

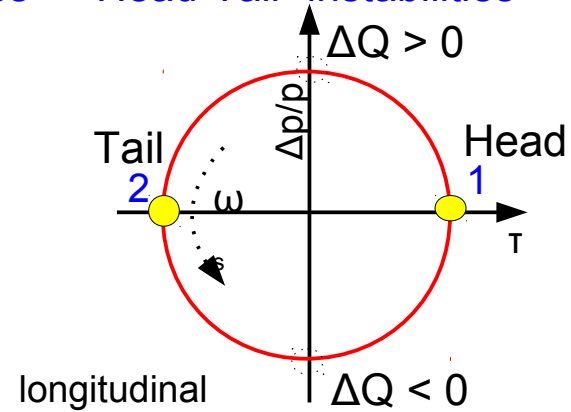
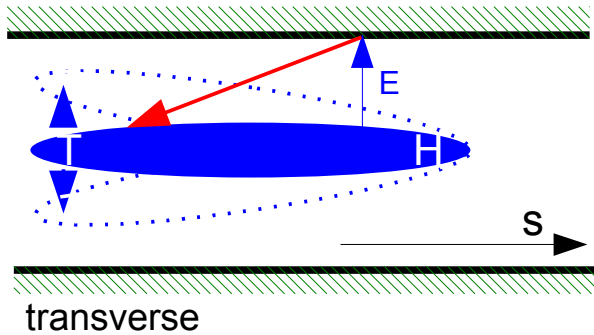
# Fast Inter/Intra-Bunch Activities related to CLIC and LHC

– Update on Electro-Optical BPM Activities –

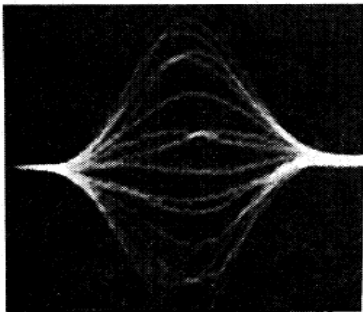
Ralph J. Steinhagen

Beam Instrumentation Group, CERN

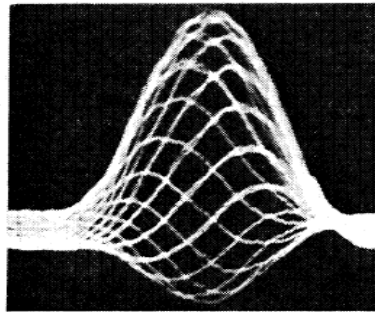
- Transverse instabilities come in various flavours, e.g.:
  - Lower-order modes: Inter-bunch resolving bunch-by-bunch motion → BPMs
  - High-order modes: Intra-bunch instabilities → Head-Tail<sup>1</sup> instabilities



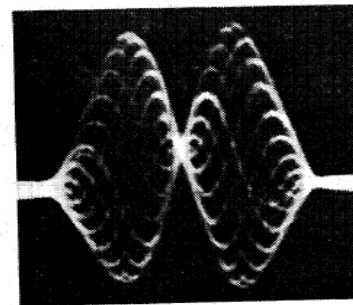
- Studied intensively in the CERN-Booster<sup>2</sup>:



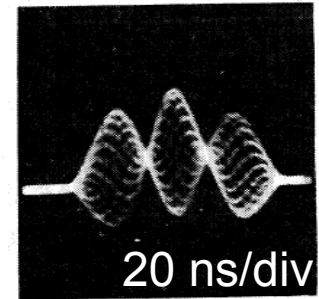
a) mode  $m = 0$ ,  $\chi = 0$



b)  $m = 0$ ,  $\chi = 2.3$  radians



b)  $m = 1$ ,  $\chi = 6.9$  radians



d)  $m = 2$ ,  $\chi = 6.9$  radians

- PS: 120 ns bunch length ↔ less demanding in terms of bandwidth
- SPS/LHC: bunch length down to 1 ns → requires multi-GHz analog bandwidth

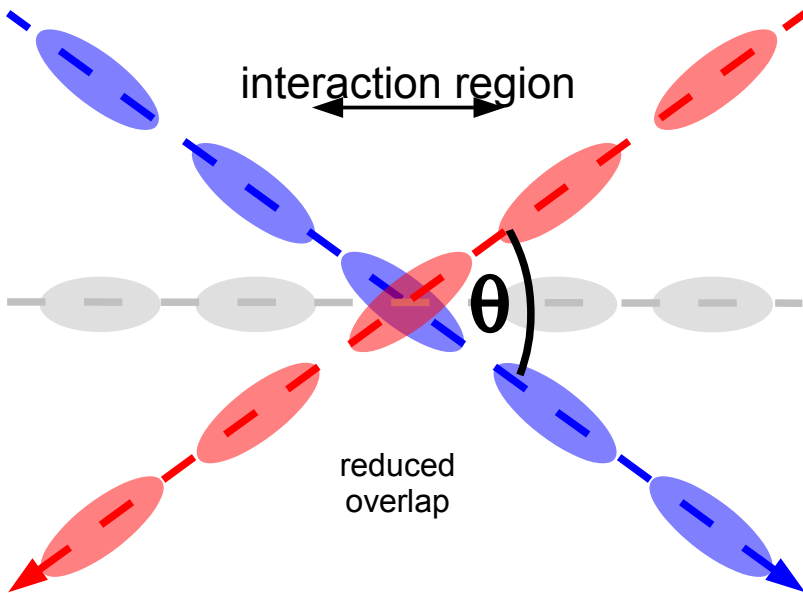
1 M. Sands, "The Head-Tail Effect: An Instability Mechanism in Storage Rings", SLAC-TN-69-008, 1969

2 J. Gareyte, "Head-Tail Type Instabilities in the PS and Booster", CERN, 1974

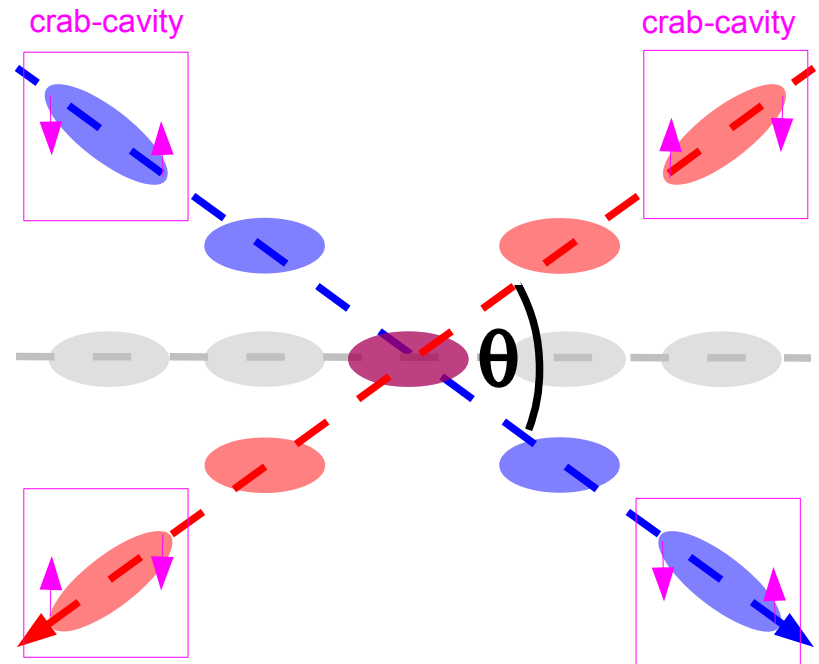
- Need crossing angle  $\theta$  to avoid additional parasitic collisions in the IR  
 → reduces bunch overlap → reduces luminosity:

$$L = L_0 \cdot F_{crossing} \cdot \dots = L_0 \cdot \frac{1}{\sqrt{1 + \frac{\sigma_s}{\sigma_{x,y}} \tan(\theta/2)}} \cdot \dots$$

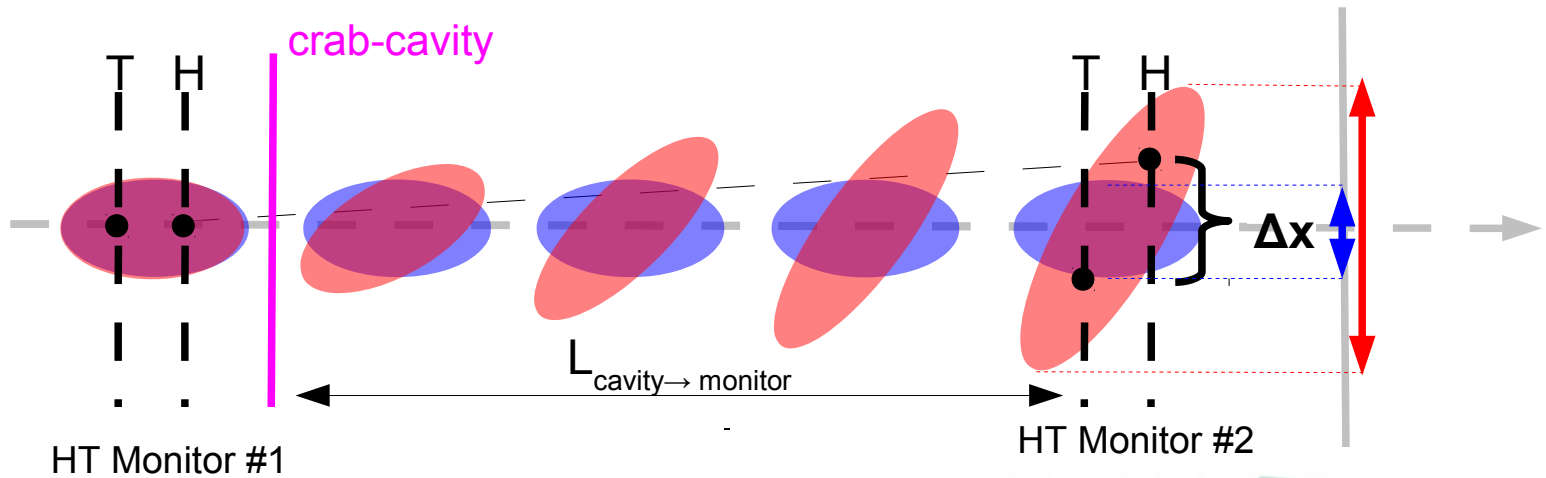
- Without crab-cavity:



- Aim with crab cavity:  $F_{crossing} \approx 1$



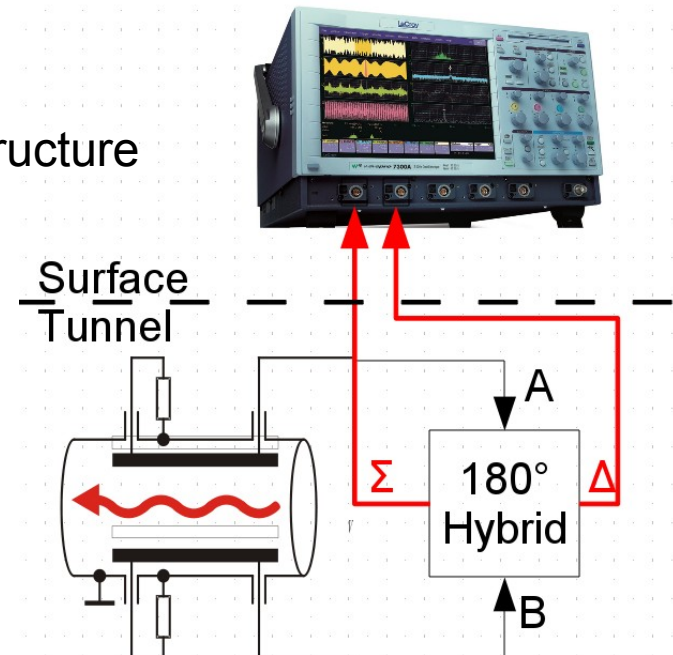
- Direct measurement of crab-cavity kick angle  $\theta$  and phase error  $\Delta\phi$   
 → orbit difference  $\Delta x$  between head and tail of the bunch



