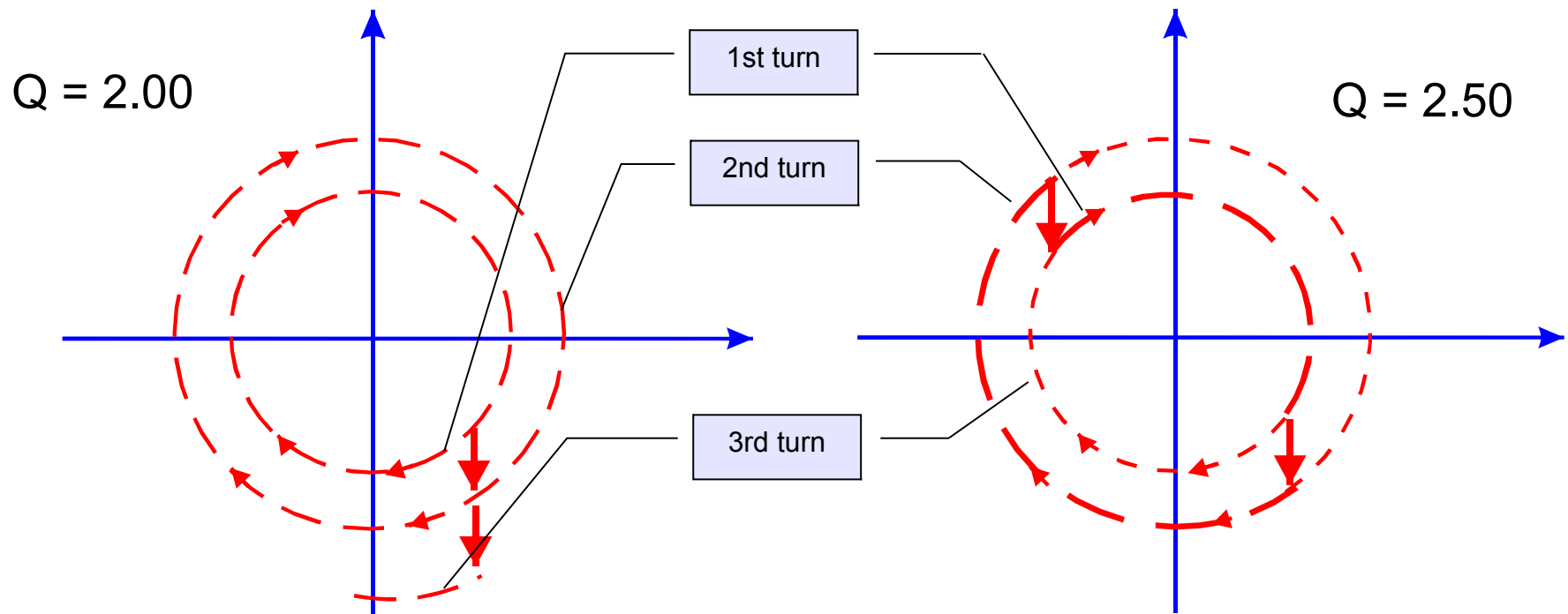
→ defines transverse focusing and periodic betatron oscillation

Sextupole:  
quadratic field



→ corrects for non-linear /chromatic effects  
→ defines dynamic aperture  
LHC: up to 12 order

# Non-Linear Dynamics – Dipolar Resonance

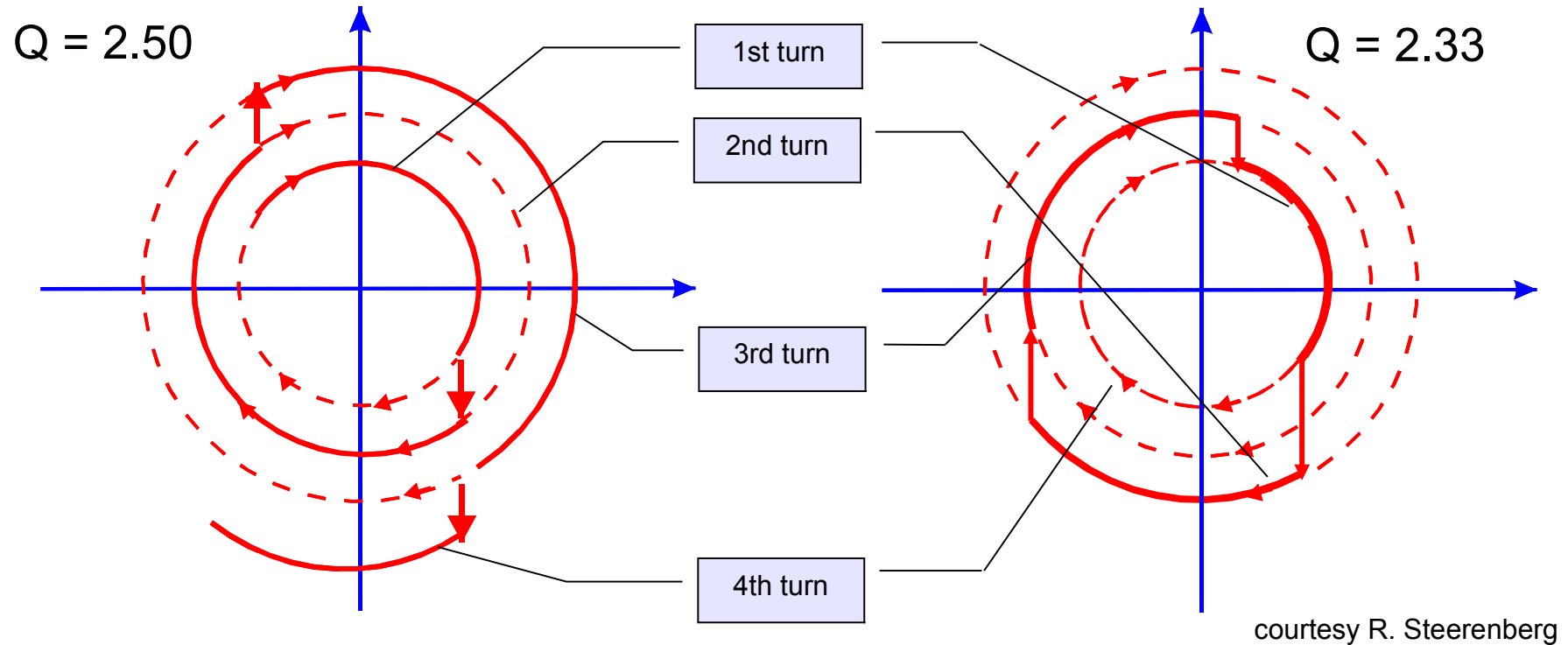


courtesy R. Steerenberg

- For  $Q = 2.00$ : Oscillation induced by the dipole kick grows on each turn and the particle is lost (1st order resonance  $Q = 2$ ).
- For  $Q = 2.50$ : Oscillation is cancelled out every second turn, and therefore the particle motion is stable.



# Non-Linear Dynamics – Quadrupolar Resonances

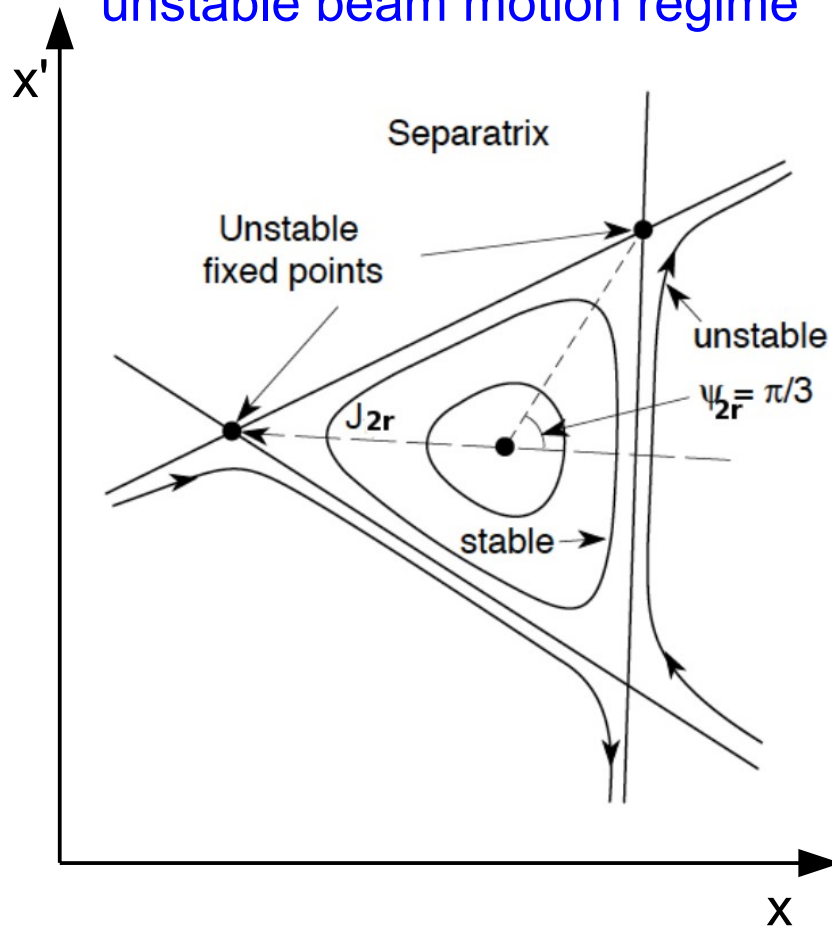


courtesy R. Steerenberg

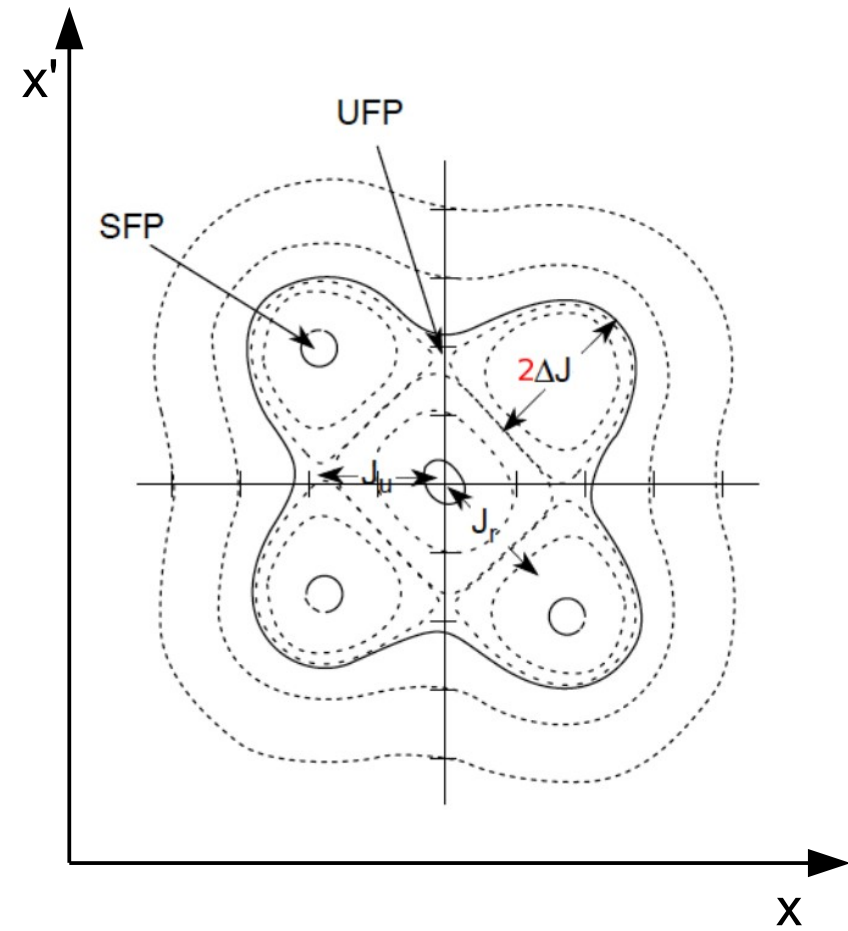
- For  $Q = 2.50$ : Oscillation induced by the quadrupole kick grows on each turn and the particle is lost (2nd order resonance  $2Q = 5$ )
- For  $Q = 2.33$ : Oscillation is cancelled out every third turn, and therefore the particle motion is stable.

# Phase Space II/II

- What happens if you add strong non-linear sextupole & octupole-components
  - 'separatrix' (aka. 'dynamic aperture') being the border between stable and unstable beam motion regime



sextupole resonance



octupole resonance

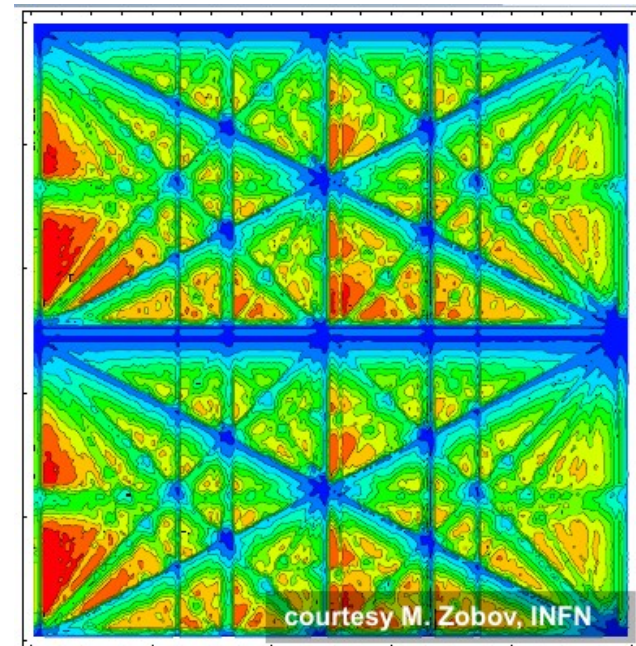
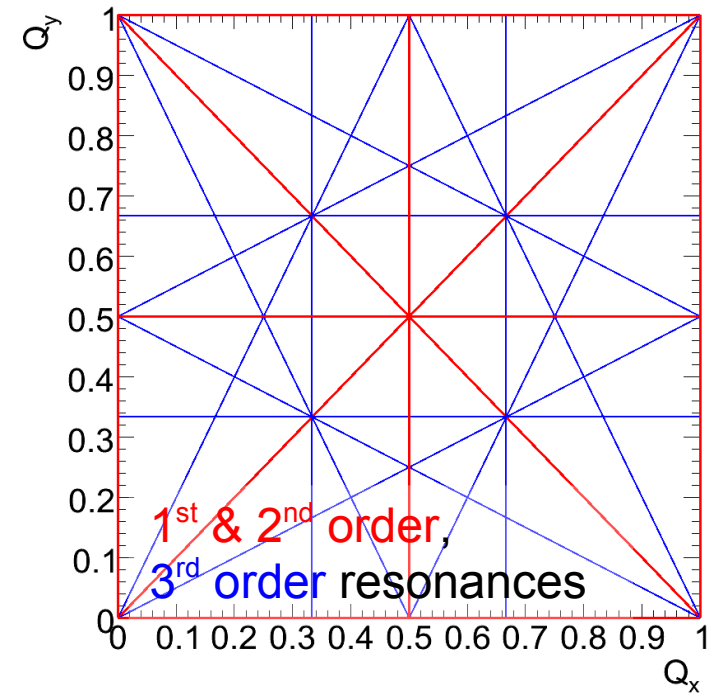
# Tune Stability Requirements & Constraints