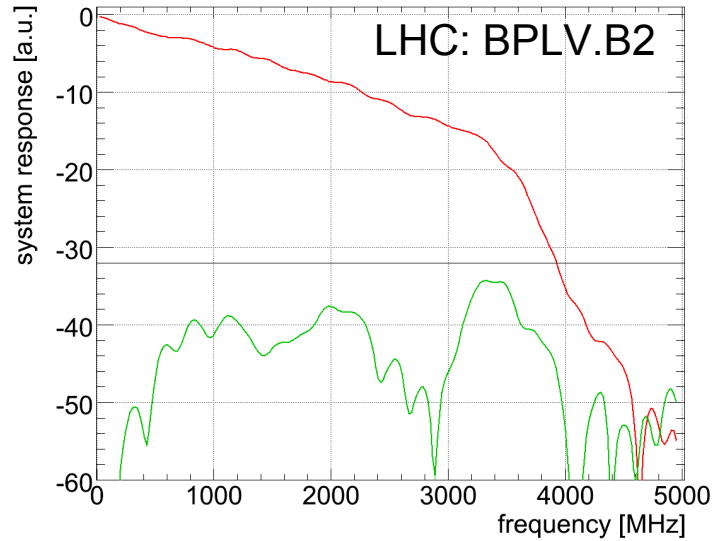
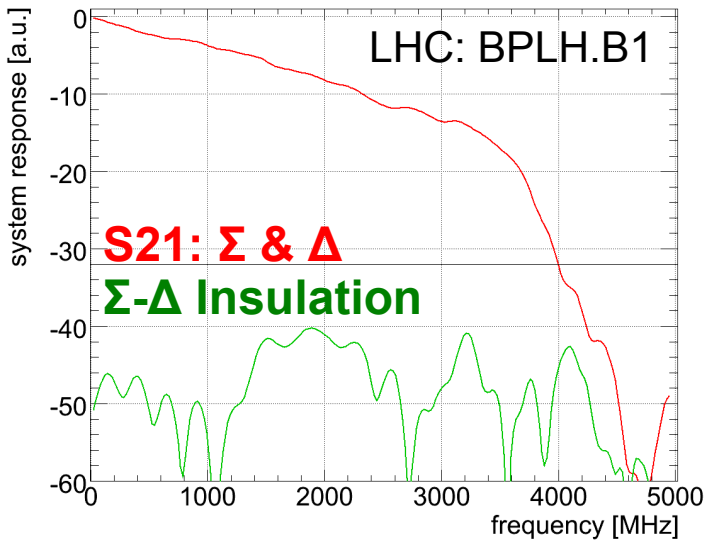
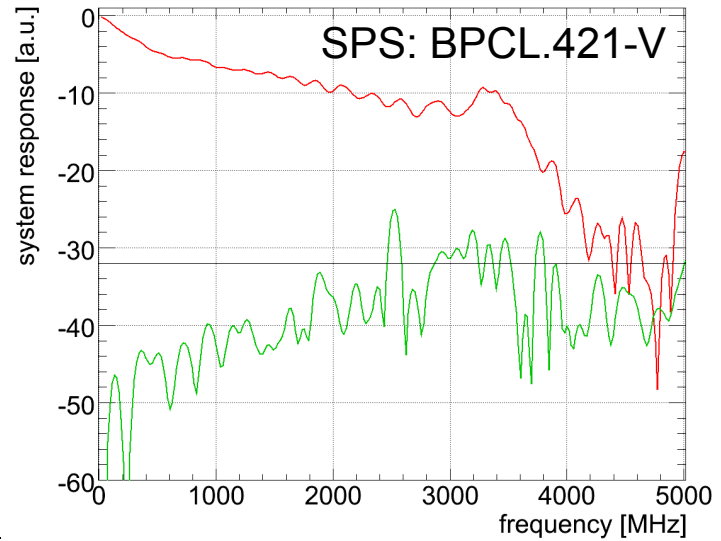
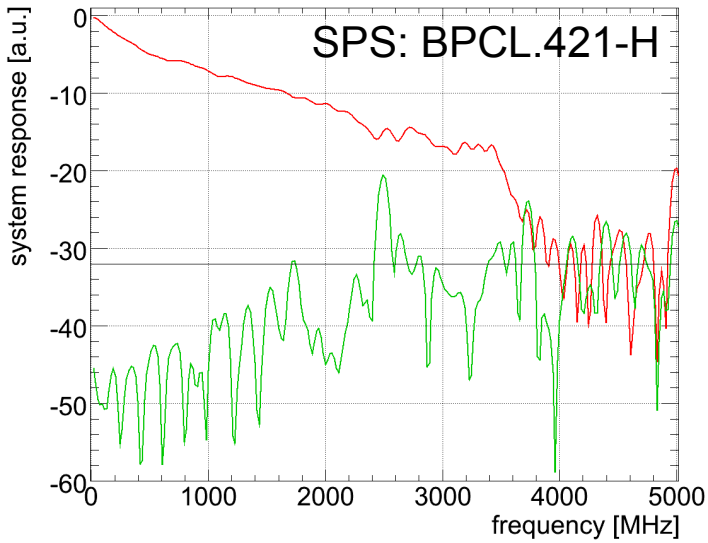
- Present standard implementation: long strip-line,  $\Sigma$ - $\Delta$  hybrid & high bandwidth to resolve bunch structure

Main limitations:

- Resolution: sampling limited to  $8/\sim 6.3$  ENOB  
 → limits resolution to the 100  $\mu\text{m}$  range  
 → Beam typ. lost before visible with HT
- Power issues, linearity over wide bandwidth, ...  
 limit:  $\sim 3\text{-}5$  GHz BW &  $< 40$  dB dynamic range

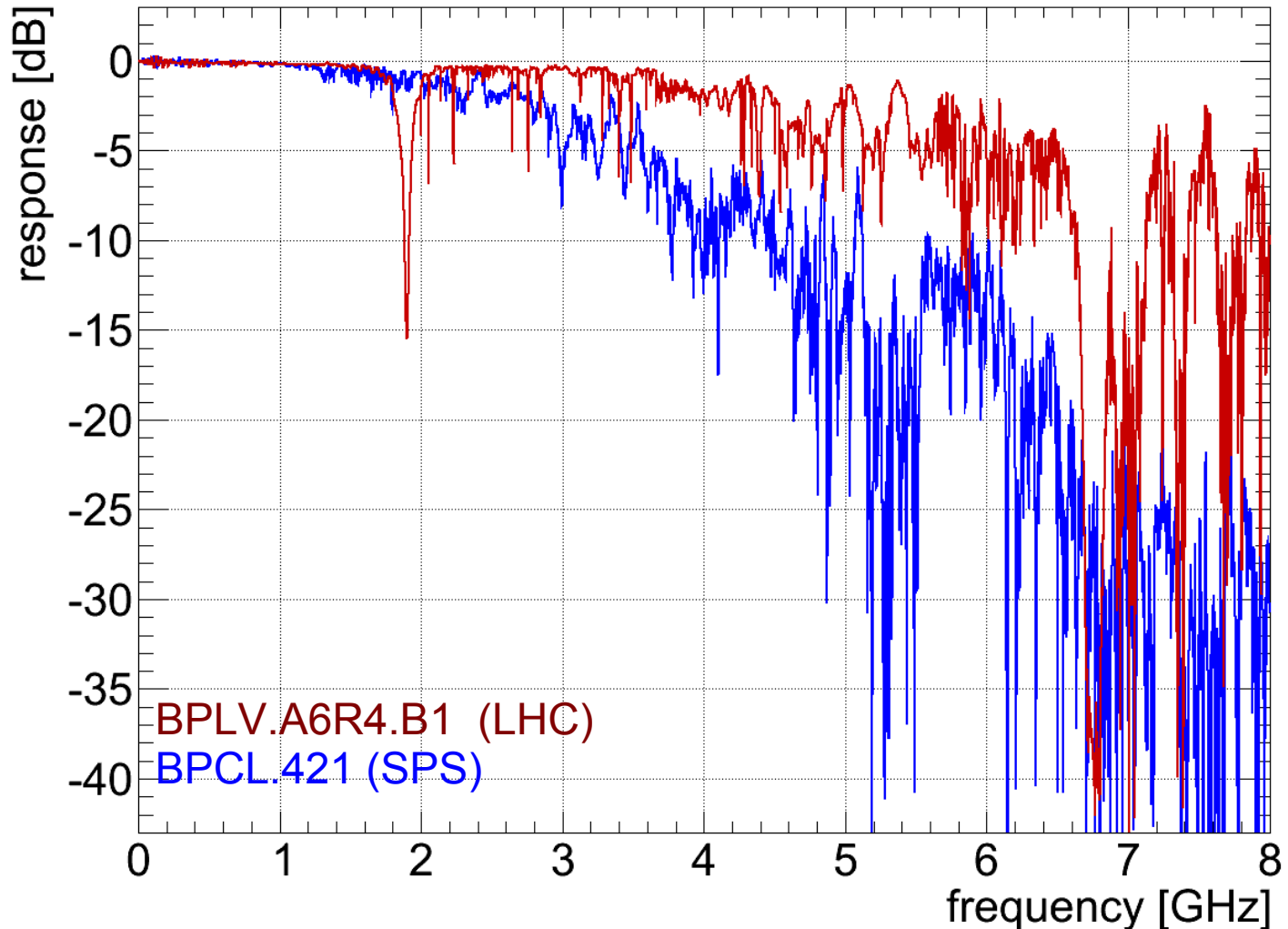


# Limits of Classical Head-Tail Monitoring Approach For Comparison: SPS/LHC HT System Response I/II



- 3.5 GHz due to scope bandwidth, hybrid common-mode bleed-through
- Slightly better performance for LHC HT but not much

- Similar strip-line design with response up to 3 (5) GHz bandwidth...



- A priori would expect the same...  
... differences likely due to RF feed-through dielectric material/geometry

## Tackle three domains independently:

- A) Pick-up – improve bandwidth, linearity, power-issues, EMC susceptibility:
1. Synchrotron-Light based BPM → dual use CTF3 & LHC
    - Collaboration effort with ACAS (Uni-Melbourne and ASLS)
  2. (In-)direct EO-based BPM → machine/beam type independent
    - Plan to design/integrate prototype monitor to be installed in SPS during LS-1
  3. Wider-band, electro-magnetic pick-up → ???
- B) Analog front-end:
1. Time-Domain: new DC-6 GHz  $\Sigma$ - $\Delta$  hybrid  
(Marki-Microwave component based but limited power capabilities)
  2. Frequency-Domain: new Multi-Band RF Schottky Detector (ACAS)
- C) Digital-Data-Acquisition – large PM-type history buffer, online pre-processing
1. GUZIK DAQ: 64GB, 20 GS/s, 4.5 – 13 GHz BW, ext. FPGA firmware
  2. Bunch-by-bunch DAQ (needed for B.2) ↔ related to b-b-b BBQ activities





# Electro-Optical BPM





- Refraction in birefringent crystals depends on ex. electrical field:

$$n(E) = n_0 + \underbrace{r_{ij} \cdot E}_{\text{Pockels effect}} + \underbrace{s_{ij} \cdot E^2}_{\text{Kerr effect}}$$



- Optical length differences:

– Vacuum:  $c_0 := 299\,792\,458 \text{ m/s}$   
 $\Delta t = 1 \text{ ns} \leftrightarrow \Delta x \approx 30 \text{ cm}$

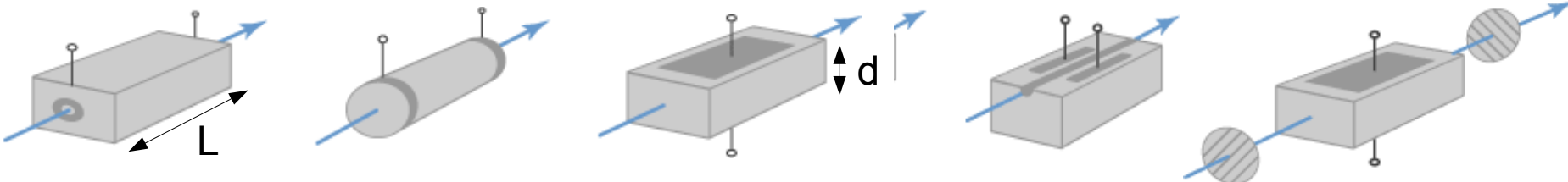
– Glass:  $c = c_0/n(E)$   
 $\Delta t = 1 \text{ ns} \leftrightarrow \Delta x \approx 45 \text{ cm}$



$$\left. \begin{aligned} E_x &= E_0 \cos\left(\omega t - \frac{2\pi}{\lambda} n_x z\right) \\ E_y &= E_0 \cos\left(\omega t - \frac{2\pi}{\lambda} n_y z\right) \end{aligned} \right\} \rightarrow \Delta \varphi = \frac{2\pi}{\lambda} (n_x - n_y) L = \frac{2\pi}{\lambda} (n_{x_0} - n_{y_0}) L + \underbrace{\left[ \frac{\pi}{\lambda} (r_x n_{x_0}^3 - r_y n_{x_0}^3) V \right]}_{\text{longitudinal modulator}} + \underbrace{\left[ \frac{\pi}{\lambda} (r_x n_{x_0}^3 - r_y n_{x_0}^3) \frac{V}{d} L \right]}_{\text{transverse modulator}}$$

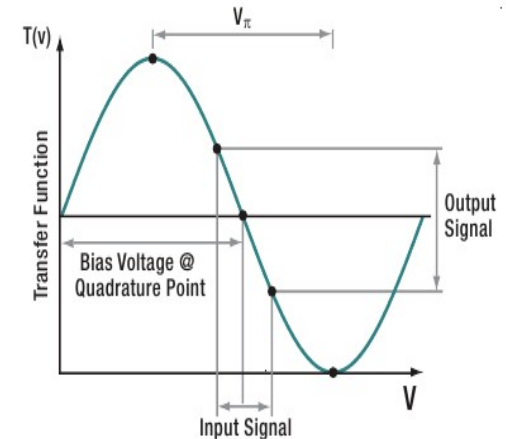
longitudinal

transverse modulator schemes

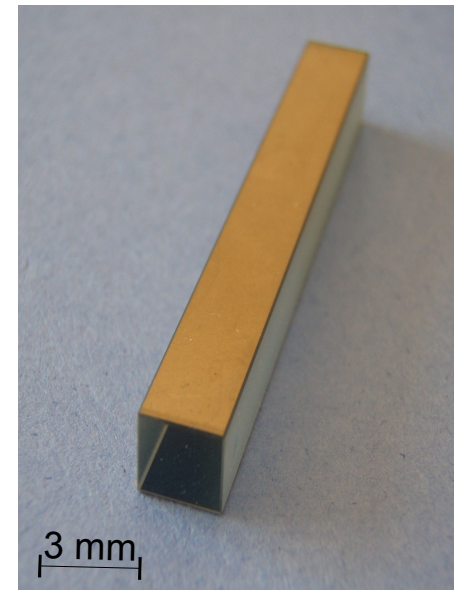


- Typically the '*half-wavelength voltage*  $V_\pi$ ' is used to describe electro-optical modulators, i.e. the voltage required to achieve destructive interference:

$$\Delta \varphi := \pi \rightarrow V_\pi = \frac{\lambda}{r_{33} n_e^3 - r_{13} n_o^2} \cdot \frac{d}{L}$$