- Unstable particle motion if:

$$p = m \cdot Q_x + n \cdot Q_y \quad \wedge \quad m, n, p \in \mathbb{Z}$$

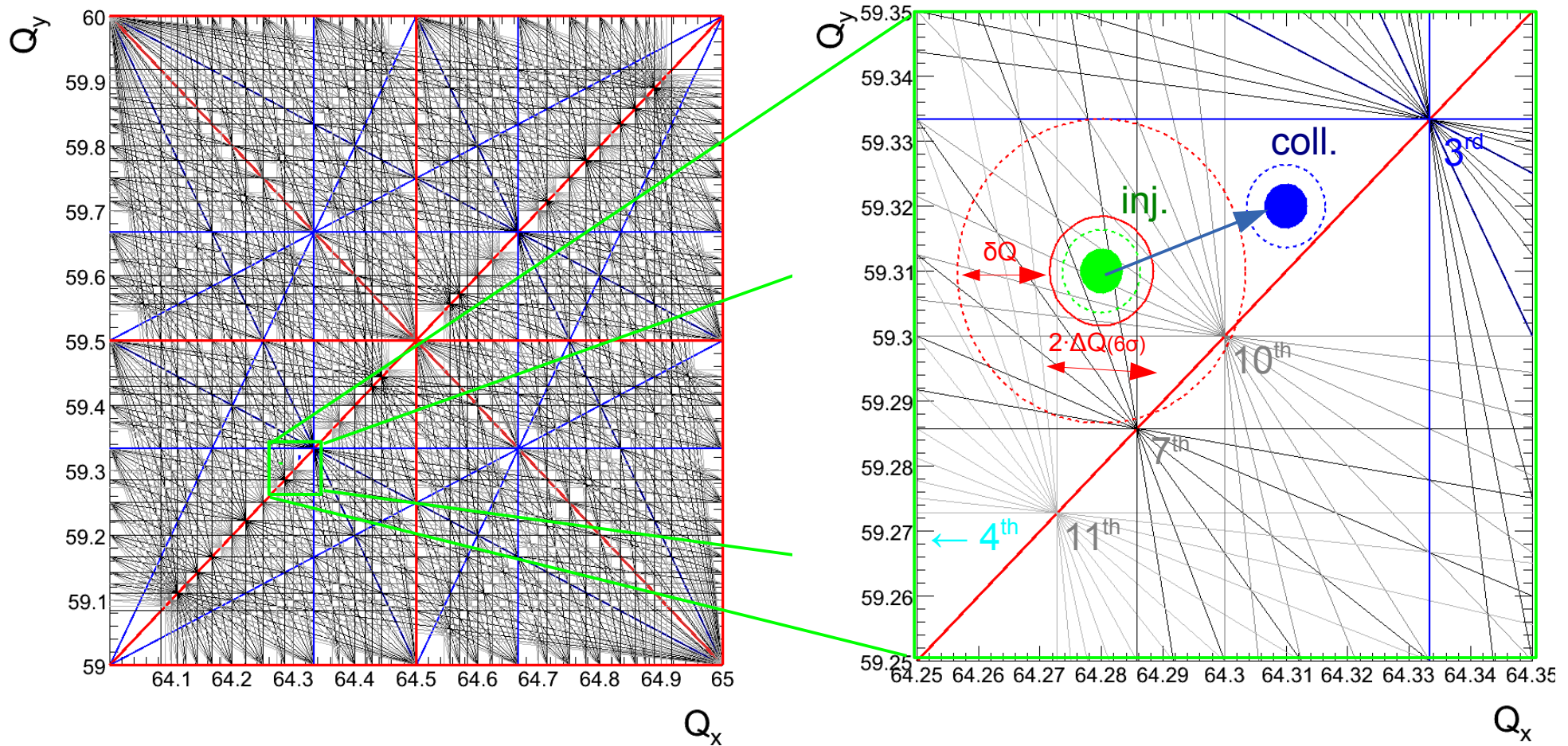
- similar relation also in between  $Q_x$  &  $Q_s$  (important for lepton accelerators)
- Resonance order:  $O = |m| + |n|$ 
  - Lepton accelerator: avoid up to ~ 3rd order
  - Hadron colliders:
    - negligible synchrotron radiation damping
    - need often to avoid up to the 12th order

“Hadron beams are like elephants –  
treat them bad and they'll never forgive you!”

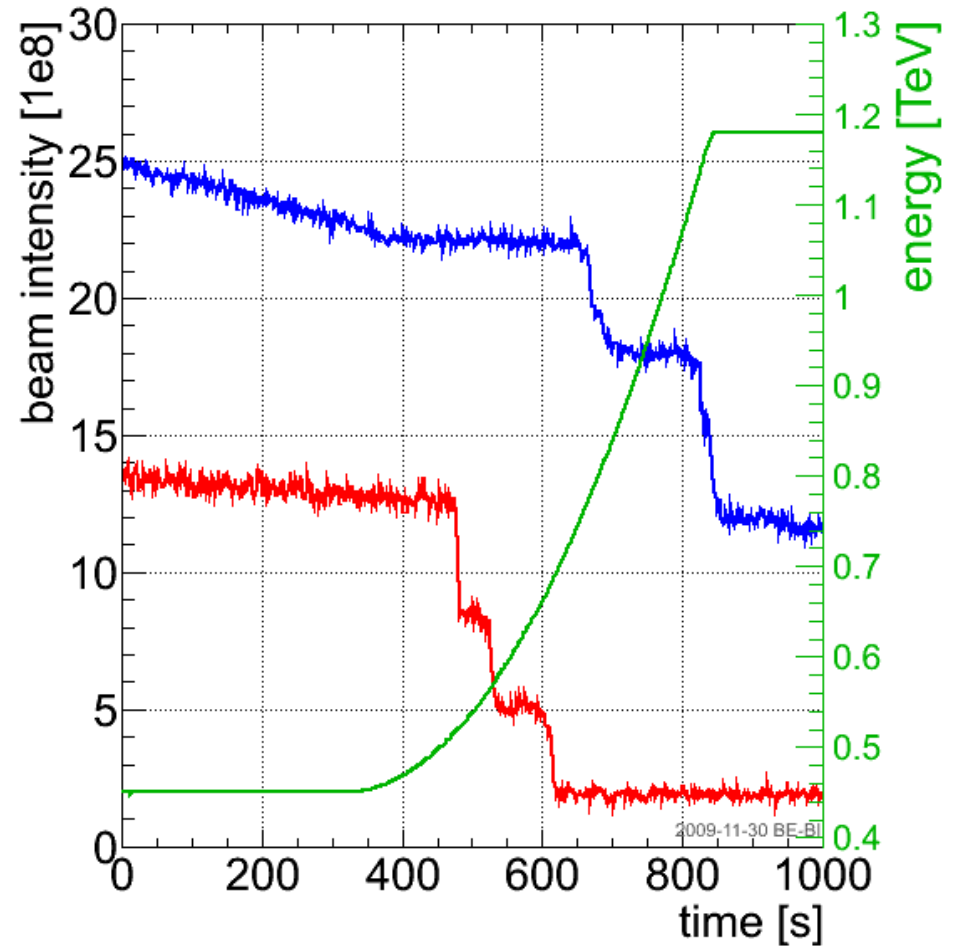
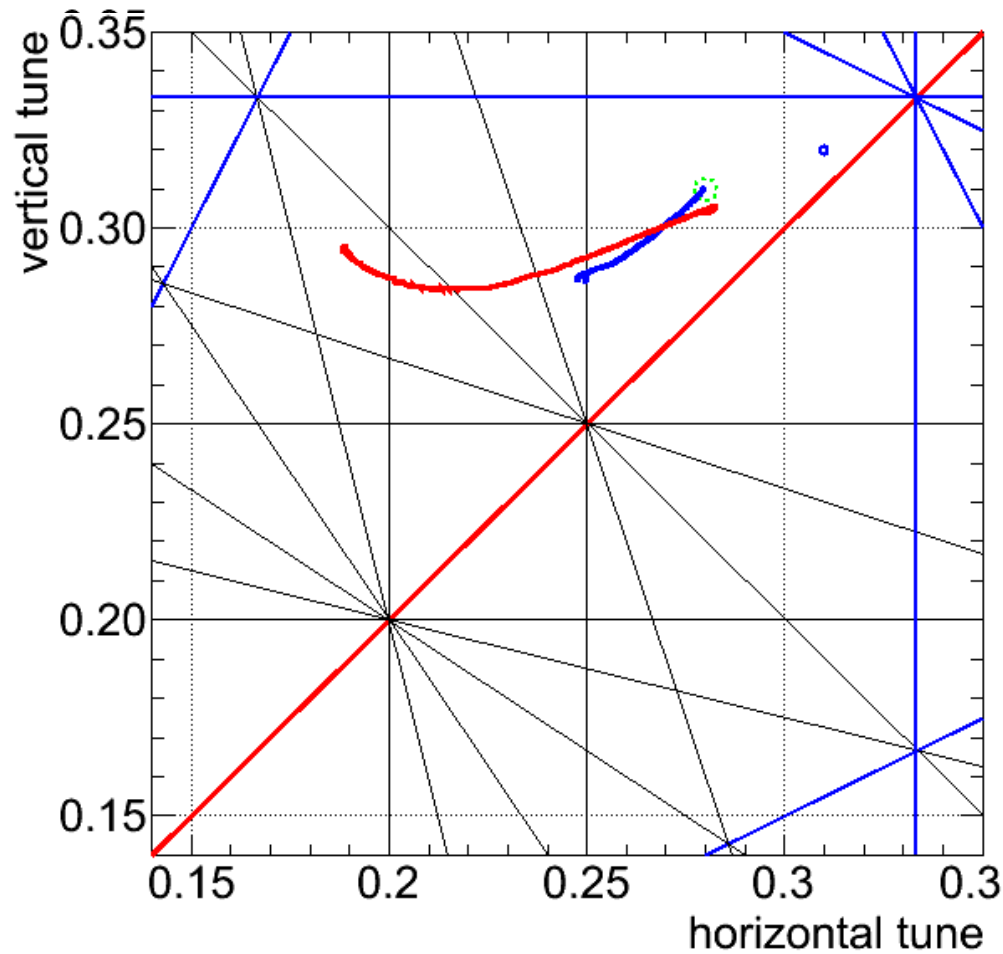


# Tune Stability Requirements & Constraints

- Example LHC: stability requirement:  $\Delta Q \approx 0.001$  vs. exp. drifts  $\sim 0.06$



# Example: Tune During LHC Ramp



# Tune Diagnostics Principle

- Control Theory → System Identification



- Example (first order) beam response  $\approx$  damped harmonic oscillator resonance

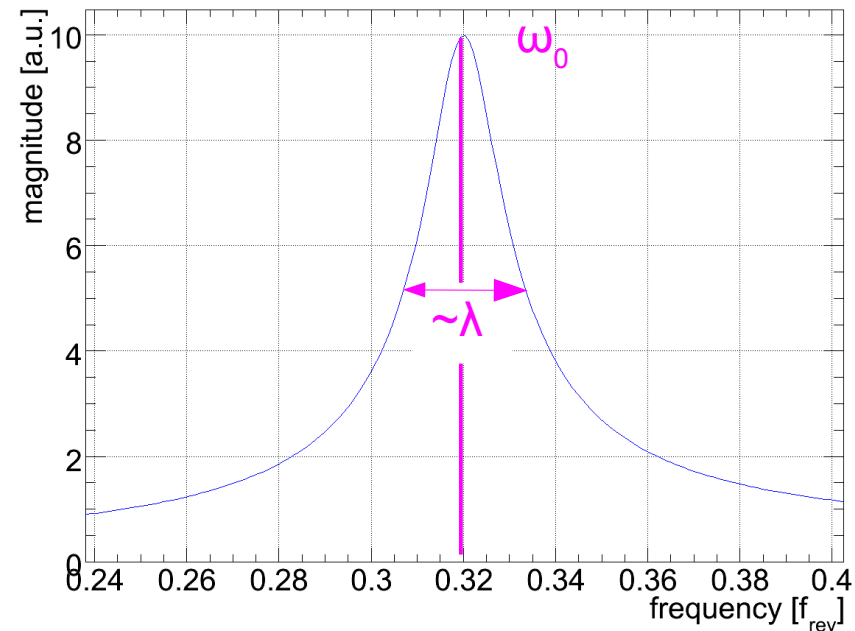
( $\omega_0$ : resonant frequency (Q),  $\lambda$ : tune resonance width ( $\sigma_Q$ ),

$\omega$ : driving frequency)

$$|G(\omega)| := \left| \frac{X(s)}{E(s)} \right| \approx \frac{\omega_0}{\sqrt{(\omega^2 - \omega_0^2)^2 + (2\lambda\omega_0\omega)^2}}$$

- Excitation choices:

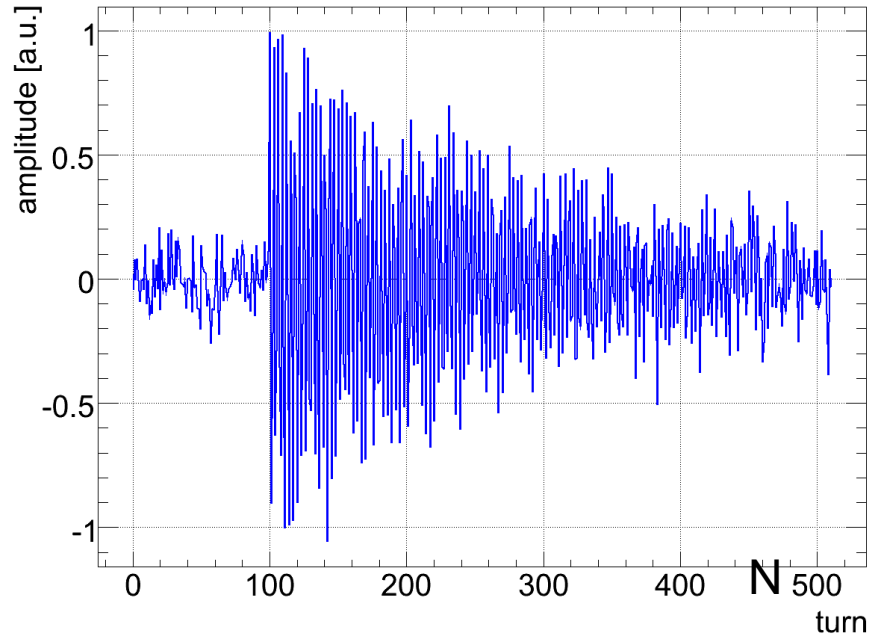
- White or remnant noise
  - no information on signal phase
- Single-turn transverse kick (classic)
- Frequency Sweep aka. 'Chirp'
  - focuses excitation power on frequency range of interest → less  $\epsilon$ -blow-up, constant power
- Phase-Locked-Loop Systems = resonant excitation on the Tune



- Note: Exciter and pickup have additional non-beam related responses!**

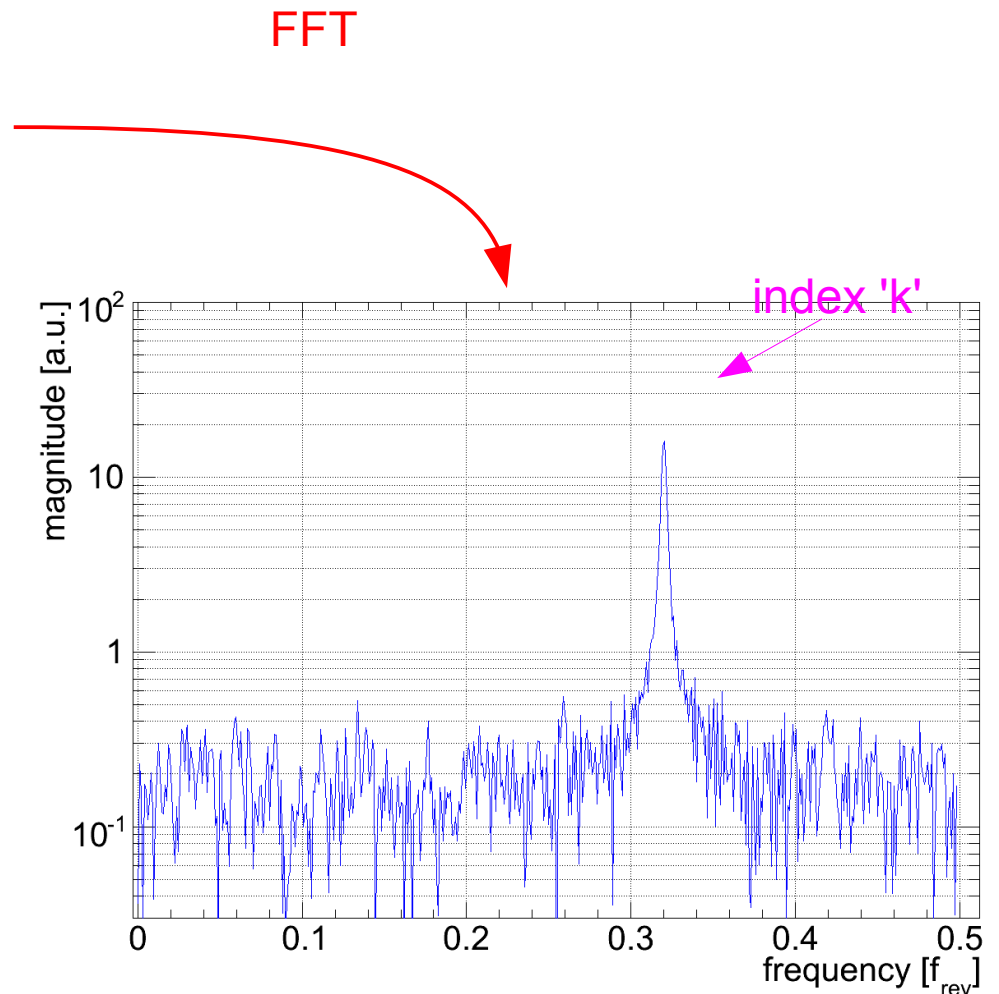
# Classic BPM based Tune Diagnostics

- .... how an kick-induced beam oscillation typically looks like

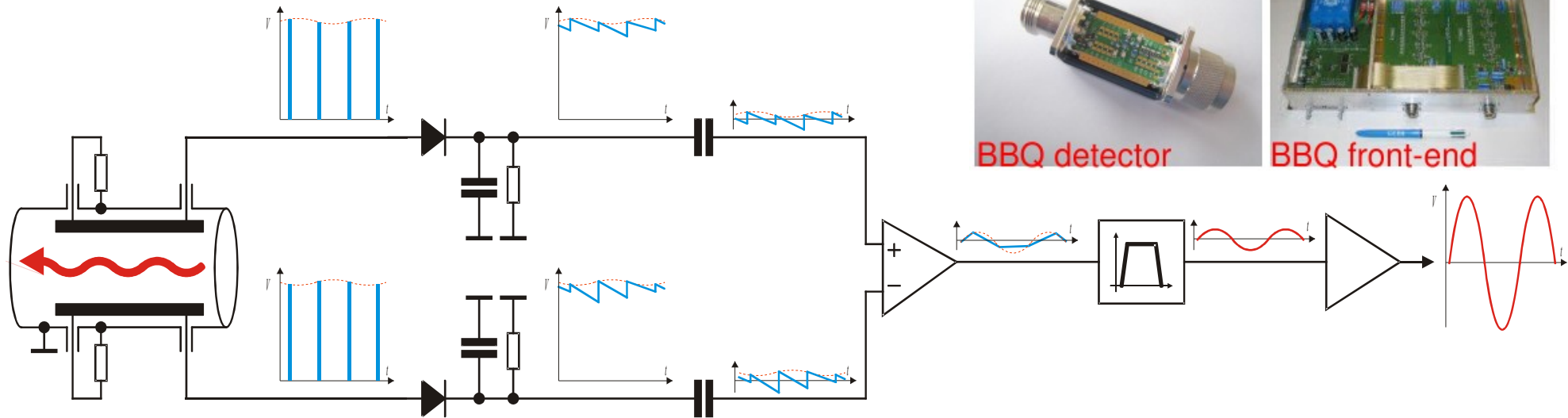


$$q_{frac} \approx \frac{k}{N}$$

- Fourier analysis of turn-by-turn data:
  - magnitude peaks at  $q_{frac}$
  - N.B. no information on  $Q_{int}$  !



# Tune Instrumentation – Direct-Diode-Detection

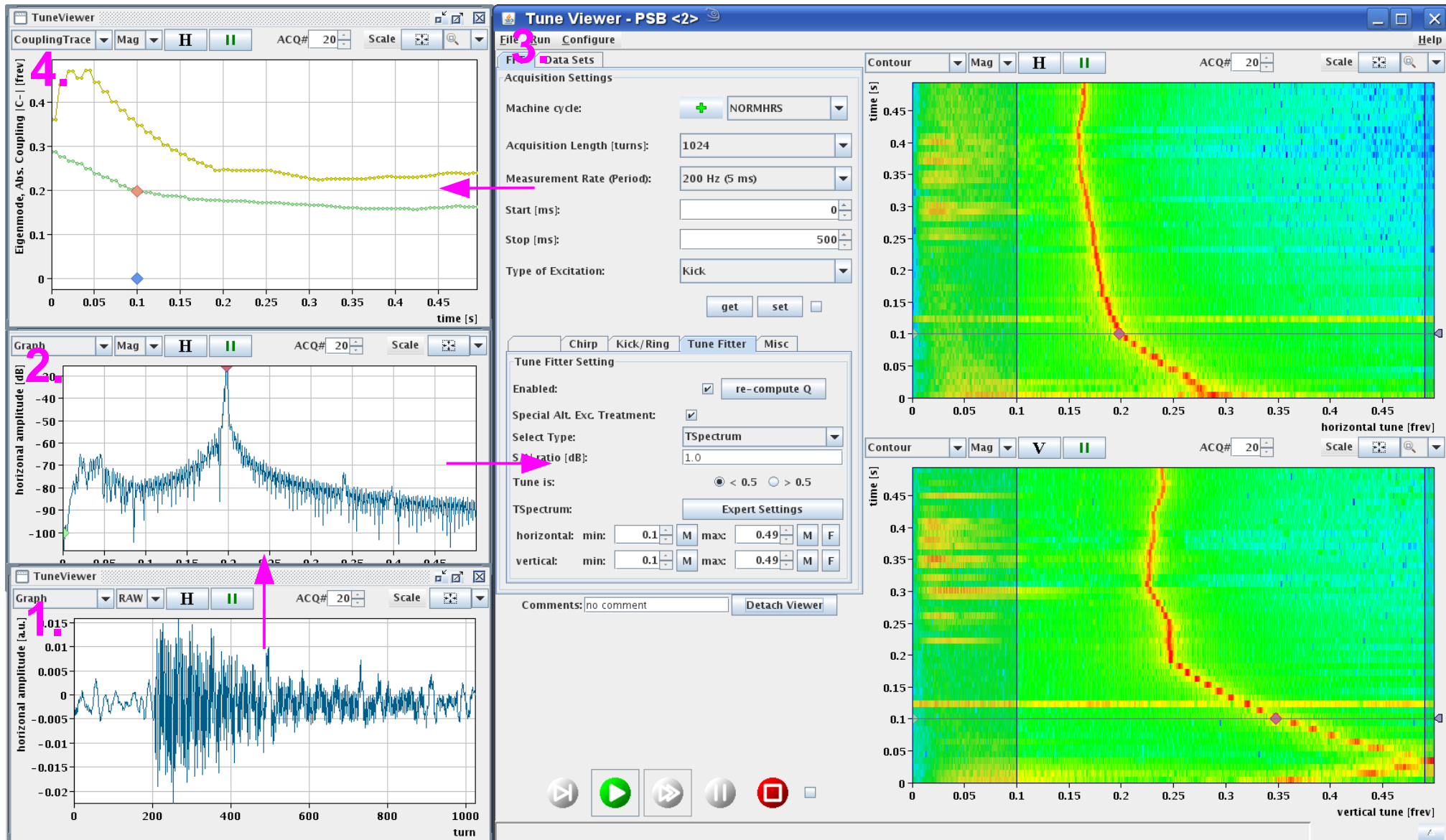


- **Basic principle: AC-coupled RF diode peak detector<sup>1</sup>**

- intrinsically down samples spectra: ... GHz  $\rightarrow$  kHz (independent on filling pattern)
  - thus 'Base-Band-Tune Meter' (aka. BBQ)
  - Base-band operation: very high sensitivity/resolution ADC available
  - Measured resolution estimate:  $< 10$  nm  $\rightarrow$   $\epsilon$  blow-up is a non-issue
- **AC-coupling removes common-mode  $\rightarrow$  only relative changes play a role**
  - capacitance keeps the “memory” of the to be rejected signal
- no saturation, self-triggered, no gain changes to accommodate single vs. multiple bunches or low vs. high intensity beam

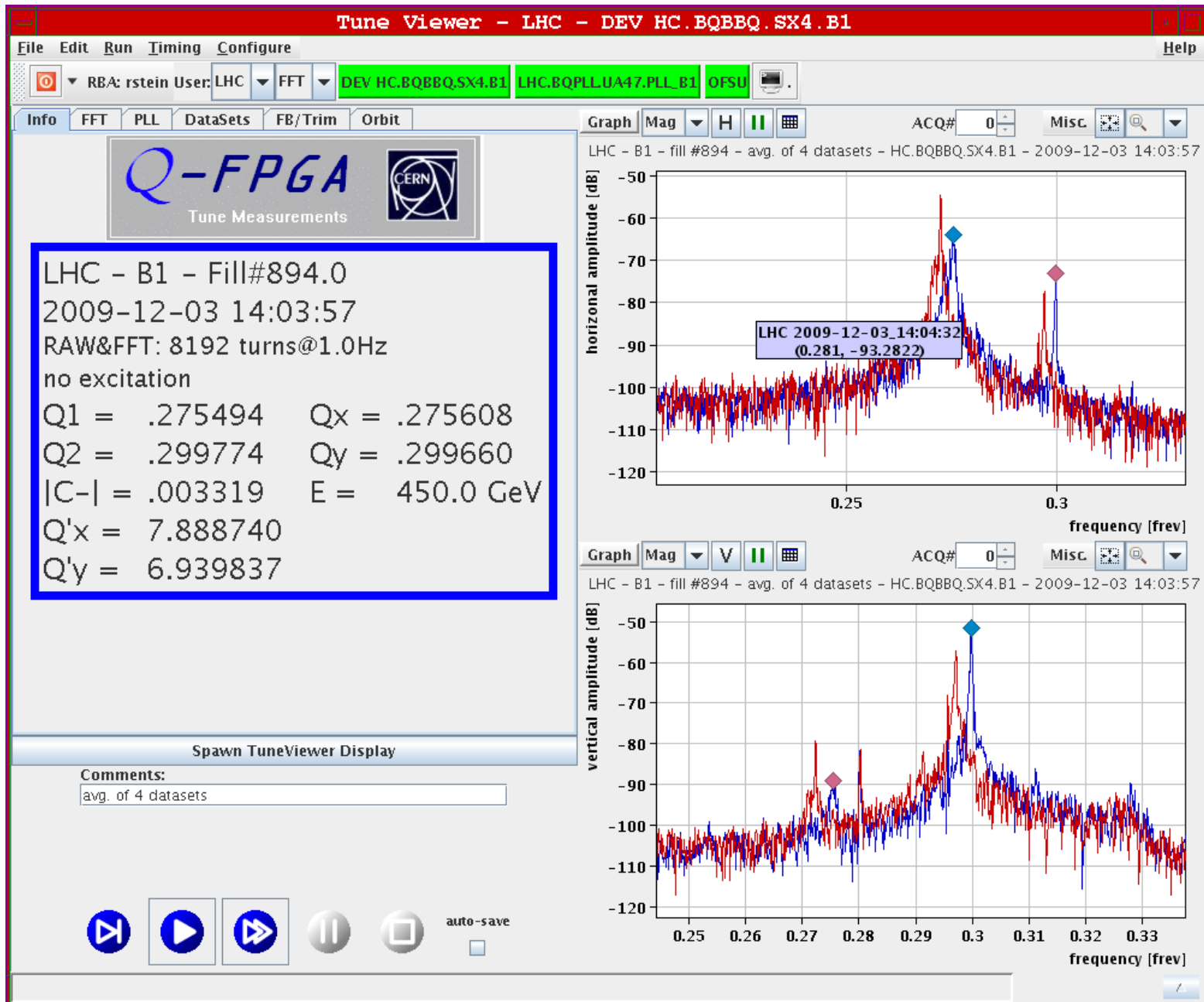


# Example: BBQ Spectra CERN-PSB, $f_{rev} \approx 2$ MHz

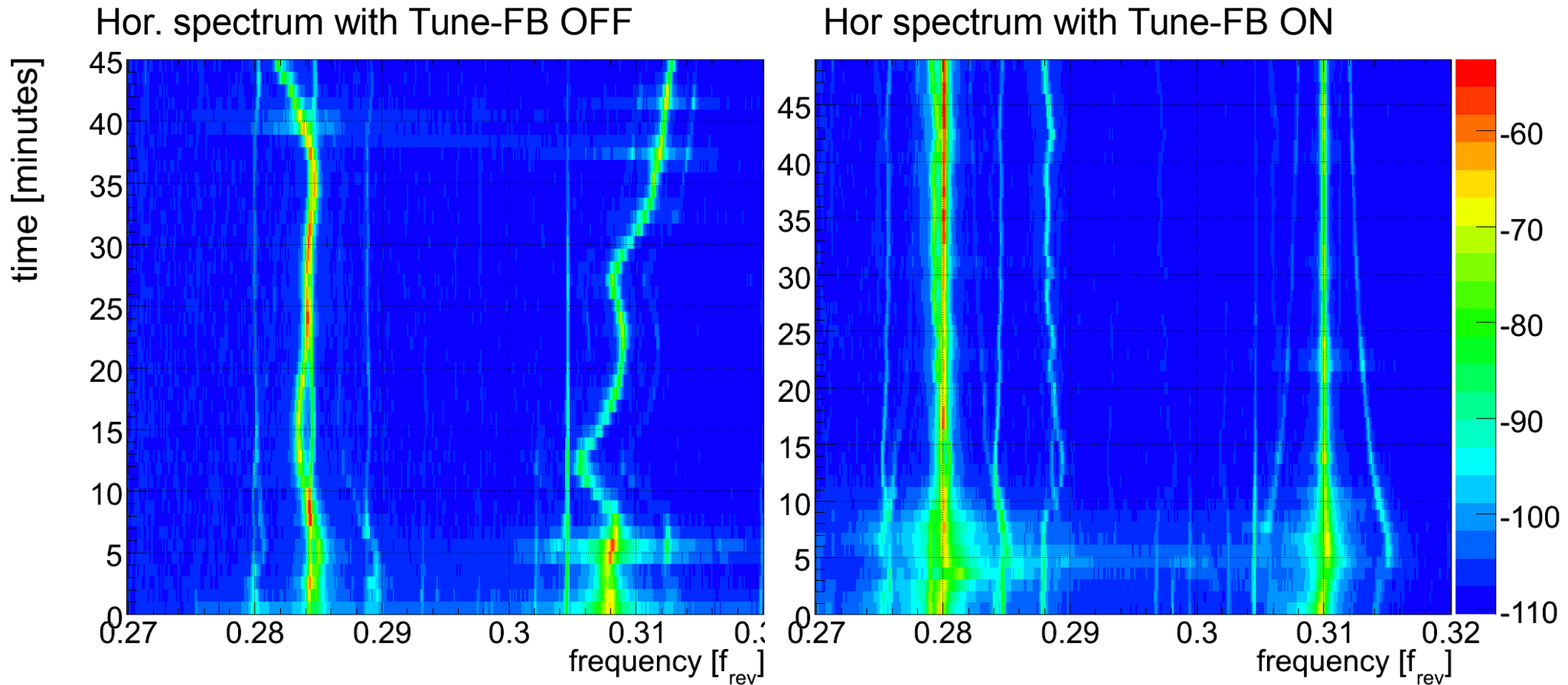


- BBQ → fast ADC → FPGA based digital signal processing chain, FFTs @ 500 – 1 kHz!