- wavelength  $\lambda$ , crystal height  $d$  and length  $L$  are basically free parameter
- Large variety of crystals (KTP, GaAs, ...), we chose:
  - Lithium Niobate ( $\text{LiNbO}_3$ ) –  $5 \times 5 \times 15 \text{ mm}^3$ 
    - common and the 'standard' in telecommunication
    - typ. (only) low  $V_\pi \sim 6\text{-}10 \text{ V}$  available
  - Lithium Tantalate ( $\text{LiTaO}_3$ ) –  $3 \times 3 \times 15 \text{ mm}^3$ 
    - more robust but similar to  $\text{LiNbO}_3$  or  $\text{Al}_2\text{O}_3$





# Detector Materials:

## Lithium Niobate (LiNbO<sub>3</sub>) & Lithium Tantalate (LiTaO<sub>3</sub>)

	Lithium Niobate	Lithium Tantalate
	LiNbO <sub>3</sub>	LiTaO <sub>3</sub>
Density:	4.65 g/cm <sup>3</sup>	7.46 g/cm <sup>3</sup>
Melting point:	1257 °C	1650 °C
Thermal expan. [10 <sup>-6</sup> K <sup>-1</sup> ]	15, 5	16, 4
Thermal cond. [W/mK <sup>-1</sup> ]	5.6	4.6
Damage threshold	250 MW/cm <sup>2</sup>	500 MW/cm <sup>2</sup>
ε <sub>r</sub> @ 100kHz	ε <sub>⊥</sub> 85, ε <sub>∥</sub> 29	ε <sub>⊥</sub> 54, ε <sub>∥</sub> 43
transmission range [nm]	350-5500	400 - 5500
refractive index (@589 nm, 25°C & @633 nm, 25°C)	n <sub>o</sub> 2.30, n <sub>e</sub> 2.21	n <sub>o</sub> 2.19, n <sub>e</sub> 2.18
EO-coefficient* [pm/V]	r <sub>13</sub> = 9.6, r <sub>33</sub> = 30.9, r <sub>22</sub> = 6.8, r <sub>51</sub> = 32.6	r <sub>13</sub> = 8.4, r <sub>33</sub> = 30.5, r <sub>22</sub> = 20
Non-linear EO coeff. [p/m/V] @ 1064 nm	d <sub>31</sub> = -4.5, d <sub>33</sub> = -0.27, d <sub>22</sub> = 2.1	d <sub>22</sub> = 2.0, d <sub>31</sub> = -1, d <sub>33</sub> = -21

N.B.

\*for LiNbO<sub>3</sub> and LiTaO<sub>3</sub>: r<sub>12</sub> = -r<sub>22</sub> = r<sub>61</sub>,  
r<sub>13</sub> = r<sub>23</sub>, r<sub>33</sub>, r<sub>42</sub> = r<sub>51</sub>

$$\Delta \left( \frac{1}{n^2} \right) = \sum_{j=1}^3 r_{ij} E_j$$

$$n = \sqrt{\epsilon \mu}$$



# Detector Materials: Zinc-Telluride (ZnTe) & Gallium-Phosphide (GaP)

	Zinc-Telluride	Gallium-Phosphide
	ZnTe	GaP (110)
Density:	6.34 g/cm <sup>3</sup>	4.14 g/cm <sup>3</sup>
Melting point:	1238 °C	1477 °C
Thermal expan. [10 <sup>-6</sup> K <sup>-1</sup> ]		
Thermal cond. [W/mK <sup>-1</sup> ]		
Damage threshold		
$\epsilon_r$ @ 100kHz	$\epsilon_{\perp}$ XX, $\epsilon_{\parallel}$ XX	
transmission range [nm]	650-22k	400 - 5500
refractive index (@10.6 um, 25°C & @633 nm, 25°C)	$n_o$ 2.30	$n_o$ X.XX
EO-coefficient* [pm/V]	$r_{41} = 4.25$	$r_{41} = 1.0$
Non-linear EO coeff. [p/m/V] @ 1064 nm	$d_{31} = -4.5, d_{33} = -0.27, d_{22} = 2.1$	$d_{22} = 2.0, d_{31} = -1, d_{33} = -21$

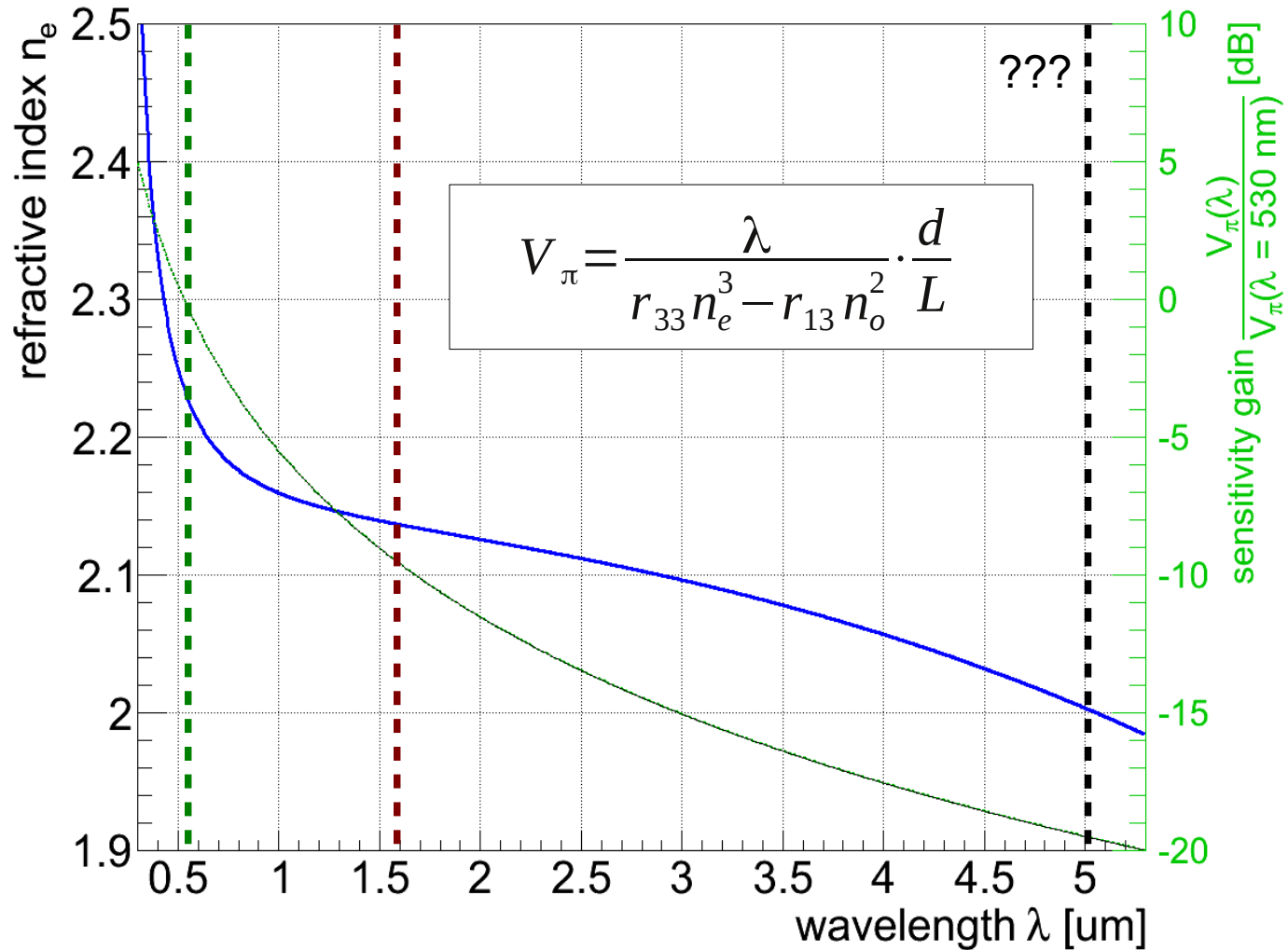
**N.B.**

\*for LiNbO<sub>3</sub> and LiTaO<sub>3</sub>:  $r_{12} = -r_{22} = r_{61}$ ,  
 $r_{13} = r_{23}, r_{33}, r_{42} = r_{51}$

$$\Delta \left( \frac{1}{n^2} \right) = \sum_{j=1}^3 r_{ij} E_j$$

$$n = \sqrt{\epsilon \mu}$$

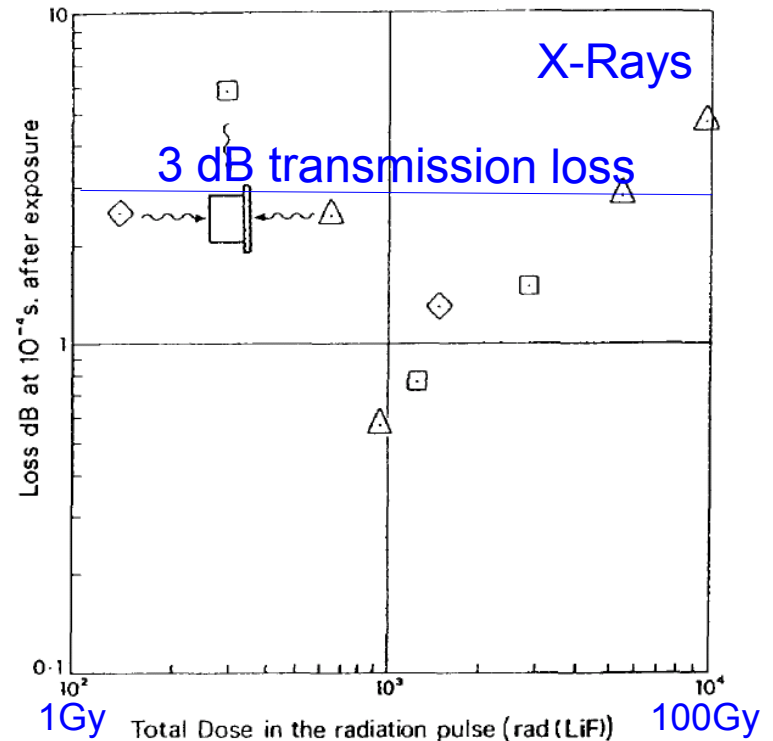
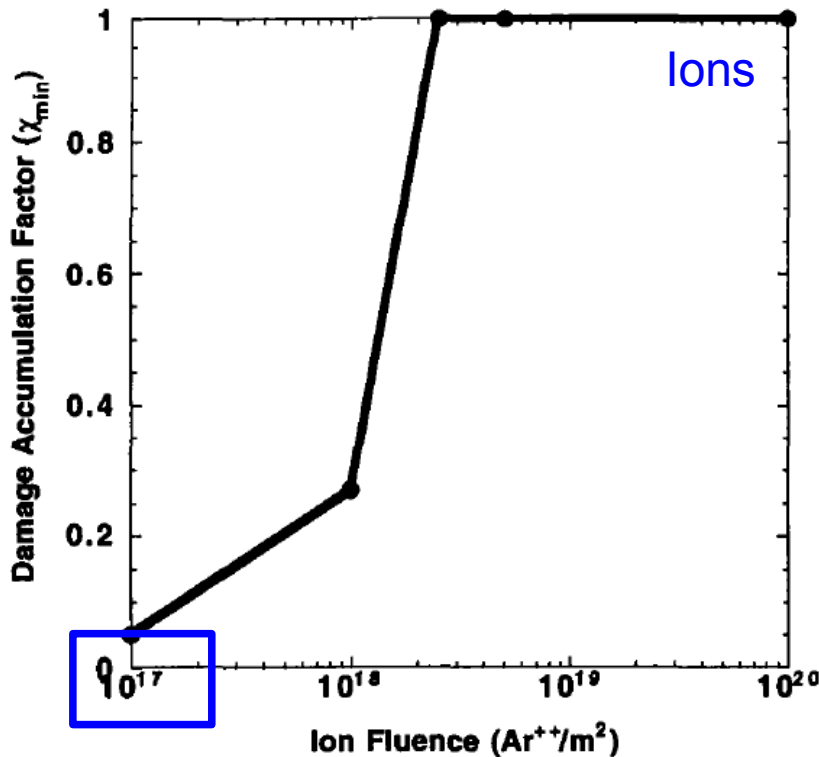
- LiNbO<sub>3</sub> – gain control possible but limited to factor ~10



- ... thus acquired 530 nm (green) and 1550 um (infra-red) laser for testing this.



- LiNbO<sub>3</sub> and LiTaO<sub>3</sub> are related to Al<sub>2</sub>O<sub>3</sub>, known to be fairly radiation hard
- Nevertheless, should get more precise numbers to assess long-term damage
  - Radiation damage level on LiTaO<sub>3</sub> according to [1,2]:

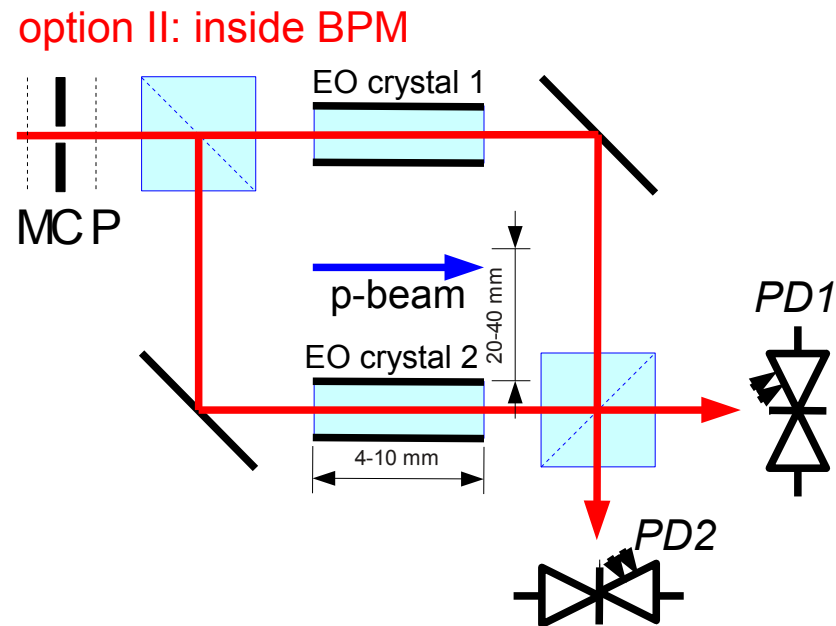
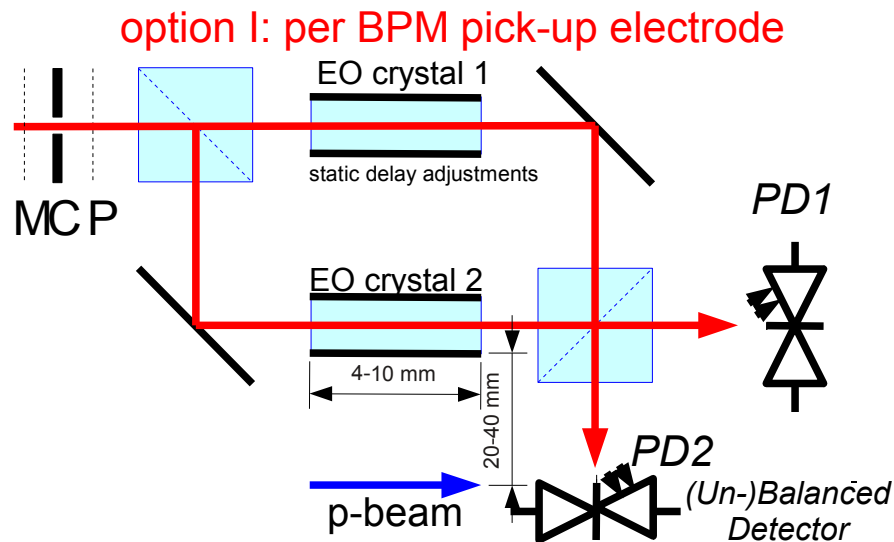


- Conversion factor tbc. but '10<sup>17</sup> Ar<sup>++</sup>' is probably much more than 100 kGy

1: C. J. Wetteland et al., "Radiation Damage Effects in [...] LiTaO<sub>3</sub> Single Crystals", Mat. Res. Soc. Symp. Proc. Vol. 504, 1998  
 2: R. H. West, S. Dowling, "Effects in [...] LiTaO<sub>3</sub> [...] Exposed to Radiation from a Flash X-Ray Source", Royal Military College of Science, IEEE TRANSACTIONS ON NUCLEAR SCIENCE vold. 41, #3, 1994

- Two stage demonstration:
  - Re-use existing MSM-PD-based light-to-electrical conversion scheme as being used by Synchrotron-Light BPM (collaboration with ACAS)
  - **Sensitivity**: 1% beam movement  $\leftrightarrow$  3V signal, resolve a fraction of this
    - Michelson interferometer with EO-crystal as trans. Modulator
    - EO-crystal as amplitude modulator per pick-up
      - insensitive/lose laser phase information
      - turns out to be more robust...
  - **Bandwidth**: commercial LiNbO3 20 GHz EO-Modulator
    - S/N ratio, reflections (limited by coupler)
- **2012-2013: Design of purely-optical BPM pick-up (2013+?)**

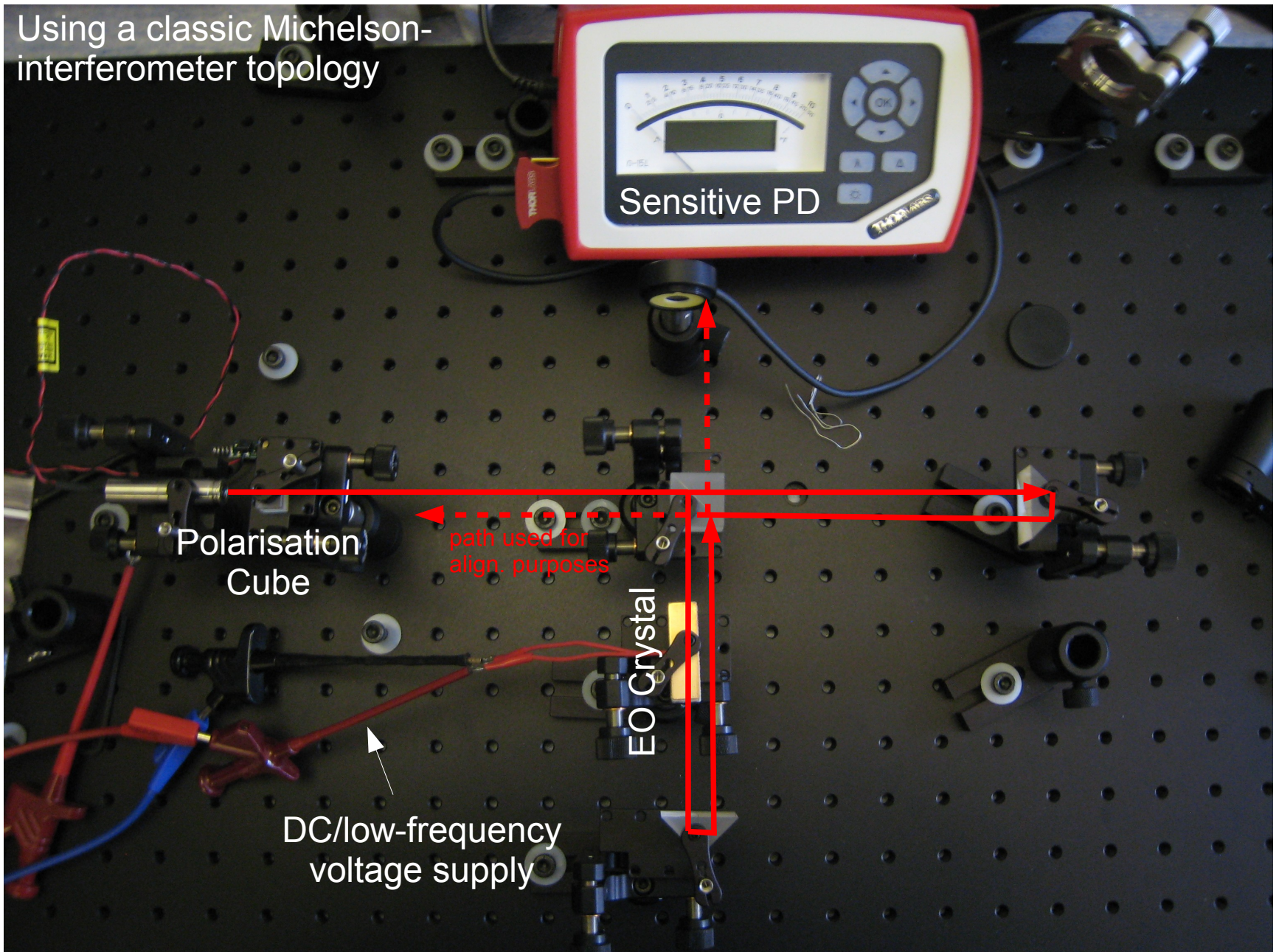
- Mach-Zehnder or Michelson Topology



- Utilises wave-front phase interference to suppress common mode signal
- However:
  - Need to maintain polarisation within (larger) structure
  - More delicate/less robust w.r.t. alignment, stability of mirrors and split ratio
    - would need to be done locally close to the pick-up for re-tuning (remote motorisation, local instrumentation, ...yikes)
- Structure size limited by coherence length  $\leftrightarrow$  laser line-width
  - manageable on lab-scale but challenging w.r.t. in-tunnel operation

# LiNbO<sub>3</sub> Sensitivity Setup – Phase Modulation-based I/II here: < 1mW, 630 nm Laser

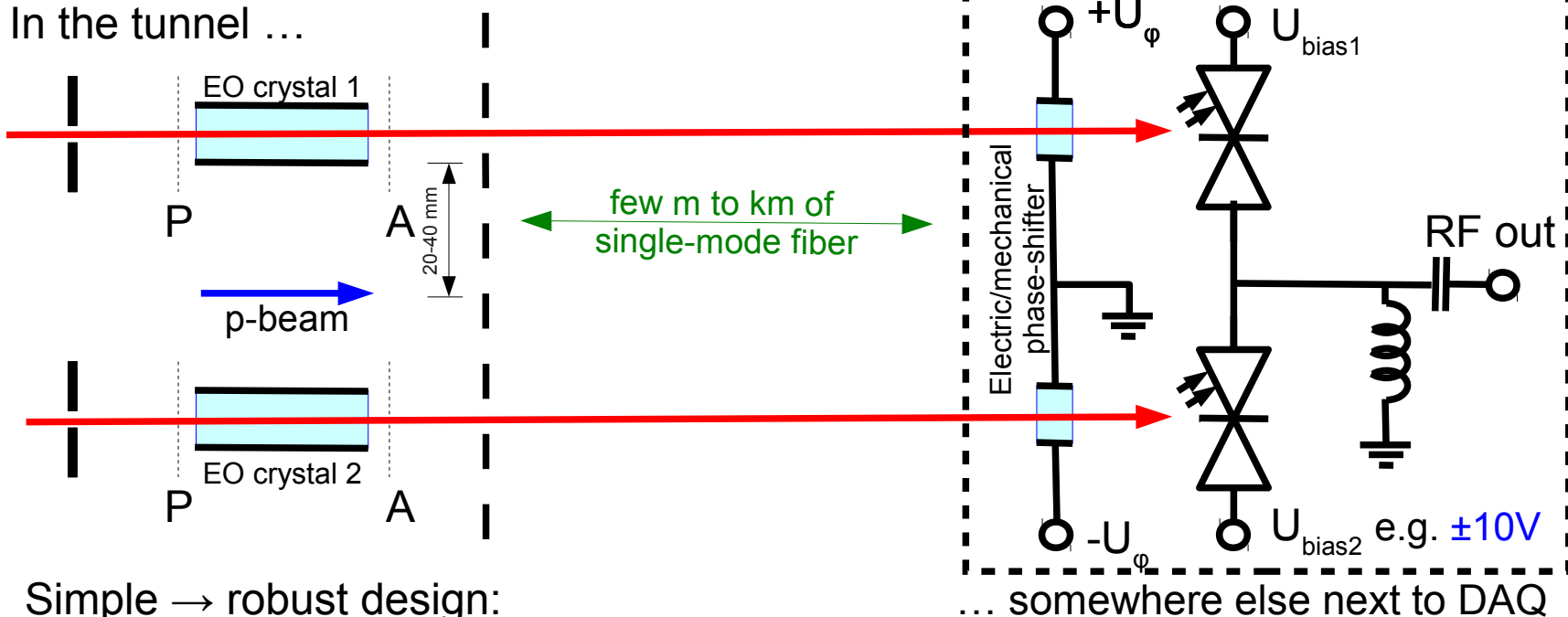
Using a classic Michelson-interferometer topology





- E.g. polarisation ( $\rightarrow$  pockels cell) or phase retardation (Fabry-Perot)

In the tunnel ...



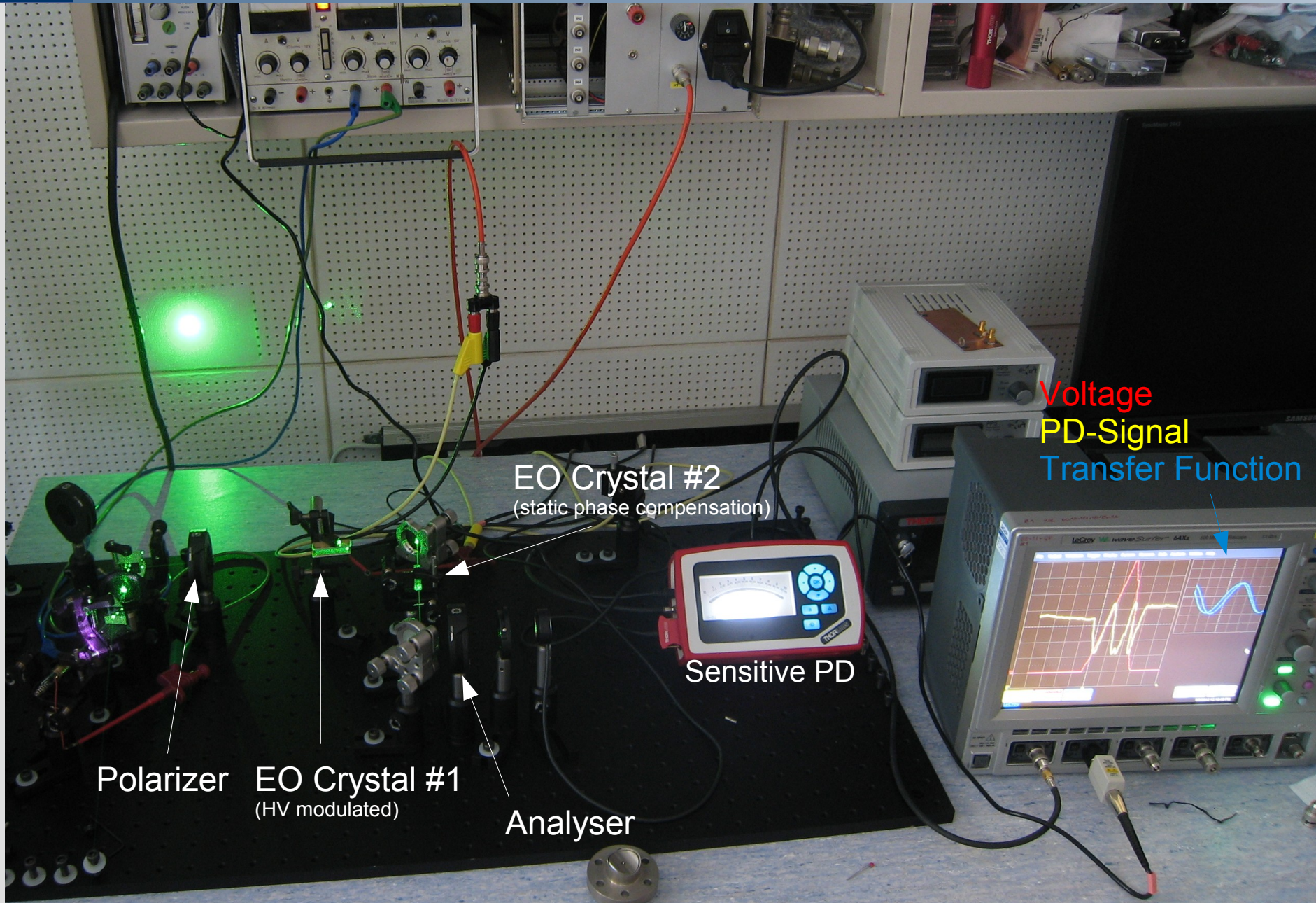
- Simple  $\rightarrow$  robust design:
  - no setup or retuning of electrical/mechanical parts in tunnel
  - complexity kept at DAQ
    - Leverage same MSM-detector design as for synch-light based BPM
    - Phase and amplitude matching possible via  $U_\phi$  and  $U_{bias}$
    - Less radiation issues, could consider cryo-cooling MSM detectors
- Could daisy-chain/mix multiple pick-ups on the same two optical fibres