# Example: LHC Q/Q' Diagnostics



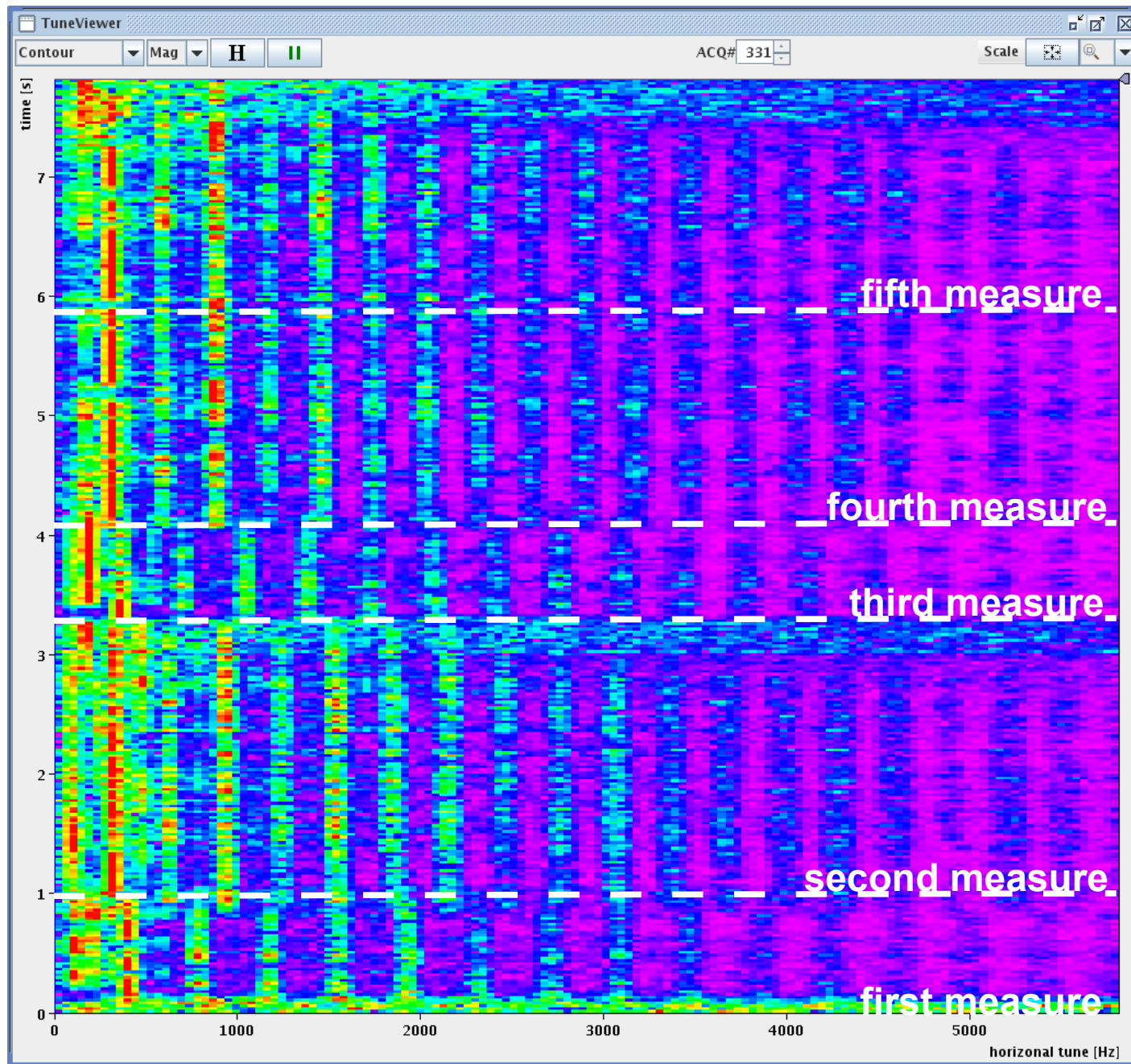
# Tune Feedback in the LHC



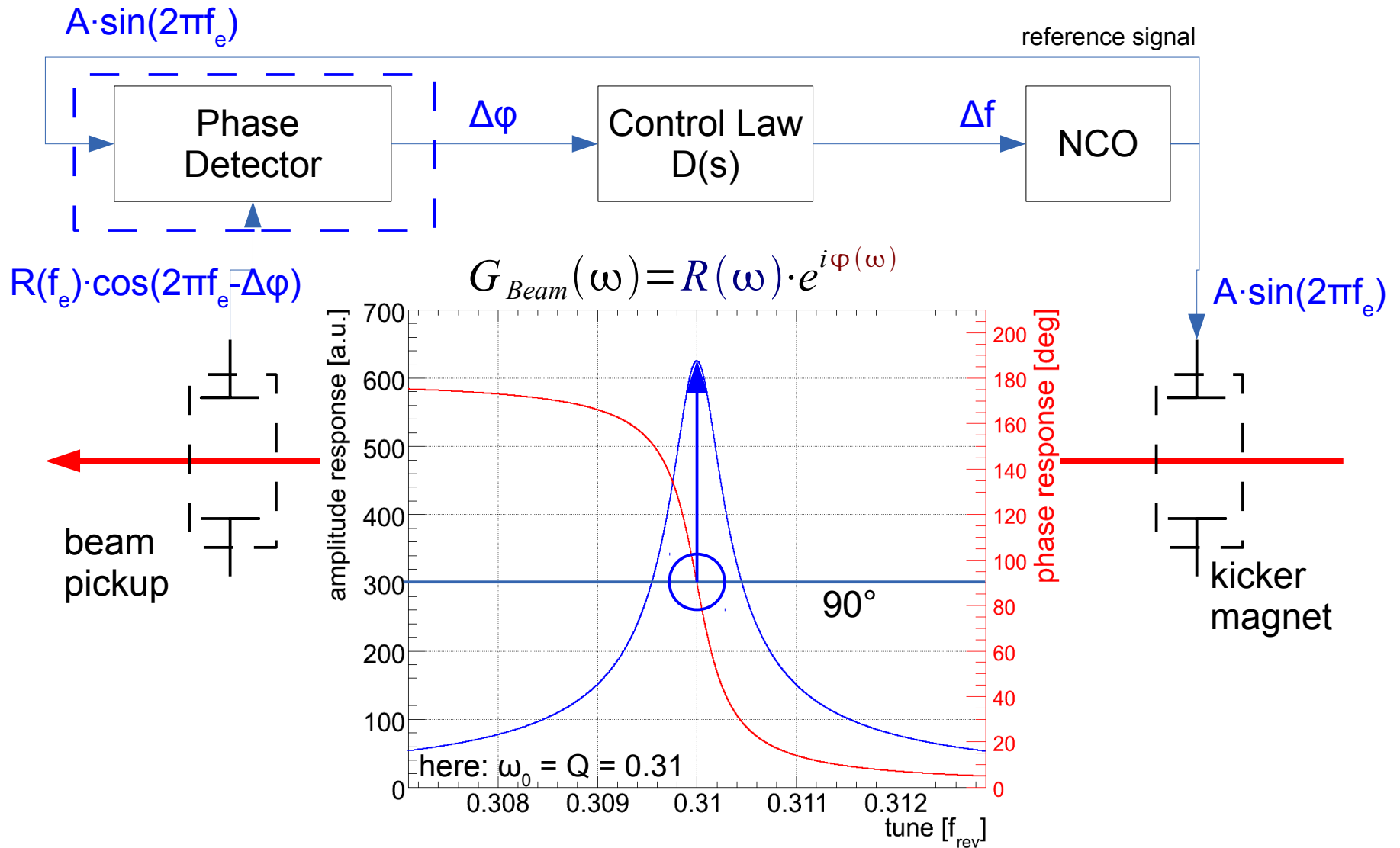
- With full pre-cycling the fill-to-fill stability is now typically  $2\text{-}3 \times 10^{-3}$
- Variations frequently increase up to 0.02
  - Due to partial or different magnet pre-cycles after e.g. access or sector trips
- Tune-FB routinely used for physics ramps to compensate these effects
  - Using peak fit on FFT with 0.1..0.3 Hz Bandwidth

# Reference Spectra

## Beethoven's 5<sup>th</sup>, First Five Measures

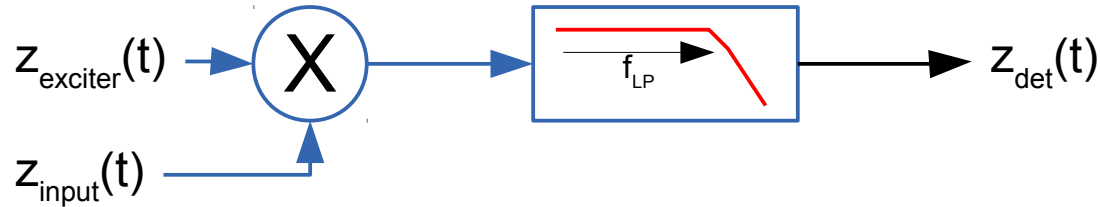


# Classic Phase-Locked-Loop Tune Diagnostics



- **BTF provides also information on collective effects**
  - (landau damping  $\rightarrow$  spread distribution) impedance, stability diagram, lattice nonlinearities ( $Q'$ ,  $Q''$ ), etc.