# LiTaO<sub>3</sub> Sensitivity Setup – Amplitude Modulation II/II

here: < 20 mW, 532 nm Laser (cheap-o 7\$, not stabilised)



EO Crystal #2  
(static phase compensation)

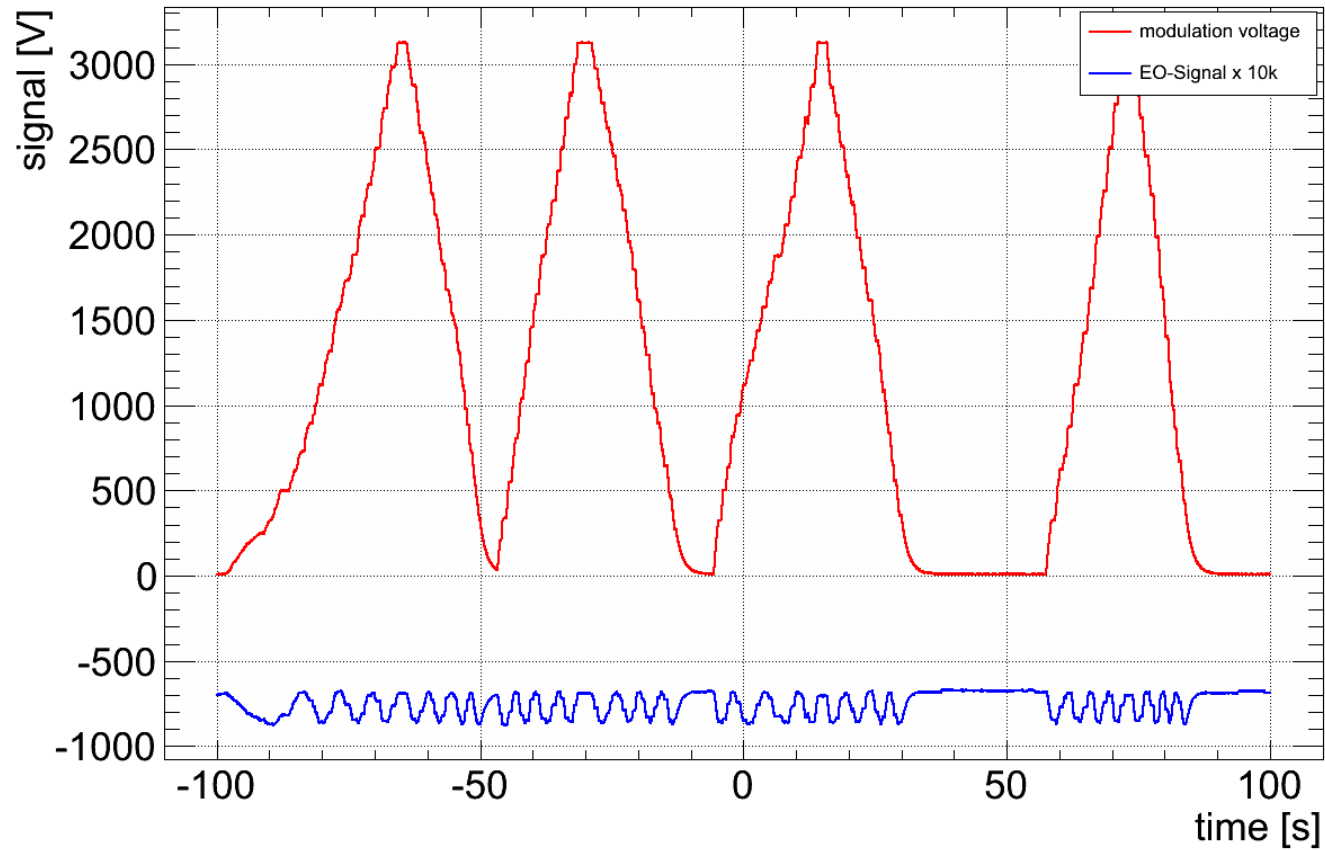
Sensitive PD

Polarizer EO Crystal #1  
(HV modulated)

Analyser

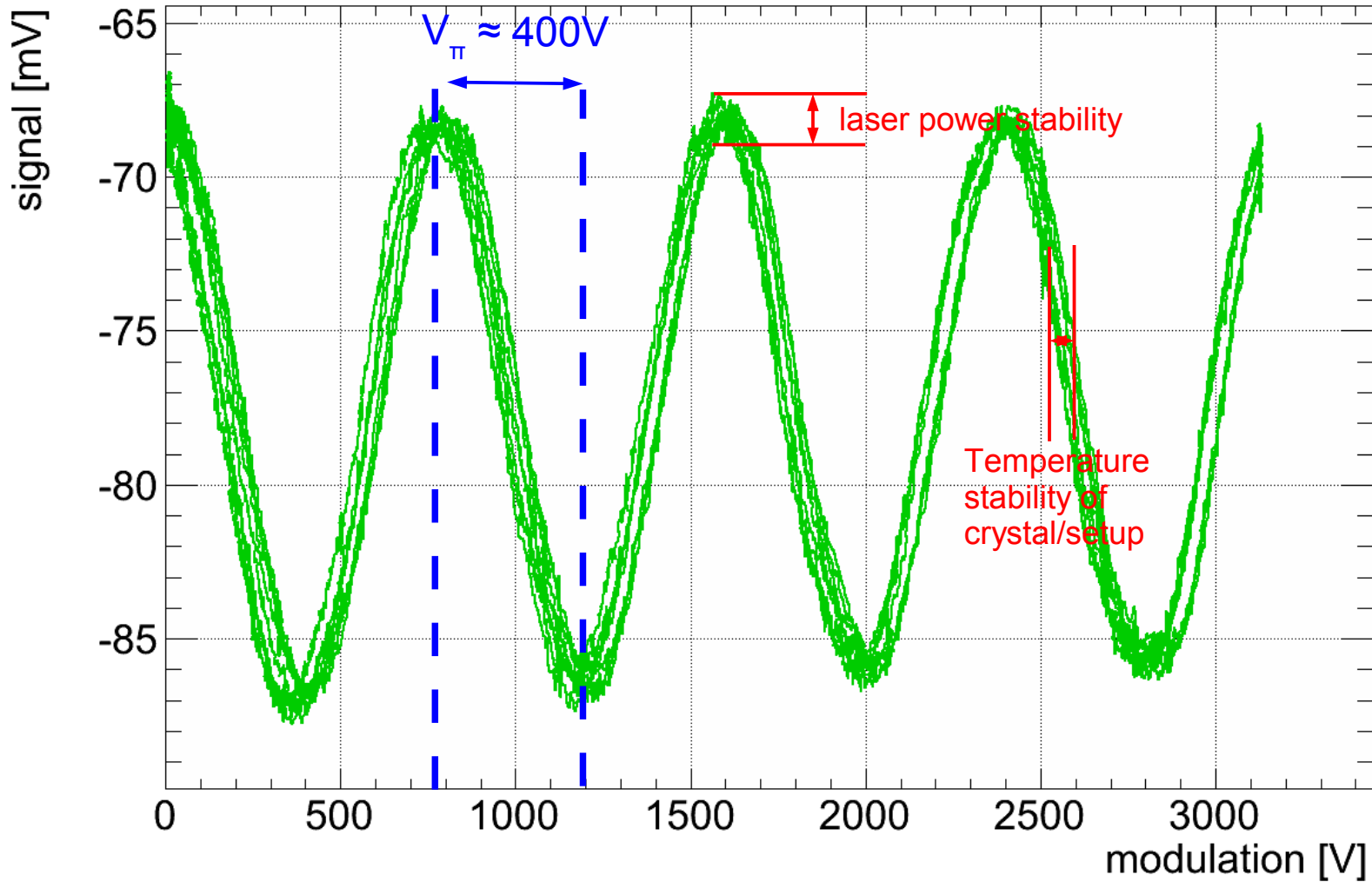
Voltage  
PD-Signal  
Transfer Function

- In agreement with crystal parameter and geometry



- Drifts in time traced back to temperature changes and laser power stability
  - Mitigated since position measurement is differential, furthermore these effects can be reduced through stabilisation of the of the laser power and setup temperature (relative differences between crystals)

- Not unexpected:  
thermal crystal dilation/contraction → changes optical path-length



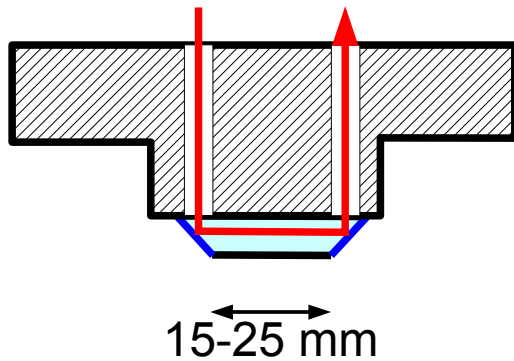


- Valuable lessons learned:
  - A) amplitude-mod. much more robust than interferometric approach
    - less (no) laser wavelength/phase-coherence issues
      - less constraints on required laser bandwidth  $\Delta\lambda$
      - works even with incoherent light (however: laser easier for fibres-coupling)
  - B) 'all-fibre-based' setup easier to handle and more stable than tested 'in-air' setup using mirrors, prisms, film polarisers, etc.
    - integrate as much (all!?! ) into fibres and BPM body.
  - C) MSM-PD quite robust, low-noise, wide-band and eventually easier to be efficiently coupled to fibres than anticipated (thanks to Sophie)
    - light detection/conversion to electrical signal is not an issue
  - D) Laser worked (and was safe to use) but should spend more than 7\$
    - better laser power stability
  - E) Main environmental effects:
    - Stray ambient light ( $\sim 5$  uW) interference with signal ( $\sim$  mW)
      - eliminated through using fibres
    - Temperature induced optical, particularly crystal path length changes
      - path between polariser→crystal→analyser needs to be stabilised
- Not been tested (yet): impact of radiation (should be minimal) & vacuum

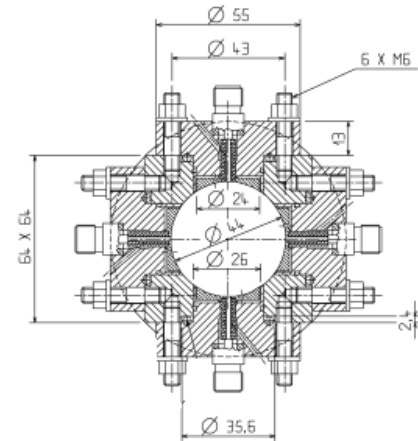
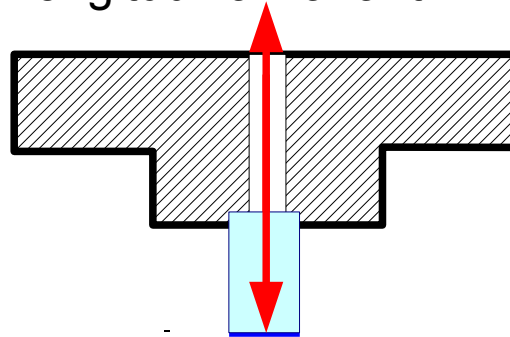
# Amplitude Modulation-based Scheme Proposed Optical-BPM Design for SPS Prototype

- All-Optical-BPM layout scheme, re-use conceptually LHC BPM design:
  - Keep the same body, keep external button form-factor

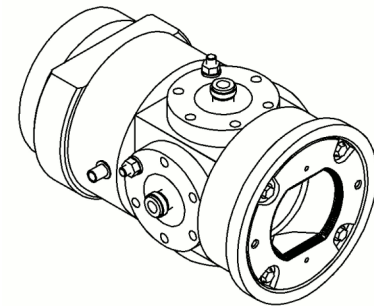
transverse variant:



longitudinal variant:

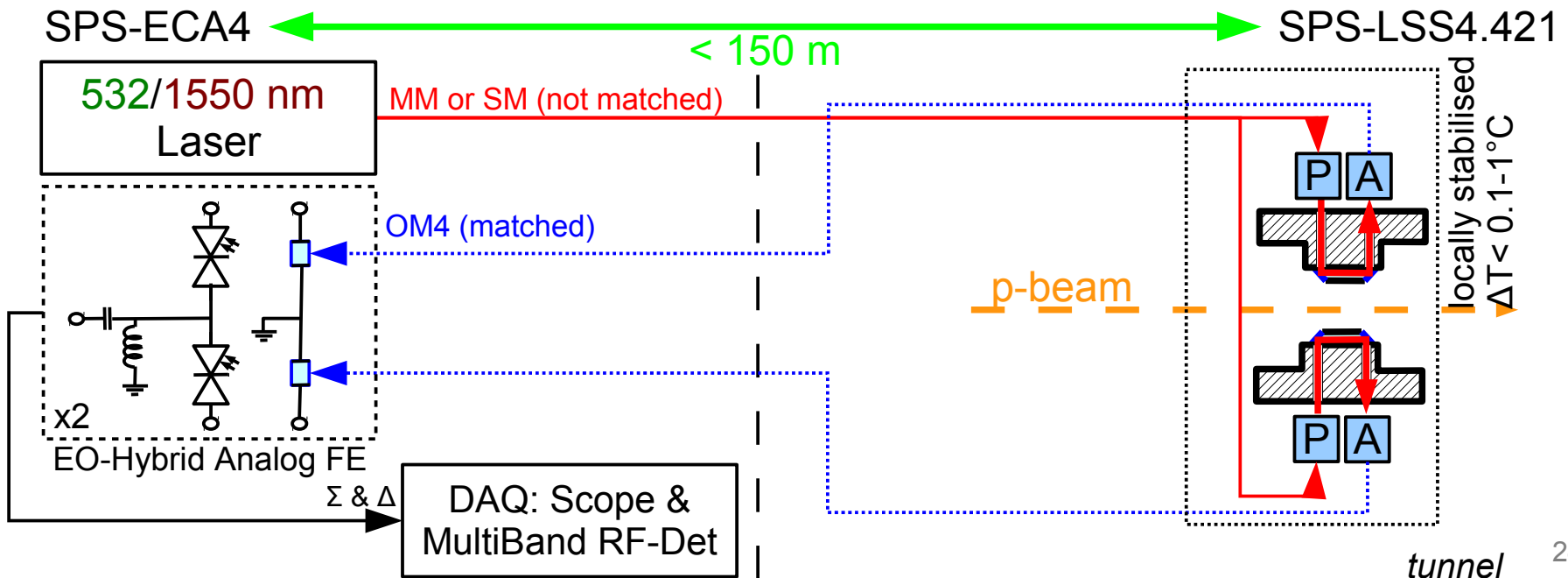


- Impact of EO-crystal (dielectric setup) on machine impedance small but should be re-checked by FE-EM simulation
- Mechanical design & construction in 2012/13
  - Need to investigate crystal clamping and fiber-to-feed-through alignment
- Possible prototype installation in LS1?





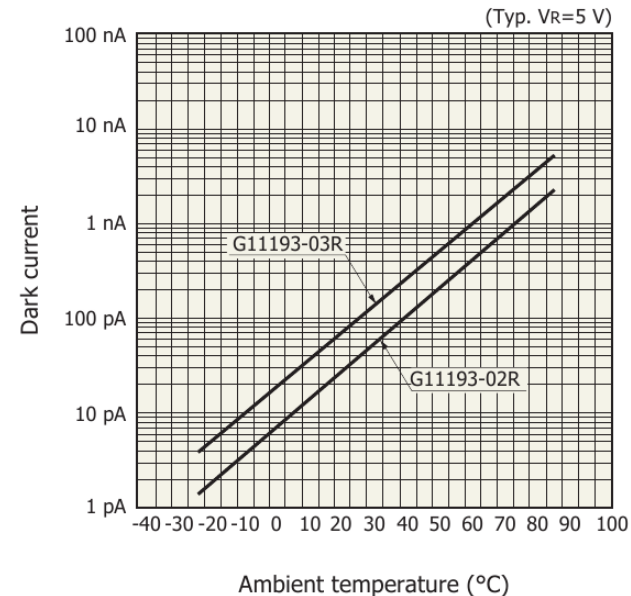
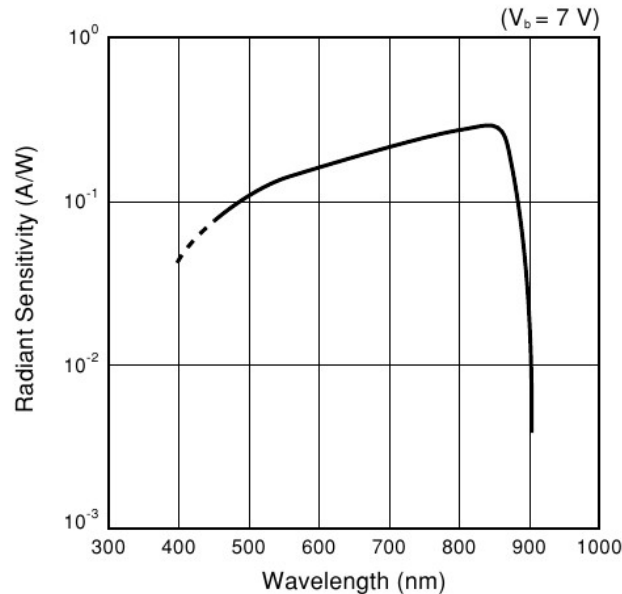
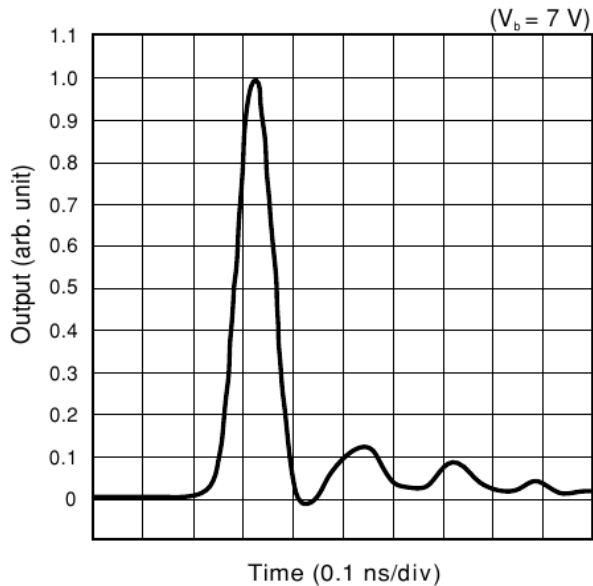
- CERN Standard Fibres:
  - DRAKA, C03e single-mode SM fibre
  - DRAKA, MaxCap-BB-OM2 gradient index multi-mode fiber, 50 um core
    - attenuation < 2.6 dB/km (@850 nm), modal bandwidth > 0.5 GHz\*km
- Application would benefit from higher-quality fibre:
  - DRAKA, MaxCap-BB-OM4 gradient index multi-mode fiber, 50 um core
    - attenuation < 3.0 dB/km (@850 nm), modal bandwidth > 4.7 Ghz\*km
- Layout (N.B. fibre lengths are fairly short):





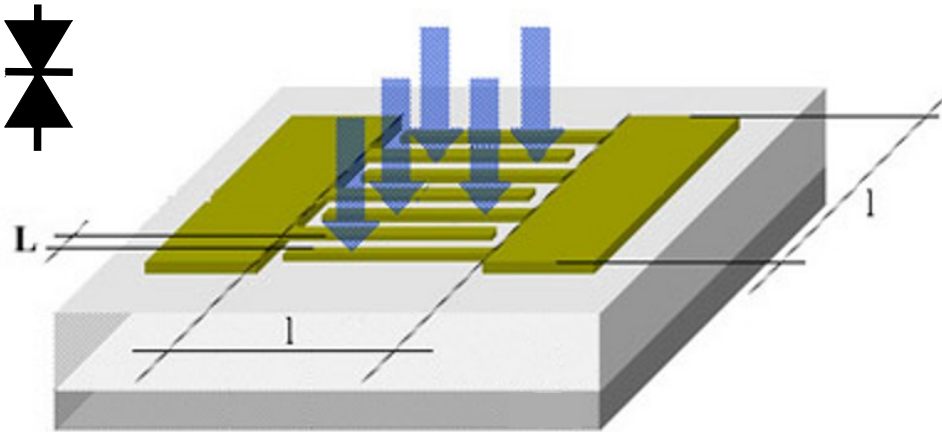
# Need to Digitise Signal: Optical-to-Electrical Conversion Metal-Semiconductor-Metal (MSM) Photodetector I/II

- Hamamatsu's G4176-03 (TO5 package, SMA connector)
  - $t_r \approx 30 \text{ ps}$   $\leftrightarrow$  nom. 50% atten. @12GHz
  - 0.3 pF for active area of  $0.2 \times 0.2 \text{ mm}^2$
  - typ. light input power  $\sim 5\text{-}10 \text{ mW}$  (50% duty-cycle)
  - dark-current:  $100 \text{ pA}$  @23°C
  - max. est. S/N:  $\sim 150 \text{ dB}$  (w/o cooling)  
(very good value for money, prototyping!)
- N.B. alternative variant for infra-red: G7096-03

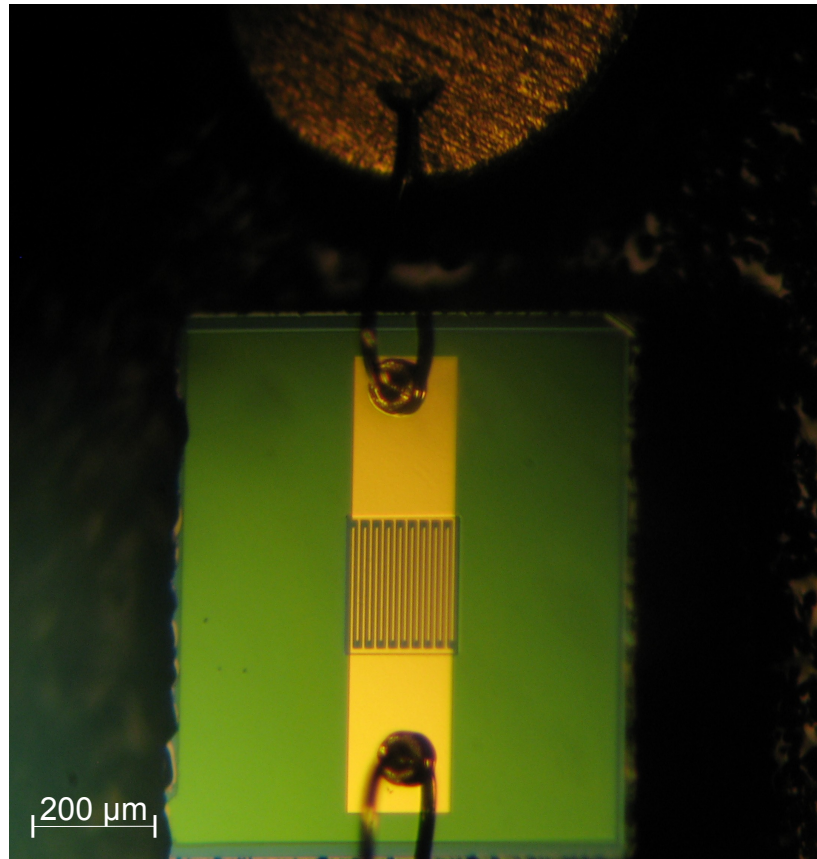
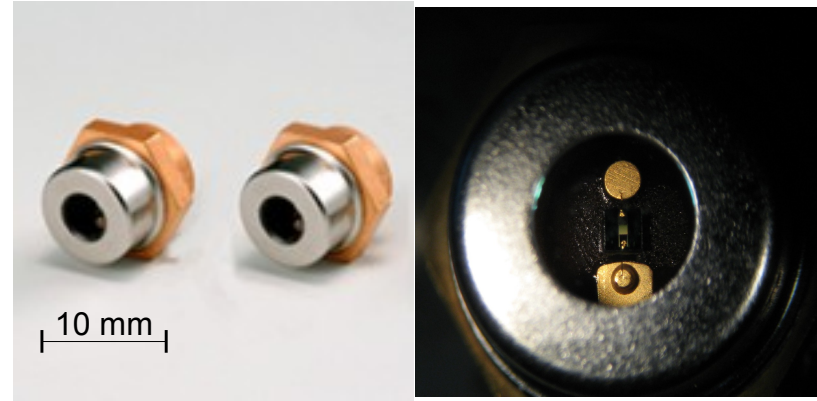


(incl. light source, bias and scope)

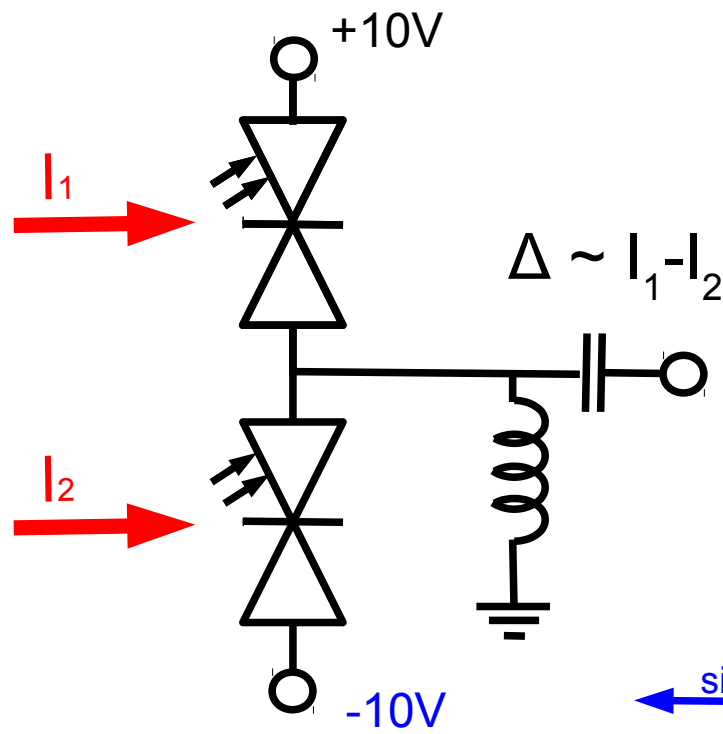
- ... not quite a P(i)N junction (diode)!  
no polarity, requires bias-voltage (typ. 10 V)