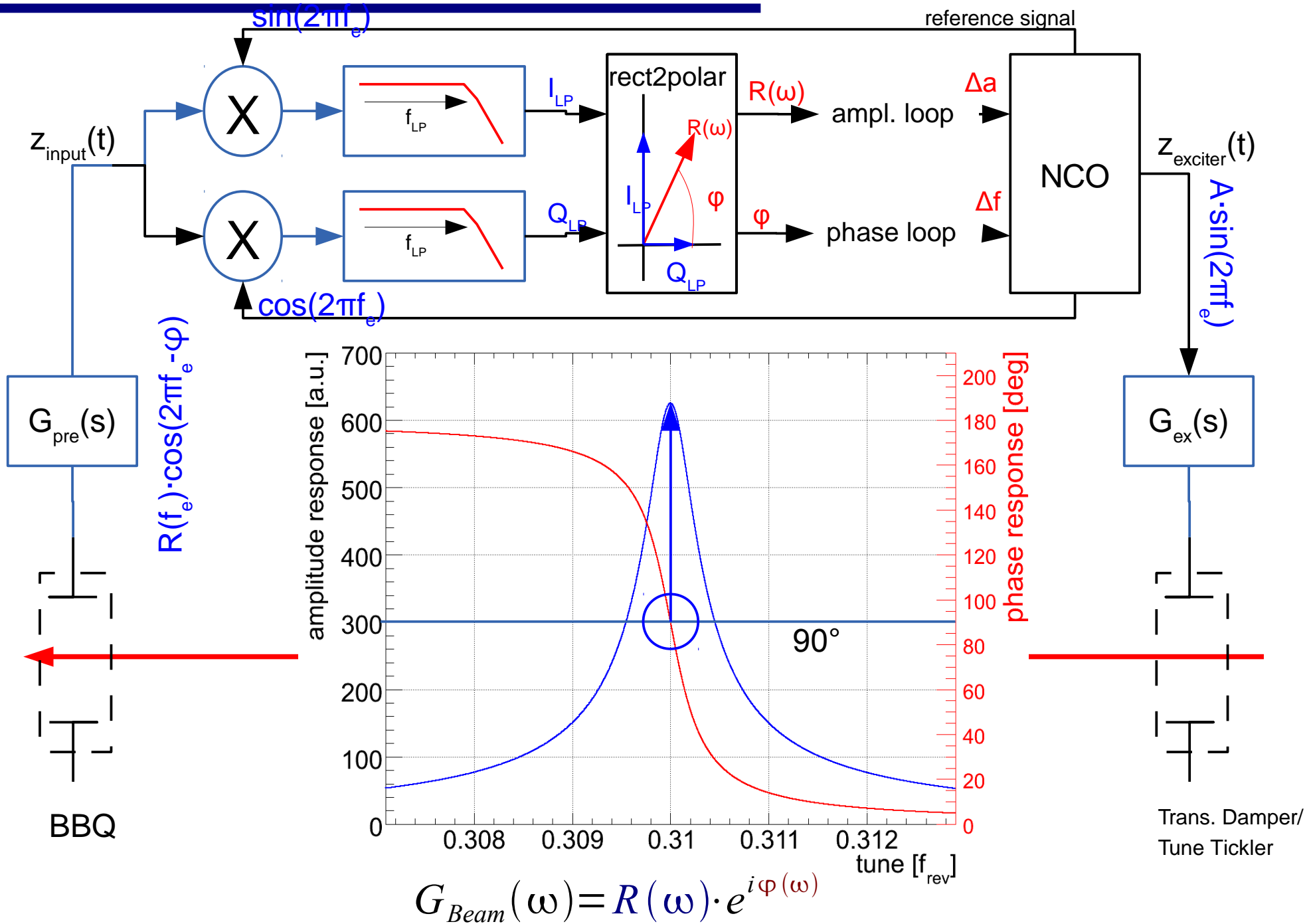
# Classic PLL Detector



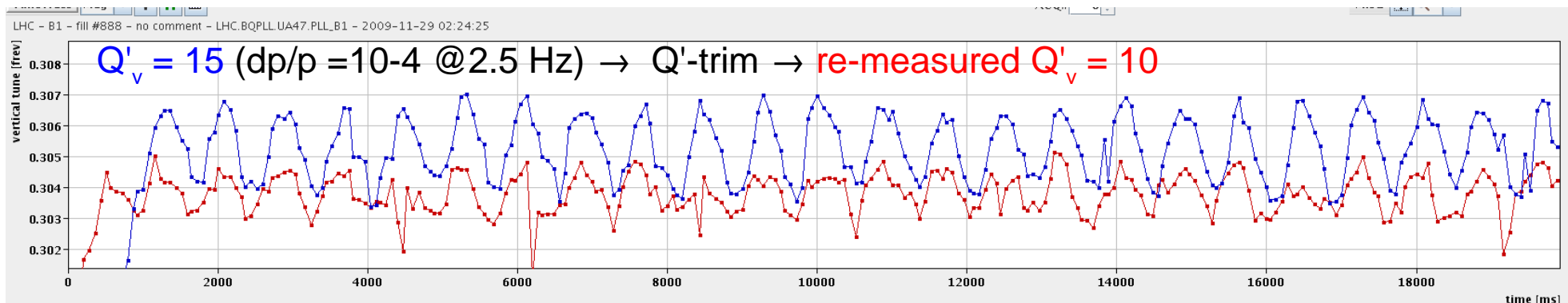
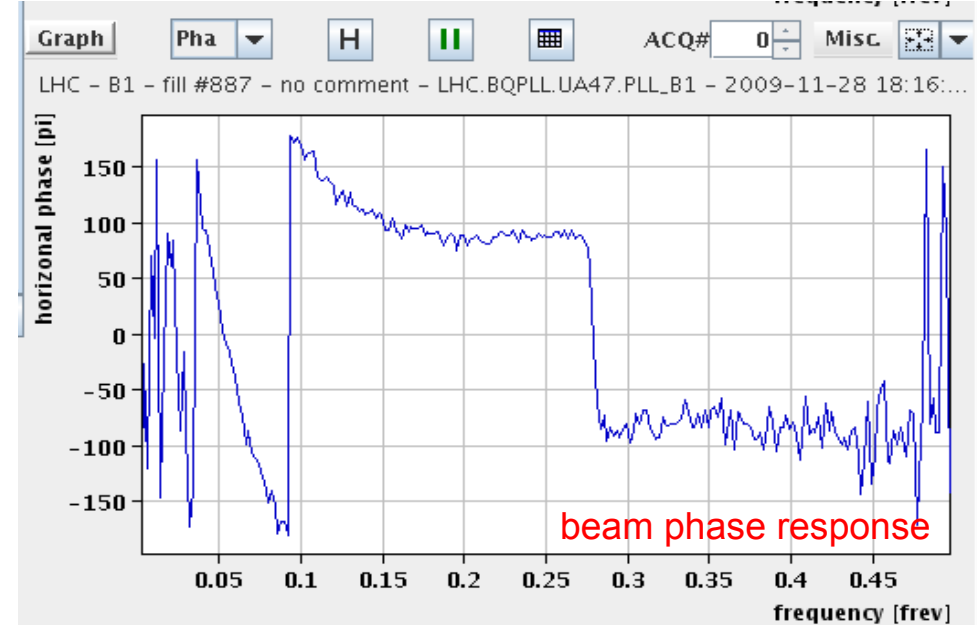
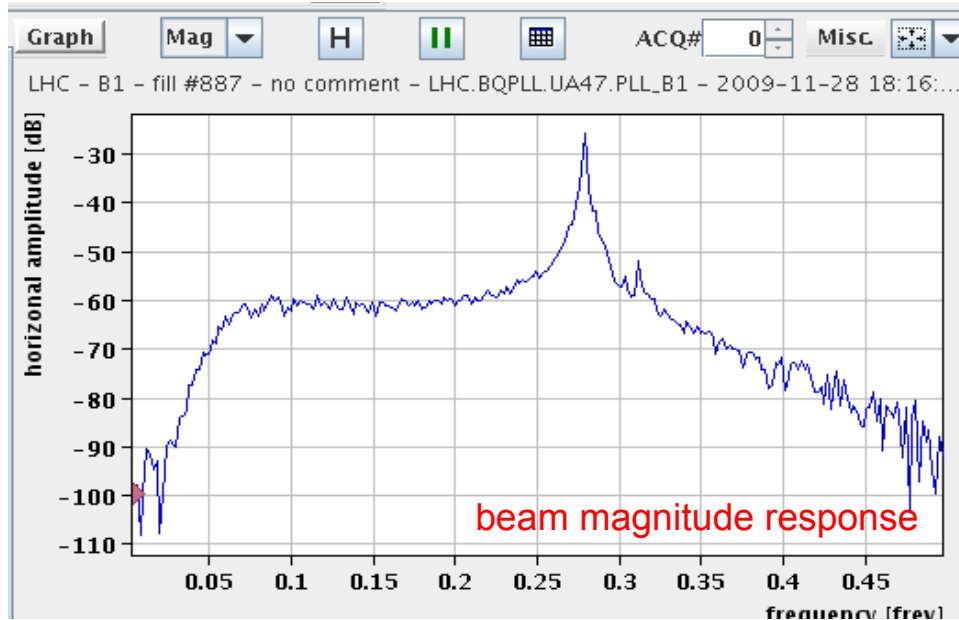
$$\begin{aligned}
 z_{det}(t) &= LP\left(z_{input}(t) \cdot z_{exciter}(t)\right) \\
 &= LP\left(R(f_e) \cdot \cos(2\pi f_e - \Delta\varphi(t)) \cdot A \sin(2\pi f_e)\right) \\
 &= \underbrace{\frac{AR}{2} \sin(\Delta\varphi(t))}_{\text{for small phases}} + \cancel{\frac{AR}{2} \sin(4\pi f_e - \Delta\varphi(t))} \\
 &\approx \Delta\varphi(t) \quad \text{removed by low-pass filter}
 \end{aligned}$$

- Pro: robust analogue circuit implementation possible
- Con:
  - non-linear control signal for large phase difference  $\Delta\varphi$
  - Control signal depends on beam response's amplitude  $R(f_e)$

# Advanced Phase-Locked-Loop Scheme



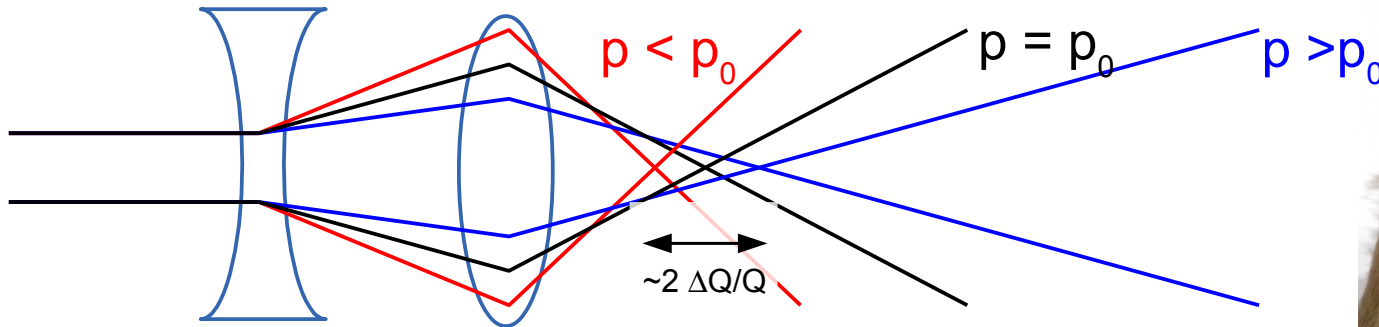
# LHC Q/Q' Phase-Locked-Loop





# Beam Chromaticity - Primer

- Light optics analog: chromatic error



chromaticity



- Tune spread  $DQ/Q$  dependence on momentum spread  $Dp/p$ :

$$\Delta Q := Q' \cdot \frac{\Delta p}{p} \quad \text{or:} \quad \frac{\Delta Q}{Q} := \xi \cdot \frac{\Delta p}{p}$$

– defines: (normalised) 'chromaticity'  $Q'$  ( $\xi$ )

→ also 1<sup>st</sup> order measurement principle



# Recap: Tune & Quadrupole Gradient Errors

Hill's equation

$$z'' + k(s) \cdot z = f(z)$$

- Why do we need to measure the tune at all?

- Quadrupole strength (hor. focusing):  $k(s) = \frac{q}{p} \frac{\partial B}{\partial x}$

- Quadrupole gradient errors:  $k(s) \rightarrow k_0(s) + \Delta k(s)$

- saturation of iron yoke, magnet calibration errors, power converter ripple, etc.

$$\Delta Q = \frac{1}{4\pi} \beta(s) \cdot \Delta k(s)$$

→ watch out for quadrupole errors at large beta functions (e.g. final focus)!

# Recap: Tune & Momentum Error I/II

$$\Delta Q = \frac{1}{4\pi} \oint \beta(s) \cdot \Delta k(s) ds$$

- Why do we need to measure the tune at all?

- Quadrupole strength (hor. focusing):  $k(s) = \frac{q}{p} \frac{\partial B}{\partial x}$

- Beam momentum error:  $p \rightarrow p_0 + \frac{\Delta p}{p_0}$

$$f_x(s) = k(s) \cdot x \approx k_0(s) \cdot x - \underbrace{k_0(s) \cdot \frac{\Delta p}{p}}_{\rightarrow \Delta k(s)} \cdot x + \underbrace{k_0(s) \left( \frac{\Delta p}{p} \right)^2}_{\sim Q''} \cdot x \quad \left[ \frac{1}{1+x} = 1 - x + x^2 + h.o. \right]$$

- Inserting ' $\Delta k$ ' into tune shift formula yields 'natural chromaticity'  $Q'_{nat}$  definition:

$$\Delta Q = -\frac{1}{4\pi} \left[ \oint \beta(s) \cdot k(s) ds \right] \cdot \frac{\Delta p}{p_0} := Q'_{nat} \cdot \frac{\Delta p}{p_0}$$

- $\sim$  number of quadrupoles ( $\sim$  accelerator circumference)
    - always negative (since  $\beta(s) > 0$ )  $\rightarrow$  drives head-tail instability

$\rightarrow$  needs to be compensated for nearly all (big/high intensity) machines

# Recap: Tune & Momentum Error II/II

- Sextupolar field:

$$f_{x/y}(s) = \begin{cases} +\frac{1}{2}m(s)\cdot(x^2 - y^2) \\ -m(s)\cdot x\cdot y \end{cases} \quad \text{with } m(s) = \frac{q}{p} \frac{\partial^2 B}{\partial x^2}$$

Hill's equation

$$z'' + k(s)\cdot z = f(z)$$

- Off-Momentum particle passage through sextupole (assume  $y=0$ ):  $x \rightarrow D\cdot\frac{\Delta p}{p} + x_\beta$ 
  - keep only relevant order (estimate:  $D \sim m$ ,  $\Delta p/p \sim 10^{-4}$  &  $x_\beta \sim 10^{-4}$  m)

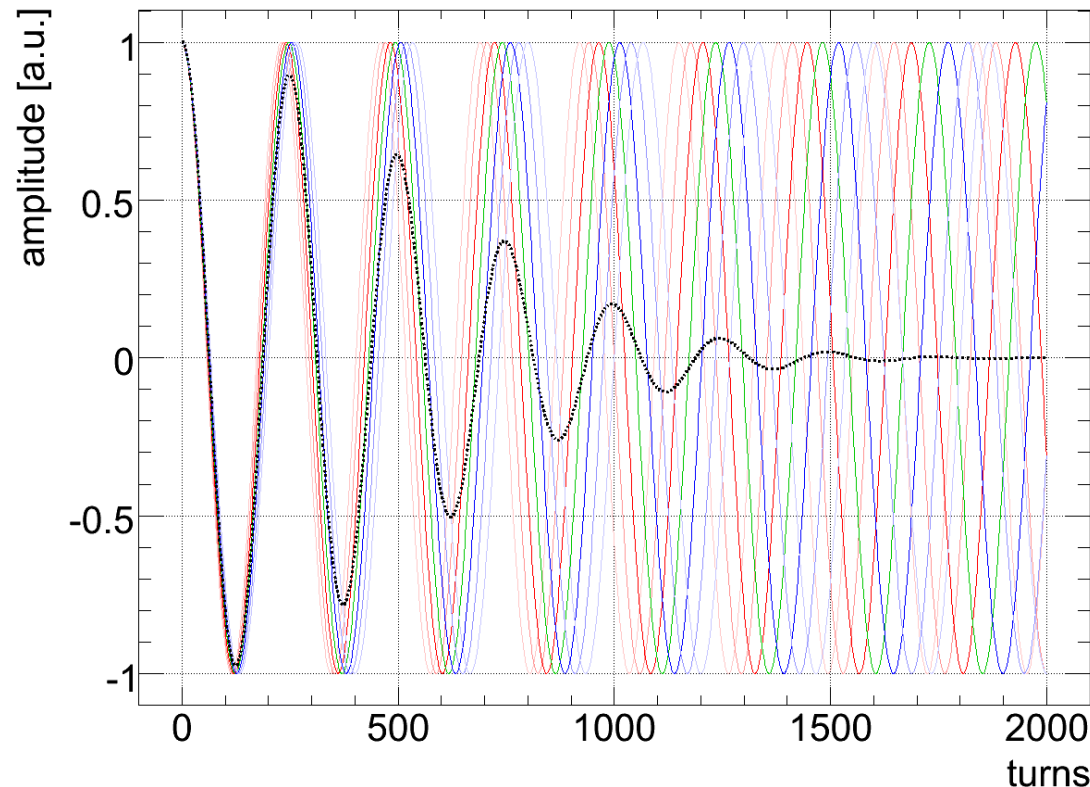
$$\begin{aligned} f_x(s) &= +\frac{1}{2}m(s)\cdot\left[\left(D\cdot\frac{\Delta p}{p} + x_{beta}\right)^2\right] \\ &= +\frac{1}{2}m(s)\cdot\left[\left(D\cdot\frac{\Delta p}{p}\right)^2 + 2\left(D\cdot\frac{\Delta p}{p}\right)\cdot x_{beta} + x_{beta}^2\right] \\ &= +\underbrace{m(s)\left(D\cdot\frac{\Delta p}{p}\right)\cdot x_{beta}}_{\sim Q'} + \underbrace{\frac{1}{2}m(s)\cdot\left(D\cdot\frac{\Delta p}{p}\right)^2}_{\sim Q''} + \underbrace{\frac{1}{2}m(s)\cdot x_{beta}^2}_{\rightarrow \text{Landau damping}} \end{aligned}$$

- linear natural chromaticity compensated if  $m(s)\cdot D(s) = k_0(s)$
- General linear chromaticity compensation relation:

$$Q' = \frac{1}{4\pi} \oint [D(s)m(s) - k(s)] \beta(s) ds$$

# Recap: “Landau Damping”

- Individual bunch particles usually differ slightly w.r.t. their individual tune  
 → Literature: “Landau Damping” (Historic misnomer: particle energy is preserved!)



- E.g. if  $f(\Delta Q)$  is a narrow Gaussian distribution with  $\sigma Q \ll Q$ :

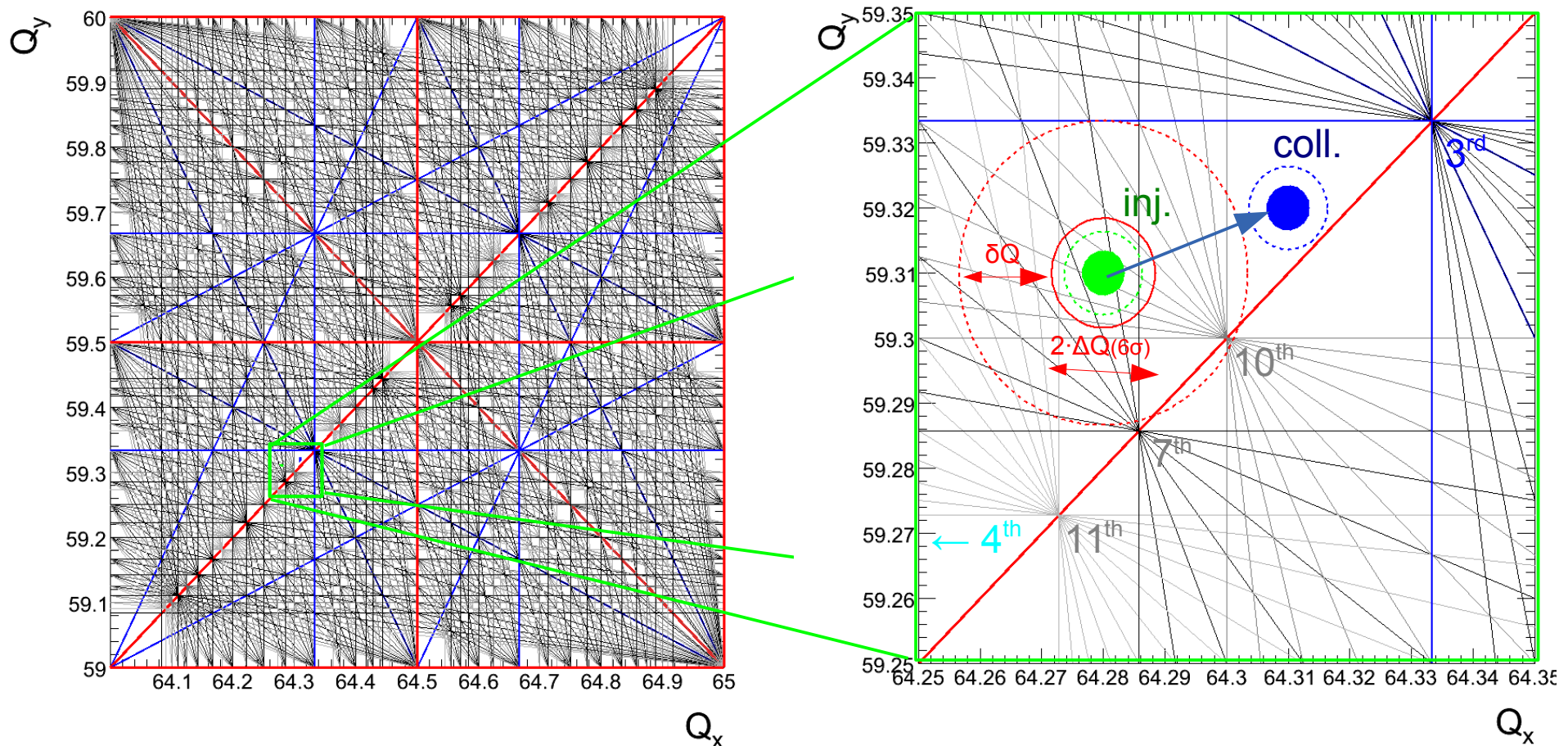
$$\bar{z}(t) = \underbrace{\bar{z}_0 \cdot e^{-\frac{1}{2} \cdot \sigma_Q^2 \cdot n^2}}_{\text{dampening}} \cdot \underbrace{\cos(2\pi Q \cdot n)}_{\text{tune oscillations}}$$