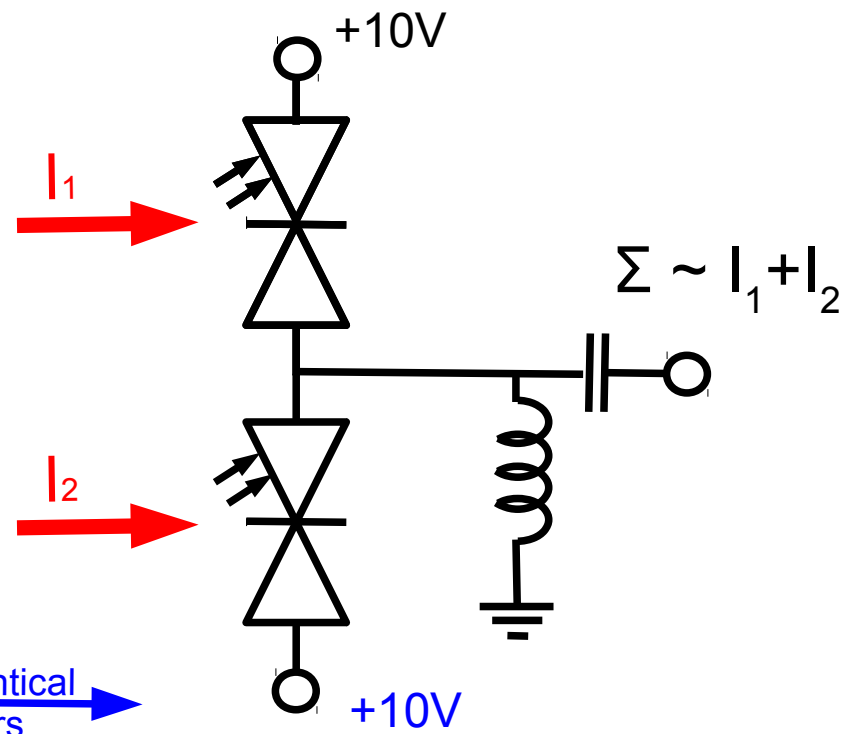
- Speed determined by doping of (In)GaAs SC material and PD geometry (reflection, C, ...)
    - Not quite a MS Schottky Diode
  - Variants available exceeding 100 GHz bw. but makes fiber-coupling mandatory
- KISS: initial prototyping with in-air design



- Balanced-Detection



- Common-Mode Detection:



### Advantages:

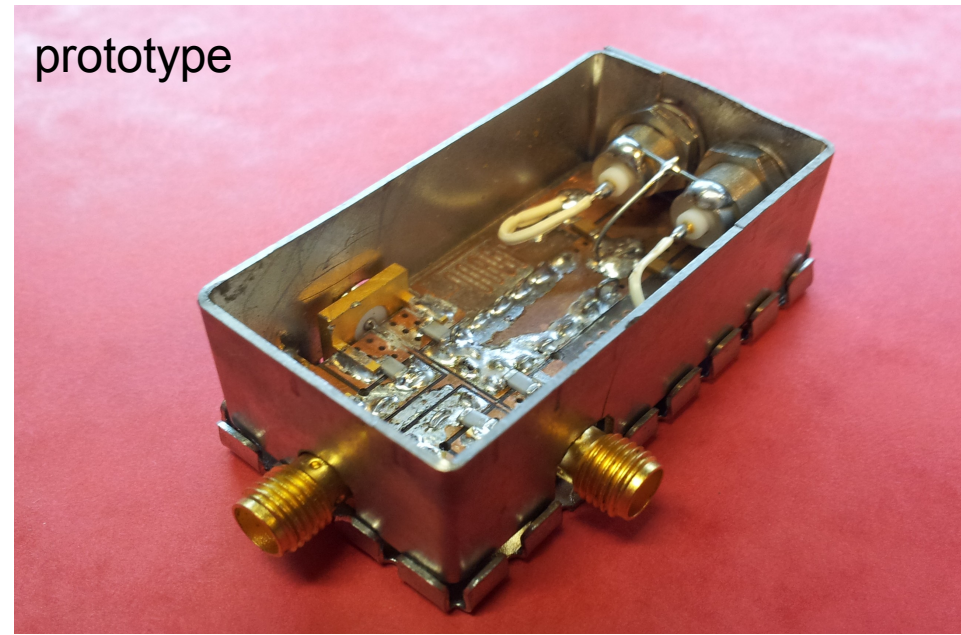
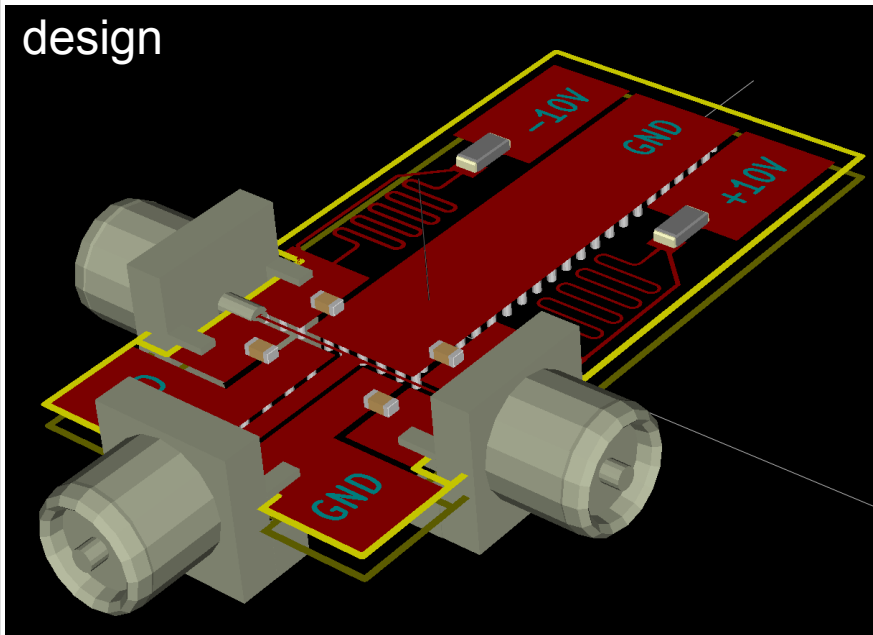
- even lower noise than pure MSM
- Simple phase compensation
- Simple adaptive orbit offset comp.
- 50Ω vs. high-impedance (glued to ADC)
- Can keep sensitive (== expensive) equipment/control outside the tunnel

### Advantages:

- incoherent sum → indep. on phase of laser wave-front (no expensive PANDA fibres!)
- Can be re-used for other EO-options → see second part of summary
- Future: dependence on beam size → extend scheme to measure  $\sigma$



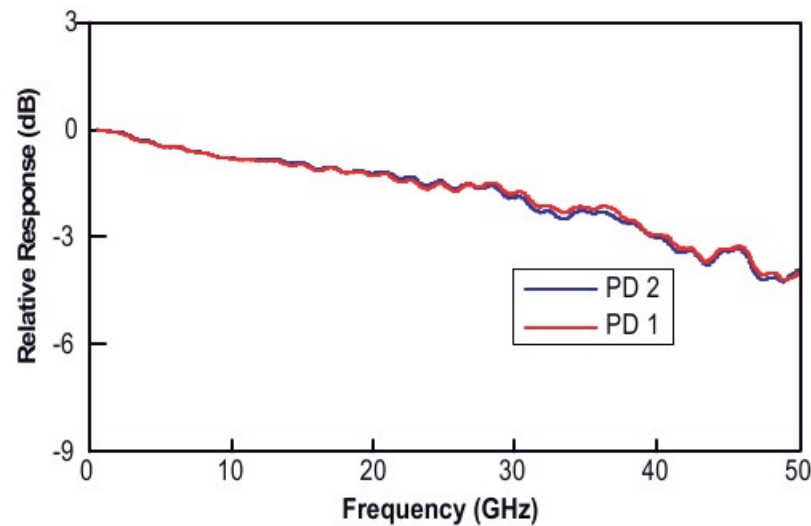
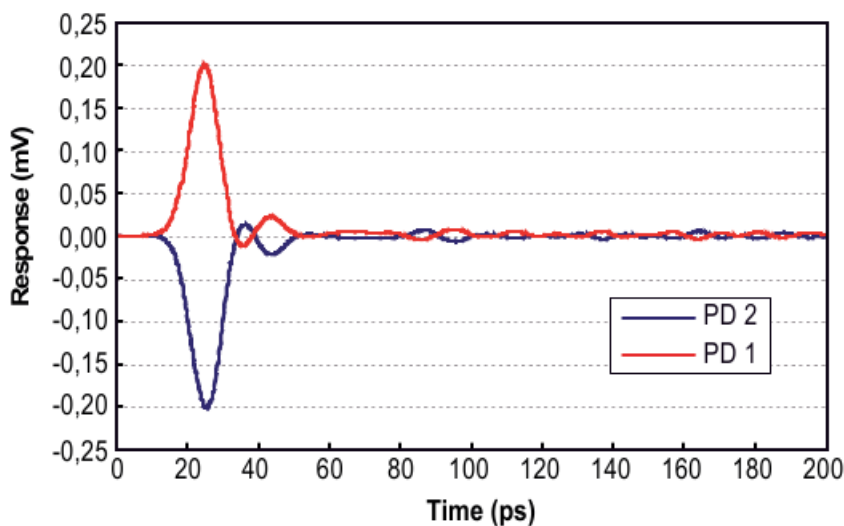
- First RF prototype tested at the ASLS (Synchrotron-Light BPM)
  - good S/N and noise rejection but produced on poor off-the-shelf FR4 ( $\epsilon(f)=4.2\pm 0.4$ ,  $\tan(\delta)=0.01$ )  $\rightarrow$  limits bandwidth to  $< 2$  GHz



- Next batch will be re-done with proper RF substrate, ordered for testing:
  - Rogers RT/Duroid 5880:  $\epsilon=2.20\pm 0.02$ ,  $\tan(\delta)=0.0009$ , **const  $\epsilon$ !**
  - Rogers RO3003:  $\epsilon=3.00\pm 0.04$ ,  $\tan(\delta)=0.0013$ , **const  $\epsilon$ !**

# Strengths of Balanced Photodetectors

- Specific advantage of MSM photodetector vs. diodes: no specific bias polarity  
→ can be exploited to dynamically flip signal between delta and sum mode
- Can detect DC changes
- Bias voltage and polarity can be used to:
  - high-frequency difference controlled via regulated DC voltage
  - simple calibration for phase difference:
    - One PD bias voltage is set to zero – measure signal with the other and vice versa and adjust for the phase difference (externally e.g. mechanically stressing optical fiber)



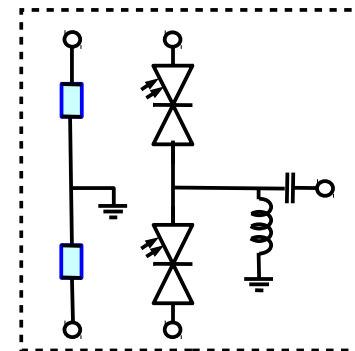


# Summary

Additional slides

- Main observable:

$$\Delta I \simeq I_0 \cdot \frac{\Delta x}{R}$$



- Some constraints:

- EO-crystal range (saturation-like):  $V_{pickup}|_{max} < 0.8 \cdot V_{\pi}(\text{crystal})$

→ *adjust crystal length/width to maximum bunch intensity/length*

- MSM-PD saturation ( $\sim 10 \text{ mW} \leftrightarrow 150 \text{ mV}$  on  $50\Omega$ ):  $\Delta I|_{max} < I_{max}(\text{MSM})$

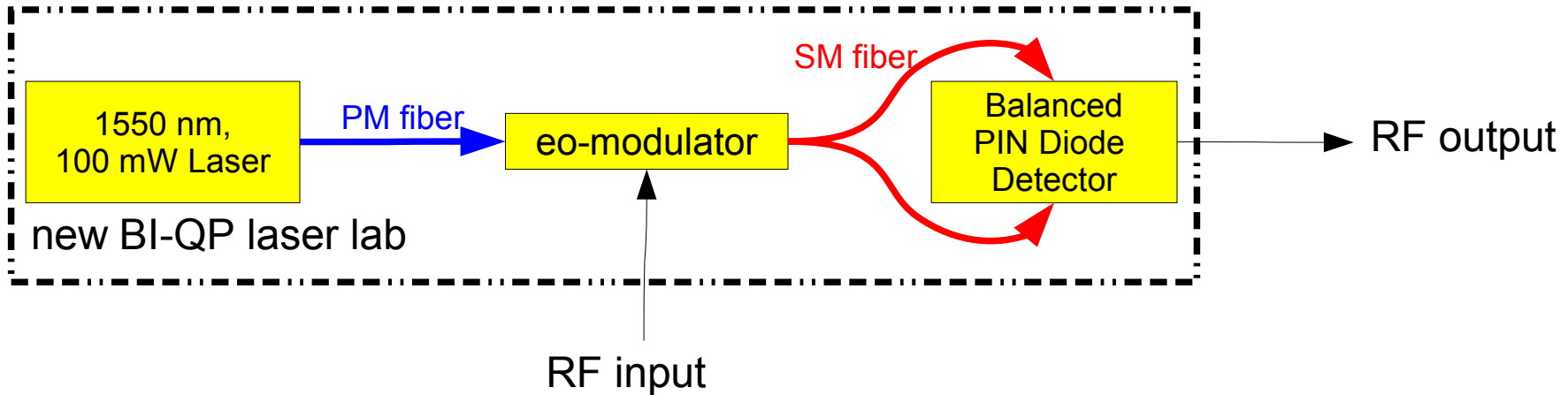
→ *limits maximum laser power for bunch peak signal*

- However, these limits do not apply at the same time

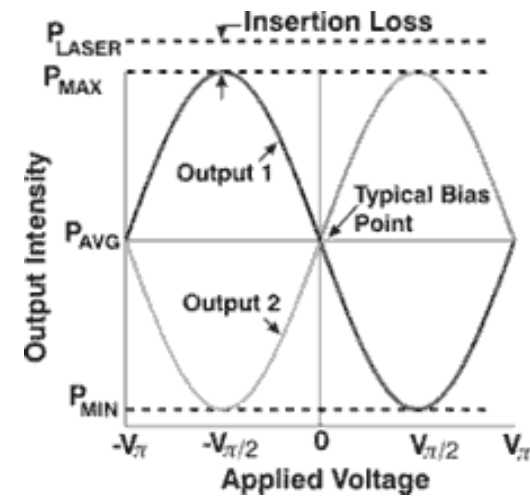
→ can use laser power to adjust dynamic range, e.g.