→ large tune spread ↔ fast damping of e.g. head-tail instabilities

→ Tune oscillations are usually damped

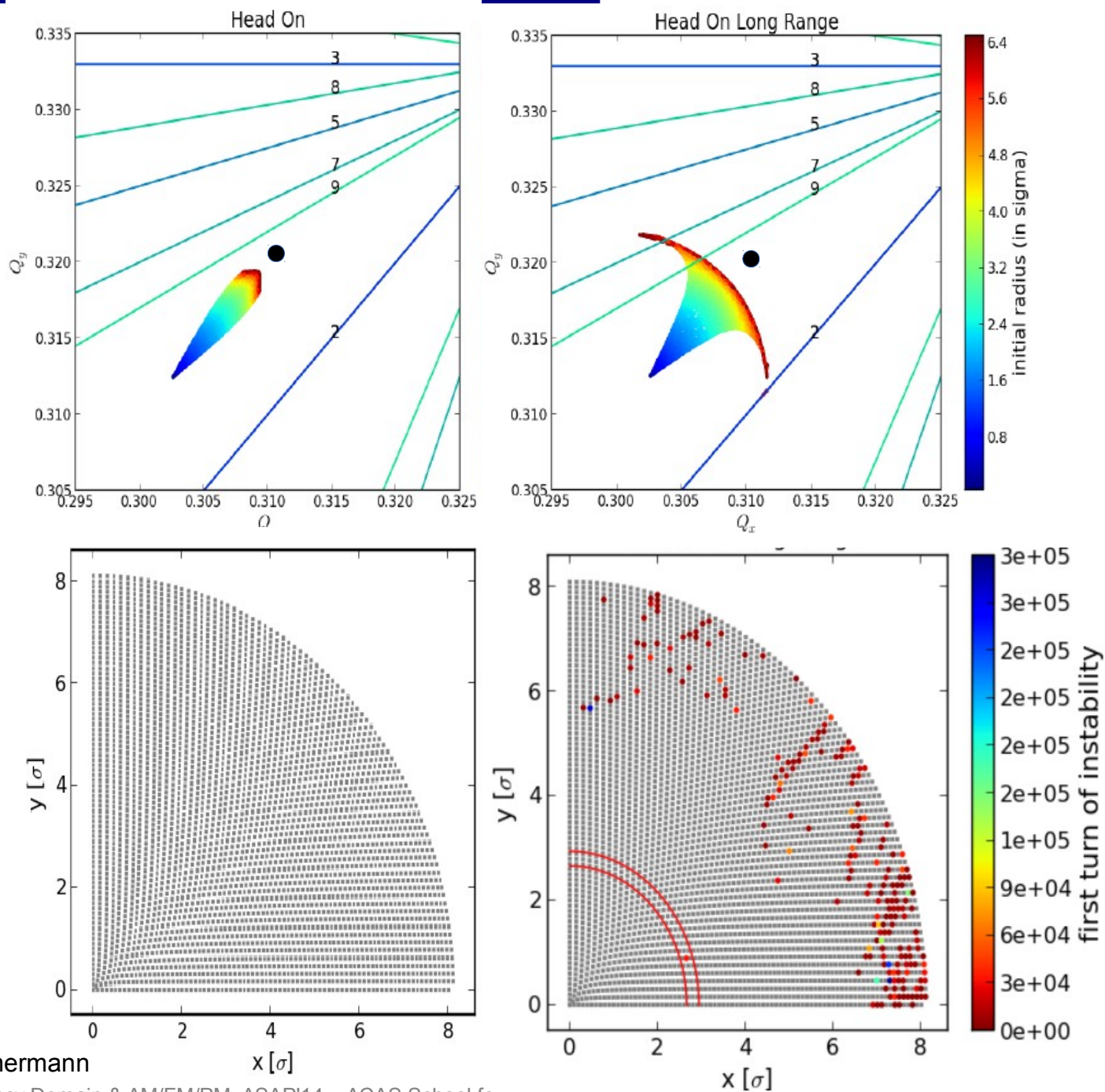
# Why bother about measurement, stability & control of $Q'$ , $Q''$ , ...?

- Increases footprint in  $Q$  diagram and causes resonances for off-momentum particles  
Example LHC (RF cavities 'off'):



- need to obey this if we want to have more than one particle in the machine.
- Head-Tail instability → requires positive chromaticity for machines above transition
  - practically all lepton accelerators ( $e^+e^-$  collider, light sources, ...)
  - high-energy proton accelerator (Tevatron, RHIC, SPS, LHC, ...)

# Beam-Beam Interactions – Simulations



analysis: T.Rijoff & F. Zimmermann

# LHC Base-Line Q/Q' Diagnostics Overview

- RF momentum modulation

$$Q' = \frac{\Delta Q}{\Delta p/p}$$

*← measured tune change*  
*← RF induced momentum change (known)*

– Measurement procedure (manual – human driven):

1. Step: measure tune  $Q_1$
2. Step: change  $\Delta p/p$  (RF cavities), measure tune  $Q_2 \rightarrow \Delta Q = Q_2 - Q_1$
3. Step: enter  $\Delta Q$  &  $\Delta p/p$  into above definition  $\rightarrow Q'$

- Kicked Head-Tail Phase-Shift

- Q' driven phase shift of bunch head- versus tail-oscillation

- Tune-width and de-coherence based methods

- PLL Side-exciter & higher order fits

collective effects  
- handle with care



# Non-Linear Chromaticity

- Tune-shifts may depend not only linearly but also quadratically on  $\Delta p/p$

→ Second order Chromaticity  $Q''$

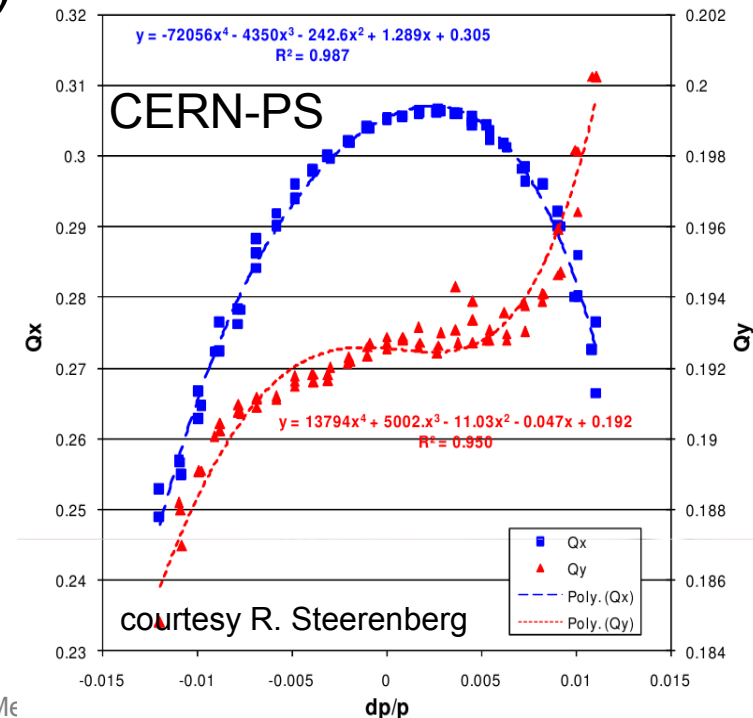
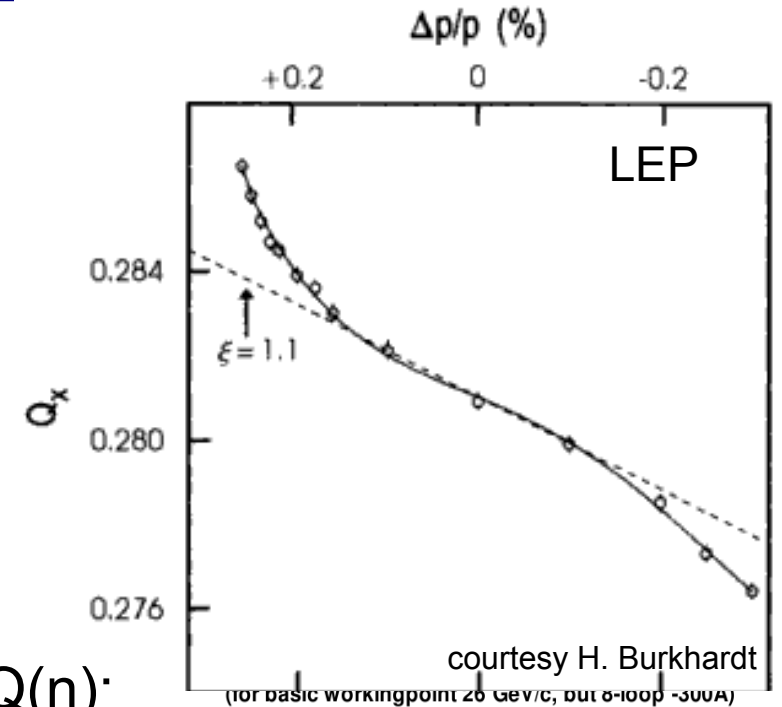
$$\Delta Q = Q'' \cdot \left( \frac{\Delta p}{p} \right)^2$$

- Can be generalised to higher orders  $Q''' \dots Q^{(n)}$

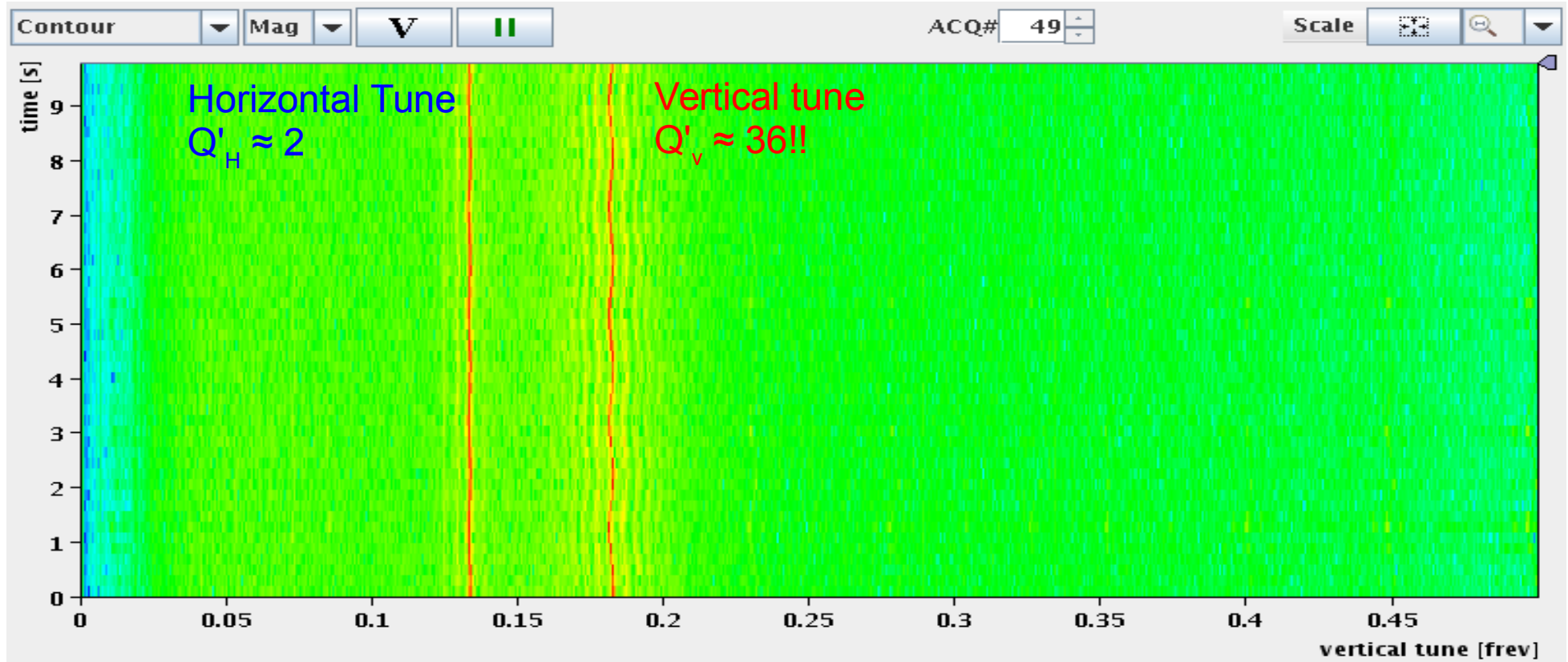
$$Q^{(n)} = \frac{\partial^{(n)} Q}{\partial \delta^{(n)}} \quad \text{with} \quad \delta := \frac{\Delta p}{p}$$

- Principle stays the same:
  - Measure  $Q$  as a function of  $\Delta p/p$
  - Fit  $n$ -th order polynomial to the tune shift
  - returns:  $Q, Q', Q'', Q''', \dots$

- However: correction is highly non-trivial!!