- low laser power  $\leftrightarrow$  high-intensity bunches and vice-versa
- Bal. detector → little impact of optical amplification on noise performance

- Test-setup:



- Aim: confirm bandwidth and achievable S/N ratio
- Basically this is a standard telecommunication setup (modulo fiber length)
  - Reproduce bandwidth
  - Explore limits of link, noise sources etc.
- DSO:  $> 1$  mW  $\rightarrow$  operation in dedicated lab and armoured fiber mandatory
  - Don't have one on the Preveessin site ...

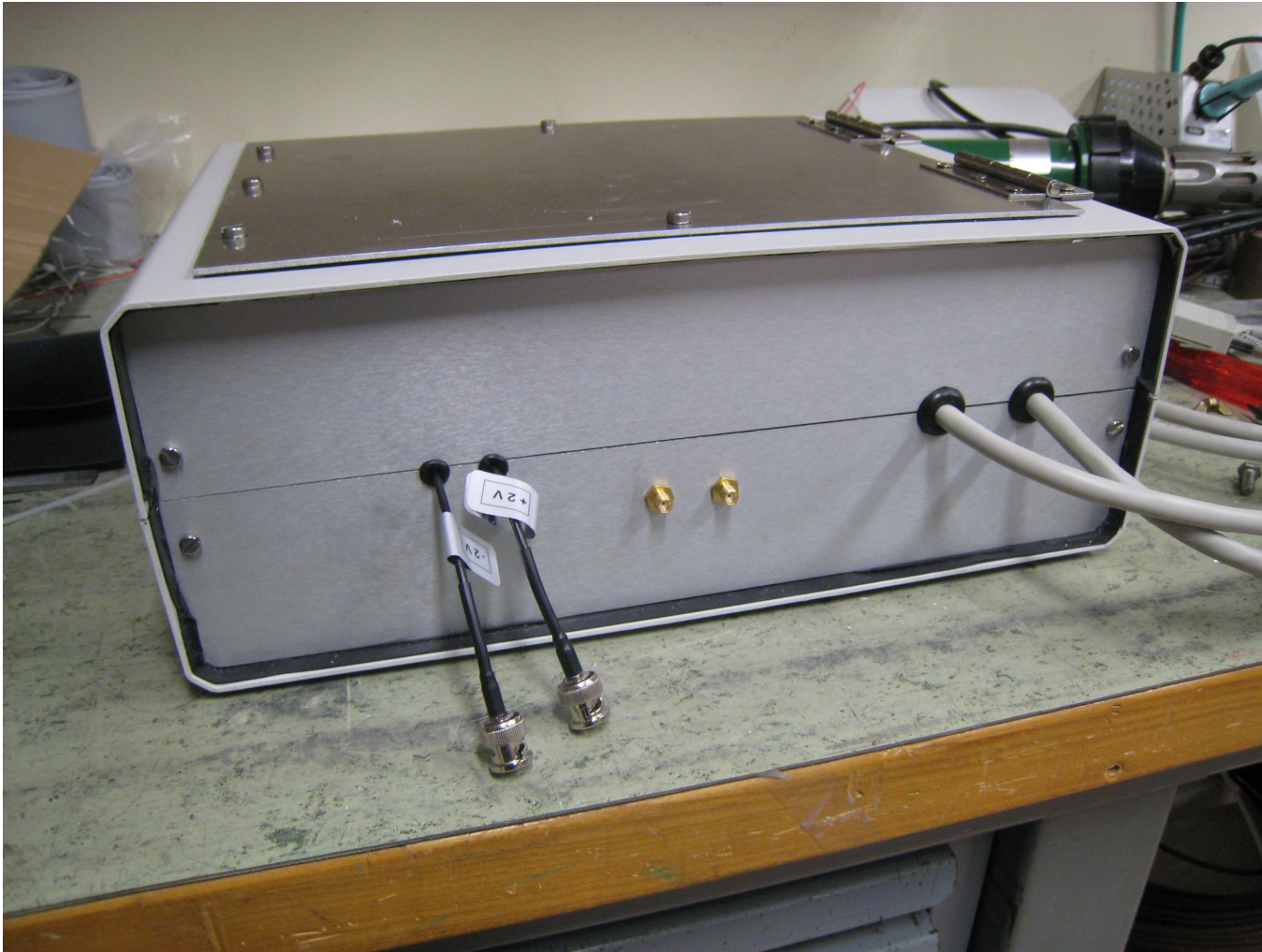




# LiNbO3 Bandwidth Setup

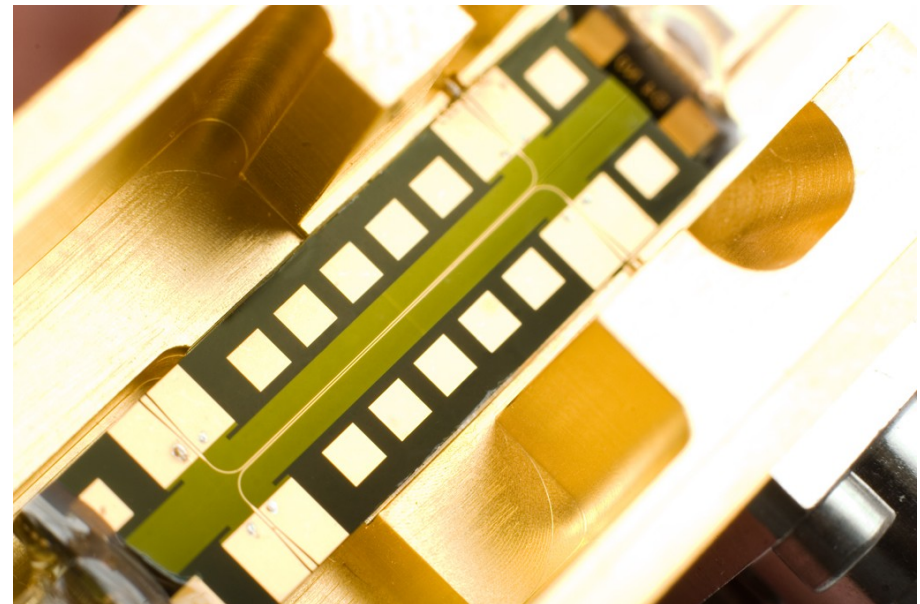
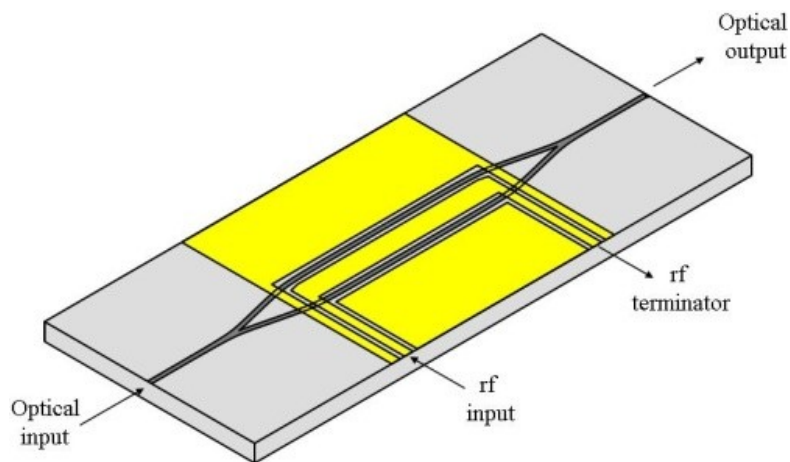
## Behold: BI-QP's New Laser-Lab

- Requirement from our DSO: light-tight confinement, only tool-based access allowed, laser cut if lid is opened

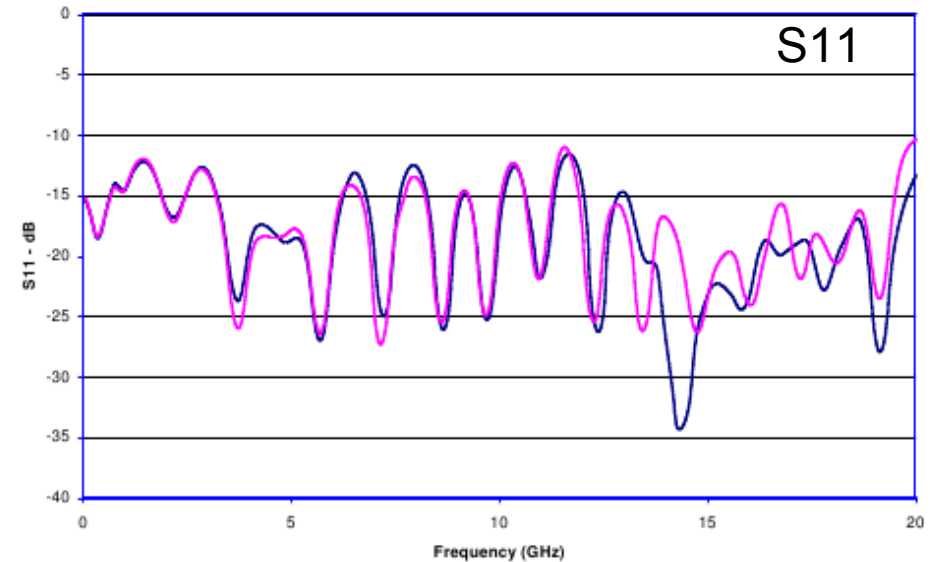
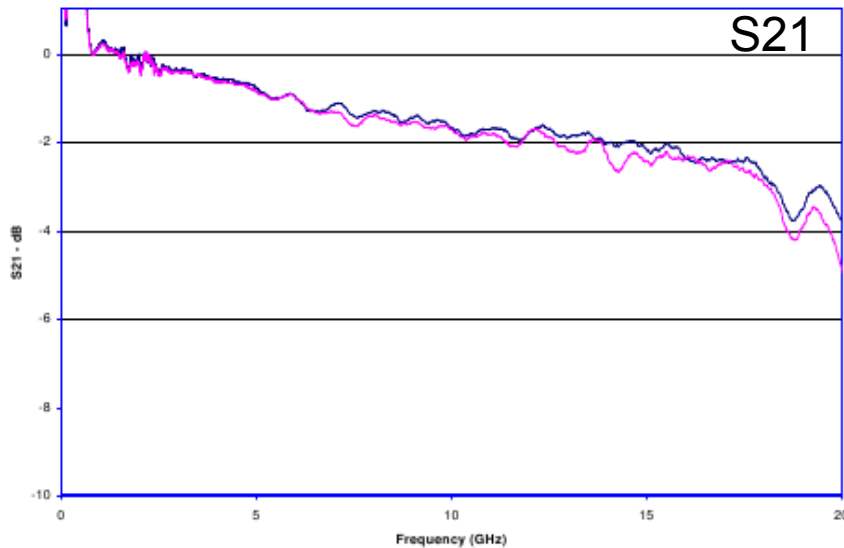


- Courtesy Philippe Lavanchy; awaits DSO approval

- Creating an optical hybrid translates to the same classical RF hybrid issues
- EO-modulator basically are (un-)matched micro-/strip-line structure
- **Critical aspects:**
  - **Impedance matching**
    - Geometry → tricky but similar to our other RF pick-ups
    - Larger dielectric loss-tangent due to  $\epsilon_r(t) \sim 80$  (BPMs strip-lines are in vacuum)
  - **Insulation**
    - hasn't been demonstrated (yet) that we can achieve -40dB or better



- Directly connect em-BPM → eo-modulator → fiber → MSM detector
- Compared to BPMs, Eo-mod. typ. have badly matched strip-lines



- Not a design criteria for telecommunication (digital signals)
- Reflections may possibly perturb measurements of consecutive bunches
- If not done properly – probe laser noise (typically 1%) may propagate and superimpose onto the beam signal  
→ balanced detector scheme may mitigate this to some extent.

Independent of the electro-optical detection scheme:

- Sensitivity and signal levels are given by crystal geometry which can be adjusted to the expected maximum/minimum bunch intensity, however:
  - A priori static sensitivity, i.e. easy no optical gain switching
    - with added complexity: add more than one crystal per pick-up
    - Sensitivity depends on laser wavelength  $\lambda$   
→ could be exploited but only up to 100% variations in  $n_b$   
(Synch-Light BPM is better in this aspect)
    - gain adjustment would need to be addressed on the analog front-end
- Radiation damage effects of fibers vs. cables need to be further assessed