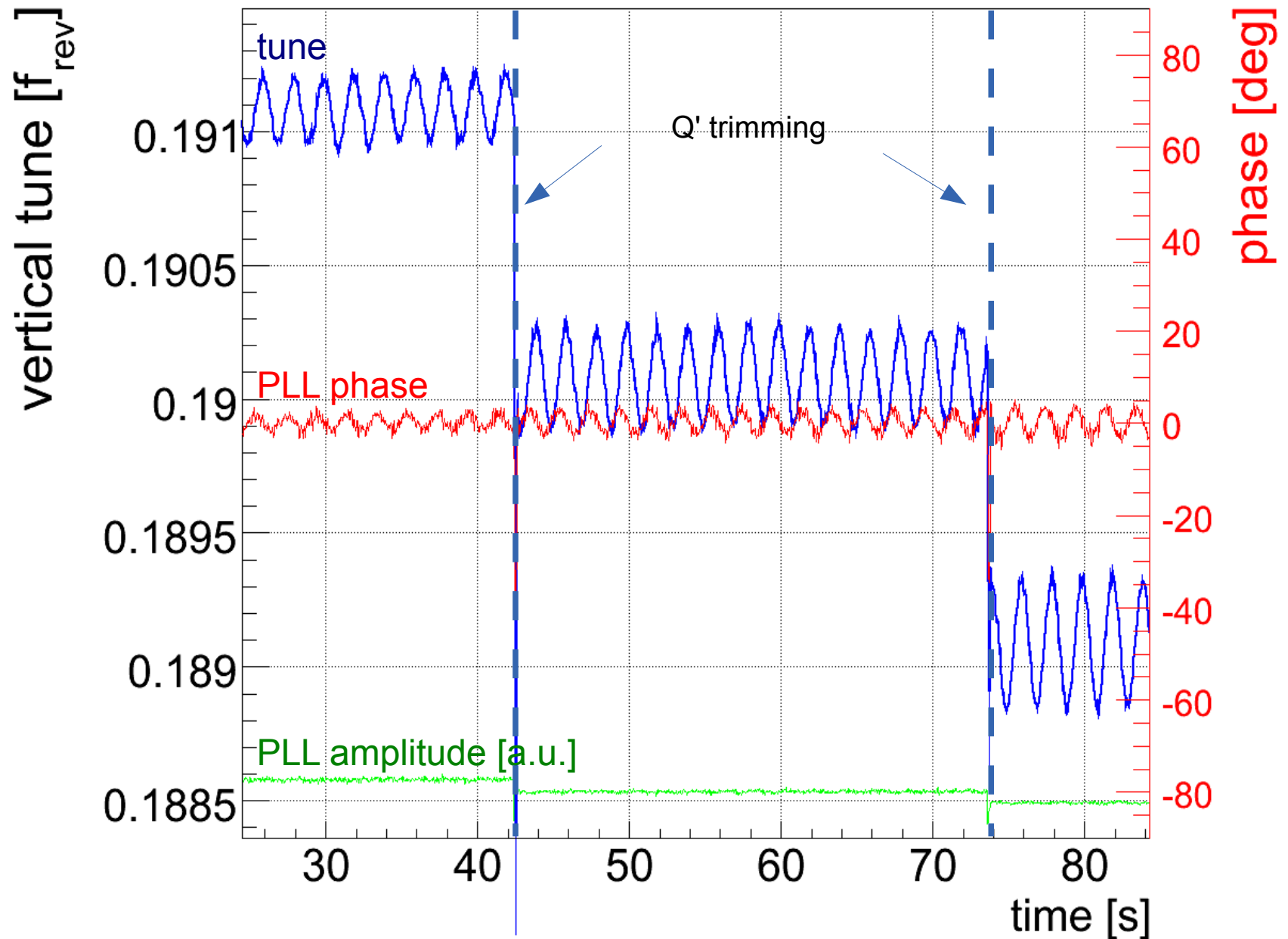


# Example: CERN-SPS – $\Delta p/p \sim 2 \cdot 10^{-5}$ Modulation

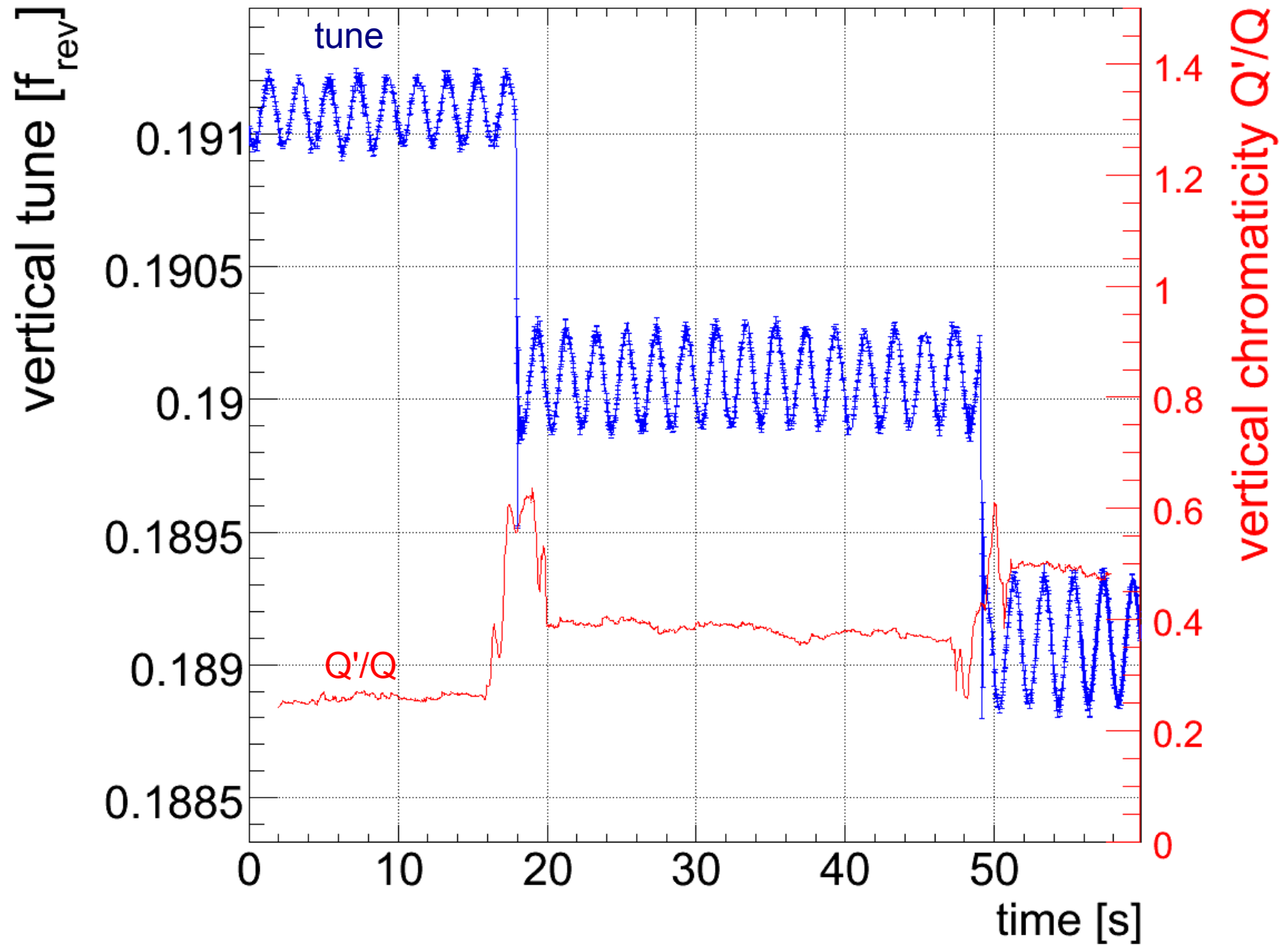


- $Q'$  resolution is limited by tune resolution
  - large  $Q'$  increases frequency spread  $\rightarrow$  Landau damping
  - can be improved by e.g. using a PLL
    - achievable frequency resolution  $\Delta Q_{\text{res}} \sim 10^{-5} \dots 10^{-6}$

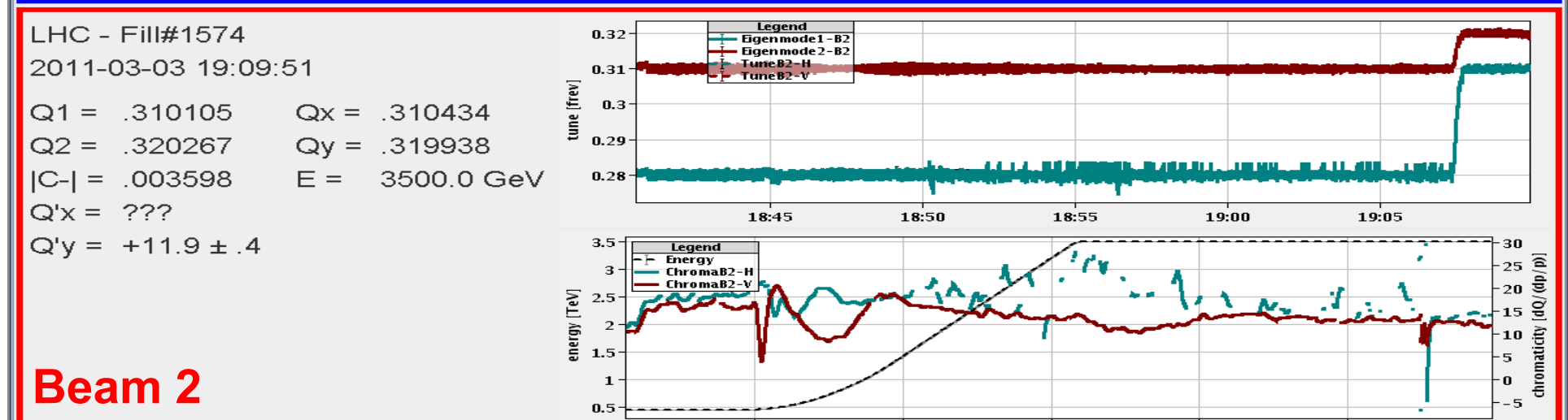
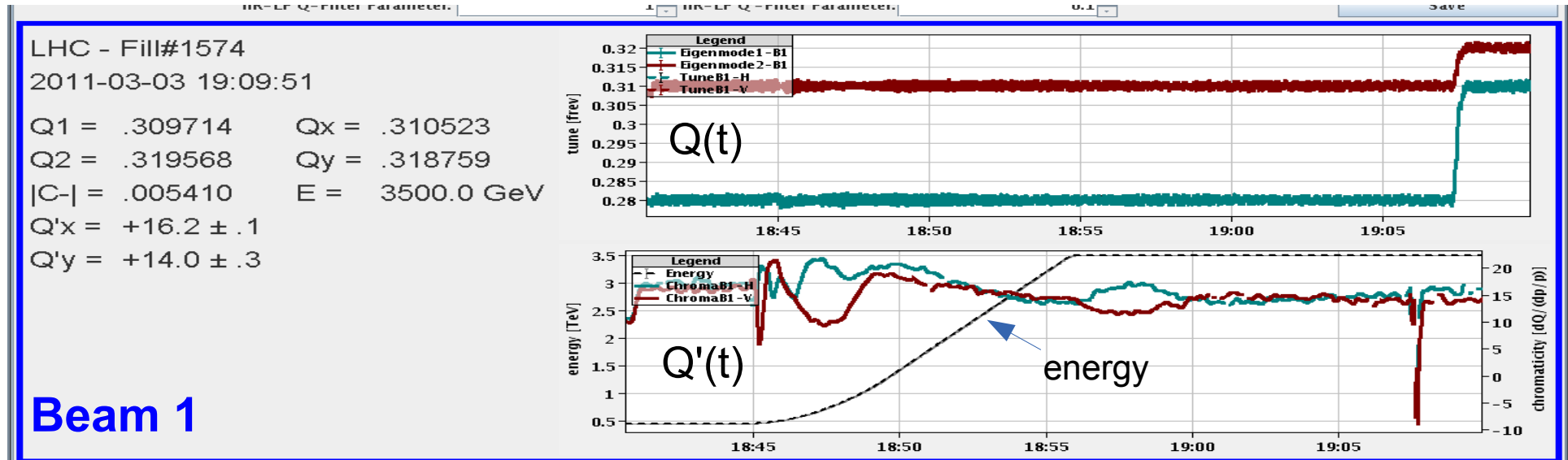
# Example: SPS-PLL based Q/Q' tracking $\Delta p/p \approx 1.85 \cdot 10^{-5}$



# Example: SPS-PLL based Q/Q' tracking $\Delta p/p \approx 1.85 \cdot 10^{-5}$

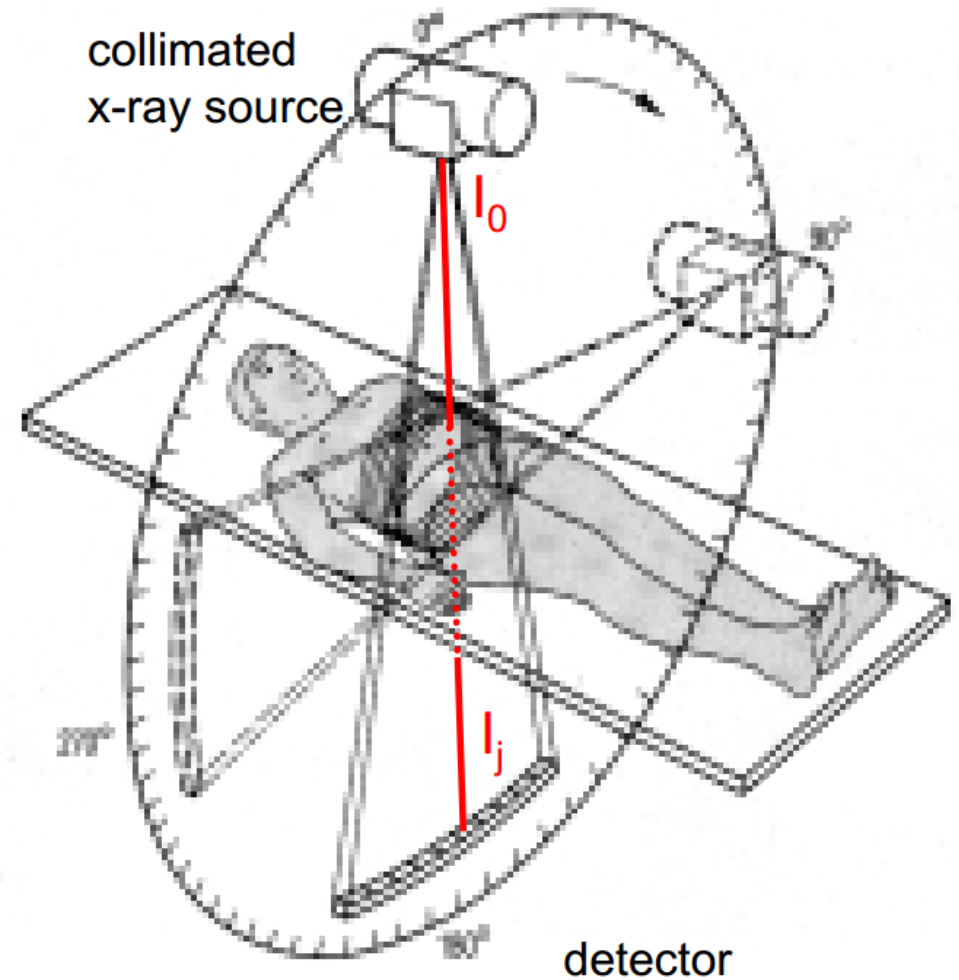


# Typical Q/Q'(t) Control Room View

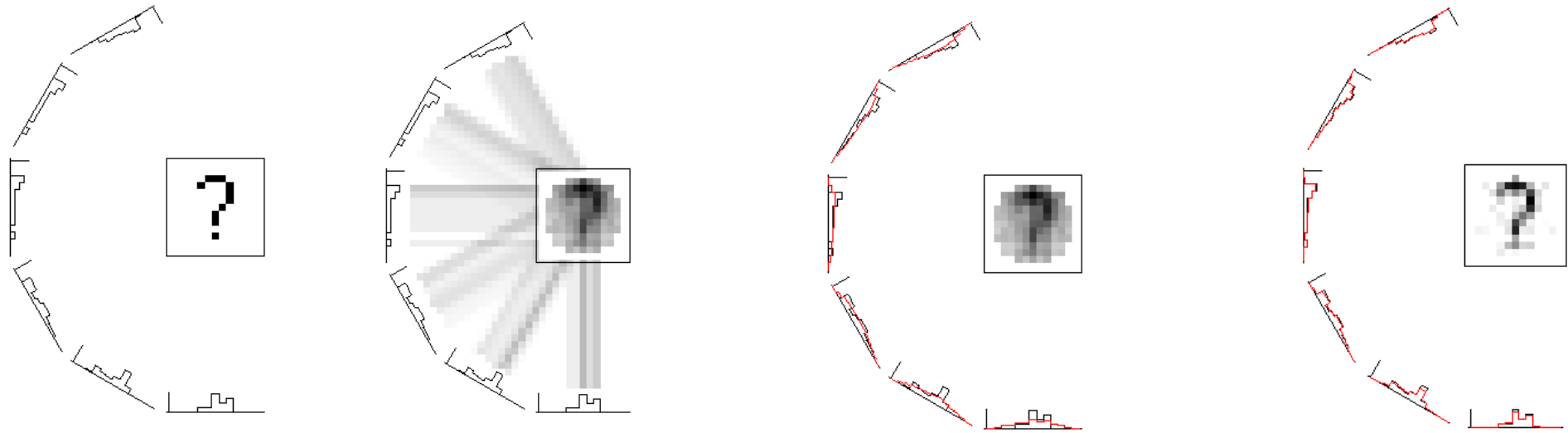




# Computed Tomography (CT)

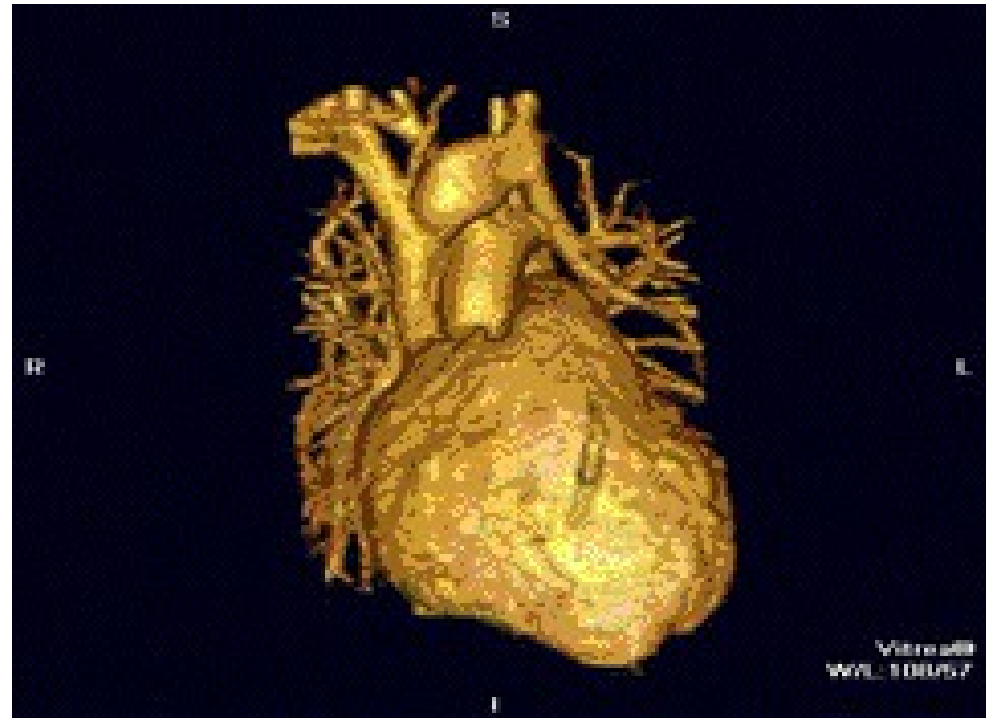
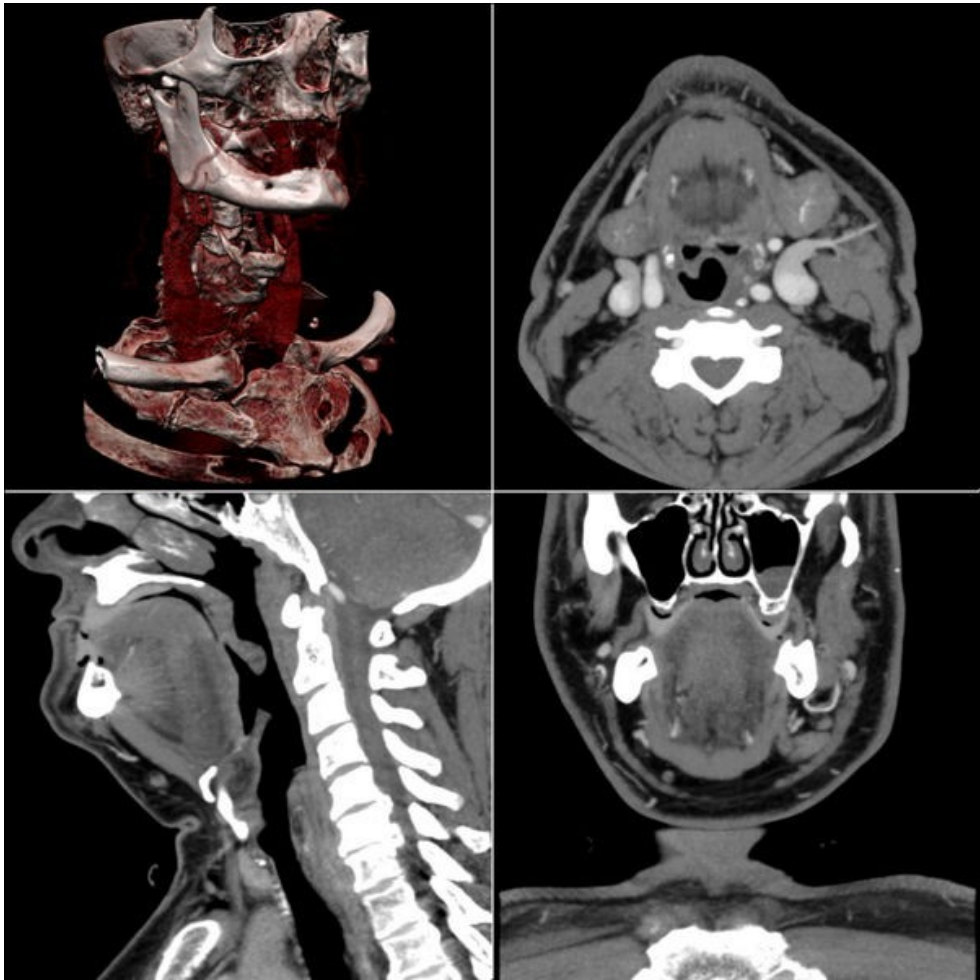


# CT Reconstruction

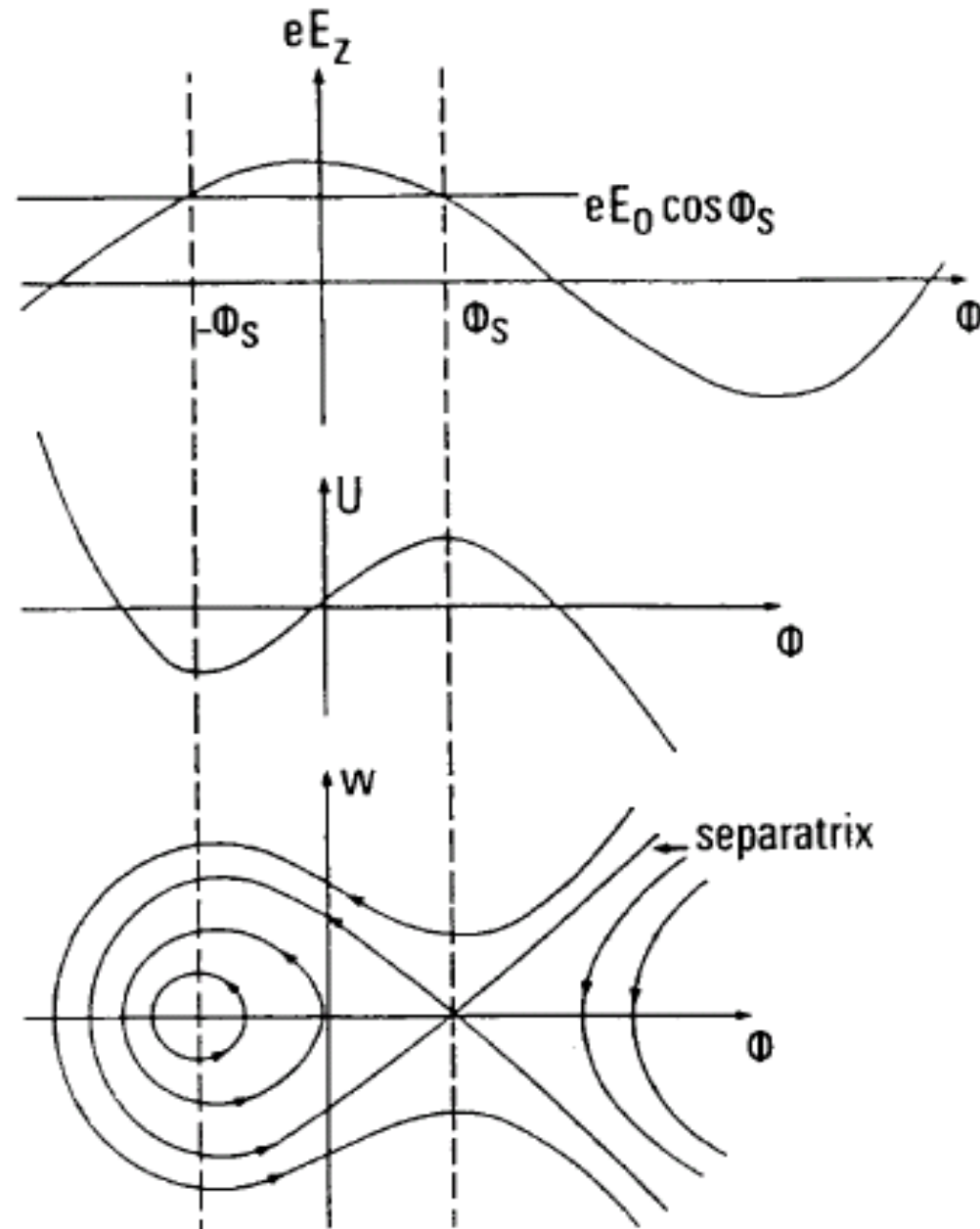




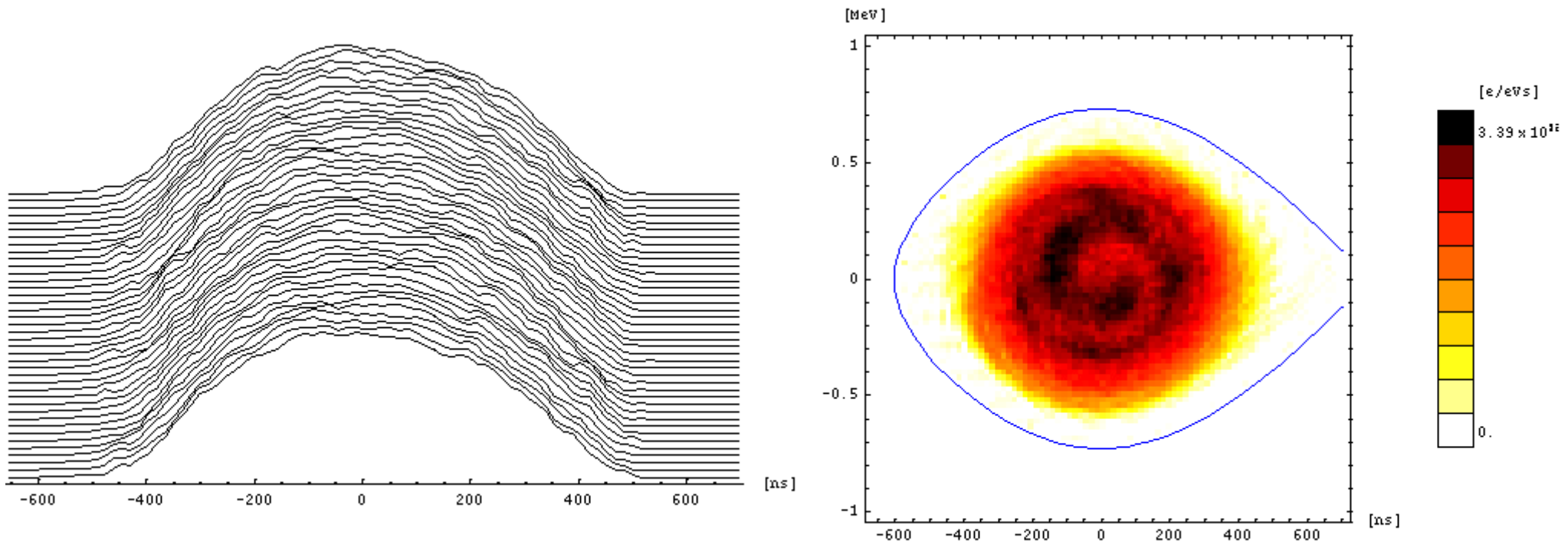
# Some CT Results



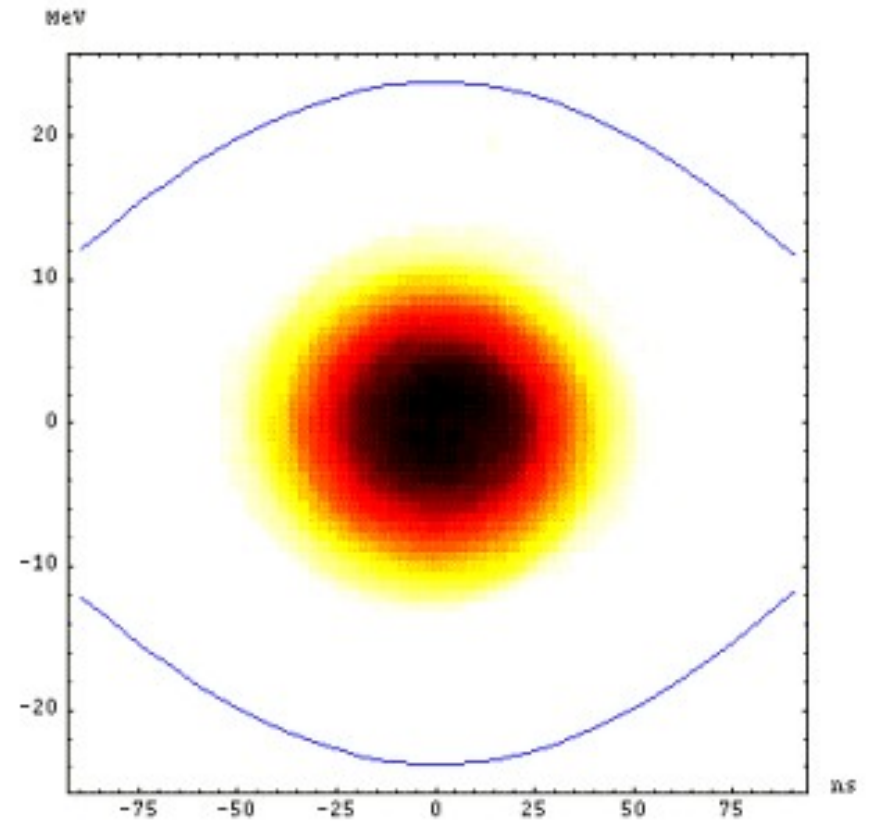
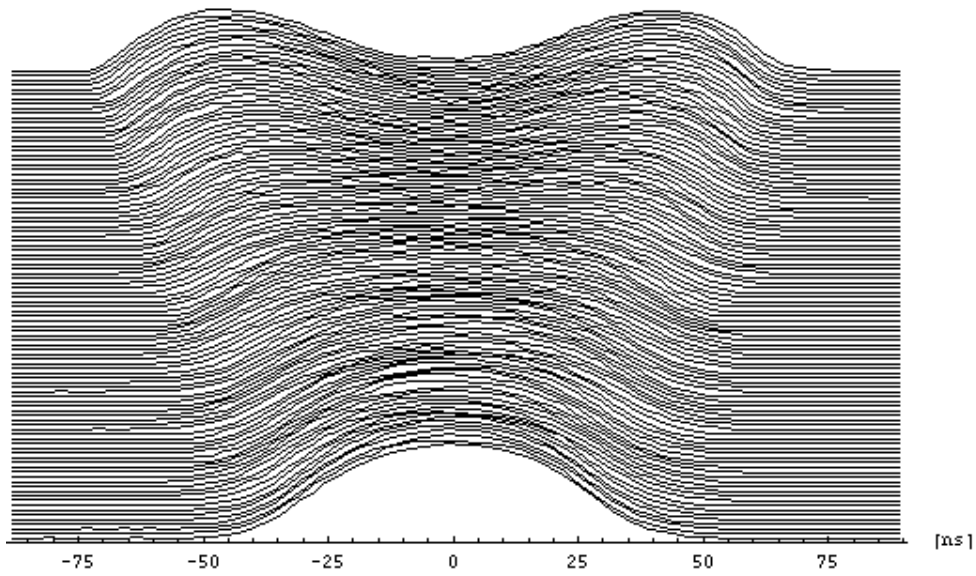
# Computed Tomography and Accelerators



# Reconstructed Longitudinal Phase Space



# Bunch Splitting





# Tune Feedback & Active Damping

- BBQ noise-floor raised by 30 dB
    - wide tune peak  $\rightarrow$  reduces tune resolution from  $10^{-4}$   $\rightarrow$   $\sim 10^{-2}$
    - Impacts reliable tune (and coupling) measurement & feedback
    - Incompatible with chromaticity measurements using small  $\Delta p/p$ -modulation
- $\rightarrow$  track tune on subsets of bunches with reduced damper gain

